

CLOCK AND DATA RECOVERY CIRCUITS

By

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To the Faculty of Washington State University:

The members of the Committee appointed to examine the dissertation of RUIYUAN ZHANG find it satisfactory and recommend that it be accepted.

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(Chair)

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# CLOCK AND DATA RECOVERY CIRCUITS

## ABSTRACT

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Clock and data recovery circuits (CDRs) have been widely used in data communication systems. This dissertation presents a half-rate clock and data recovery circuit that combines the best features, fast acquisition and low jitter, of digital phase selection and phase locked loop CDR circuits. This CDR circuit consists of a phase selector, which can lock to the data in just a few clock cycles but has high jitter, and a PLL, which requires a much longer acquisition time but provides a low-jitter clock after locking. Measurements in 0.5  $\mu\text{m}$  CMOS technology show operation up to 700 Mbps, a 7% acquisition range, an initial acquisition time of 8 bit times with jitter of 30% bit time, and jitter of 16 ps after the PLL acquires lock in about 700 ns from an initial frequency difference of 7%.

A phase frequency magnitude detector (PFMD) is added to the combined CDR to improve the acquisition time by feeding back an estimate of the magnitude of the frequency offset in addition to the sign. Measurements show that the 700ns acquisition time is reduced by about a factor of 5 to 140ns from an initial 7% frequency difference.

This dissertation also presents an analog version of the PFMD CDR in the 0.25  $\mu\text{m}$  CMOS technology without the entire overhead associated with the phase selector CDR in order to reduce power dissipation and area compared to the combined CDR.

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## **Dedication**

To my parents

To my husband, Zhihe and my son, Kevin

# CHAPTER ONE

## INTRODUCTION

Clock and data recovery (CDR) has been widely used in data communication systems, including optical communication, backplane routing, chip-to-chip interconnects, and disk drive read channels.

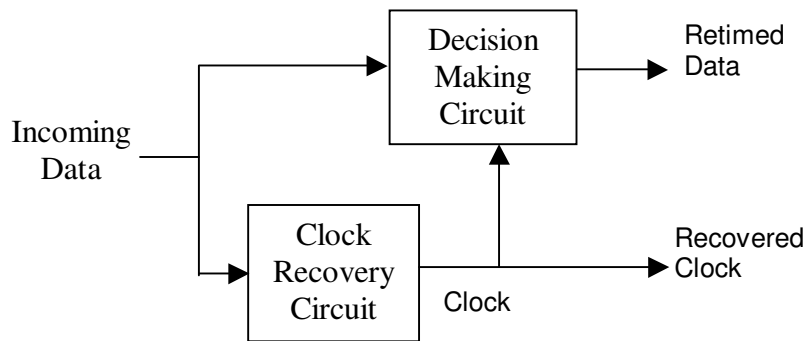


Fig. 1 Simplified block diagram of a digital receiver

Binary data is commonly transmitted in the “nonreturn-to-zero” (NRZ) format. The ability to regenerate binary data is an inherent advantage of digital transmission. To perform this regeneration with the fewest bit errors, the received data must be sampled at the optimum instants in time. Since it is generally impractical to transmit the requisite sampling clock signal separately from the data, the timing information is usually derived from the incoming data itself. The random data received in these systems are both asynchronous and noisy, requiring that a clock be extracted to allow synchronous operations. The recovered clock both removes the jitter and distortion in the data and retimes it for further processing. It is called clock and data recovery, and its general role in digital receivers is illustrated in Fig. 1.

The clock generated in the circuit of Fig. 1 must satisfy the following conditions:

- The frequency of the clock must be equal to the data rate.
- The clock must have appropriate timing with respect to the data, allowing optimum sampling of the data by the clock; if the rising edges of the clock occur in the midpoint of each bit, the sampling occurs farthest from the data transitions, providing maximum margin for jitter and other time uncertainty.
- The clock must exhibit a small jitter since the jitter of the clock contributes to the retimed data jitter [1].

Both phase locked loop (PLL) and delay locked loop (DLL) have been widely used in clock and data recovery. PLL solutions to CDR usually use narrow-band loop filters to reduce jitter which results in longer acquisition times. Usually this is in the  $\frac{1}{2}$  to 1 microsecond range. If the jitter is low, less coding is needed to reduce the number of bit errors. DLL CDRs can lock to the data in just a few clock cycles by means of phase selection but have high jitter that results in higher bit error rate. Thus, more coding overhead is needed to reduce the number of bit errors. Therefore, there are tradeoffs between fast acquisition and low jitter.

For networks with fast switching between nodes, short acquisition time reduces the number of preamble bits required and results in higher efficiency. Low jitter is important for low bit-error rate (BER) in the transfer of data. Both low jitter and short acquisition time are difficult to achieve simultaneously. PLL CDRs have higher efficiency than DLL CDRs for long connection times since the lower coding overhead makes up for the extra  $\frac{1}{2}$  to 1 microsecond of acquisition time. DLL CDRs have higher efficiency for short connection times since they can start transferring data almost instantly.

This dissertation presents a combined phase selector / PLL CDR which consists of a phase selector (PS), which can lock to the data in just a few clock cycles but has high jitter, and a PLL, which requires a much longer acquisition time but provides a low-jitter clock after locking. For any connection time, the combined CDR has a data transfer efficiency that is higher than or equal to the maximum of the PLL CDR or the DLL CDR. For short connection times, the combined CDR is equal to the DLL CDR since the PLL does not have time to lock. For longer connection times, the additional coding can be removed and the efficiency of the combined CDR is higher than the DLL. For very long connection times, the extra  $\frac{1}{2}$  to 1 microsecond of data transferred does not add significantly to the efficiency achieved by the PLL CDR. The drawbacks of the combined CDR is that more layout area and power dissipation results from the additional circuitry needed. A novel phase frequency magnitude detector (PFMD) is also introduced to substantially reduce the PLL acquisition time. This will allow a further increase in data transfer efficiency.

Since many applications don't need the instant acquisition of the phase selector but can still benefit from the fast PLL acquisition of PFMD CDR, this dissertation also presents the analog implementation of a PFMD CDR without the entire overhead associated with the phase selector of the combined CDR in order to reduce power dissipation and area.

Chapter Two provides a general background on clock and data recovery. Chapter Three describes the designs of the PLL and phase selector circuits, the digit and analog implementation of PFMD, and presents the simulation results. In Chapter Four, measurement results are discussed. Chapter Five is the conclusion.

## CHAPTER TWO

### BACKGROUND

In many systems, data are transmitted or retrieved without any additional time reference, but the receiver must eventually process the data synchronously. Thus, the time information (e.g. clock) must be recovered from the data at the receive end. The common ways to recover the clock are with a phase locked loop or a delay locked loop (DLL).

#### 2.1 Phase Locked Loop

A PLL is a feedback system that operates on the excess phase of nominally periodic signals. The basic topologies and a number of important parameters are discussed for better understanding [2].

##### 2.1.1 Basic topology of a PLL

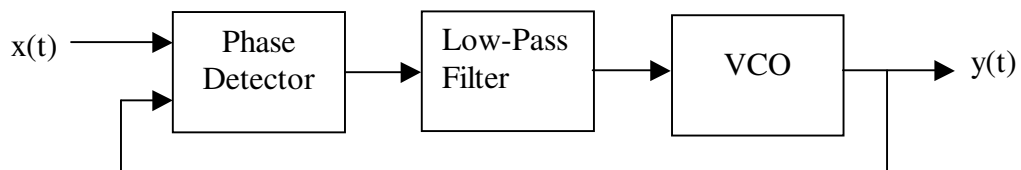


Fig. 2 Basic phase locked loop

Shown in Fig. 2 is a simple PLL which consists of a phase detector (PD), a low-pass filter (LPF), and a voltage controlled oscillator (VCO). In the locked condition, all the signals in the loop have reached a steady state and the PLL operates as follows. The



phase detector produces an output whose dc value is proportional to the phase difference  $\Delta\phi$  between  $x(t)$  and  $y(t)$ . The low-pass filter suppresses high-frequency components in the PD output, allowing the dc value to control the VCO frequency. The VCO then oscillates at a frequency equal to the input frequency and with a phase difference equal to  $\Delta\phi$ . Thus, the LPF generates the proper control voltage for the VCO.

***Loop bandwidth and damping factor***

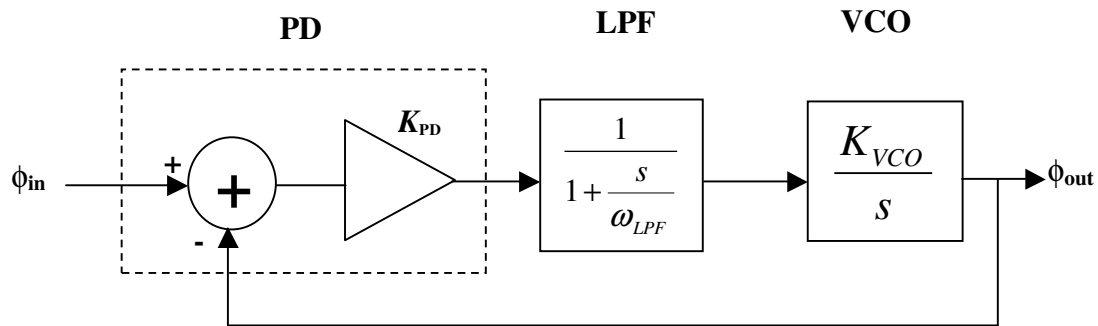


Fig. 3 Linear model of the PLL

Fig. 3 shows a linear model of the PLL along with the transfer function of each block, assuming a first-order LPF for simplicity. The PD is represented by a subtractor whose output is “amplified” by  $K_{PD}$ . The model is to provide the overall transfer function for the phase,  $\Phi_{out}(s)/\Phi_{in}(s)$ , which consists of the phase subtractor, the LPF transfer function  $1/(1 + s/\omega_{LPF})$ , where  $\omega_{LPF}$  denotes the  $-3\text{dB}$  bandwidth, and the VCO transfer function  $K_{VCO}/s$ . The open-loop transfer function of the PLL is therefore equal to

$$H(s)_{open} = K_{PD} \cdot \frac{1}{1 + \frac{s}{\omega_{LPF}}} \cdot \frac{K_{VCO}}{s}, \quad (2.1)$$

revealing one pole at  $s = -\omega_{LPF}$  and another at  $s = 0$ . Note that the loop gain is equal to  $H(s)_{open}$  because of the unity feedback factor. Since the loop gain contains a pole at the origin, the system is called a “type I” PLL.

From the open-loop transfer function the closed-loop transfer function is

$$H(s) = \frac{\Phi_{out}(s)}{\Phi_{in}(s)} = \frac{H(s)_{open}}{1 + H(s)_{open}} = \frac{K_{PD} K_{VCO}}{\frac{s^2}{\omega_{LPF}} + s + K_{PD} K_{VCO}} \quad (2.2)$$

We convert the denominator of Equation (2.2) to the familiar form used in control theory,  $s^2 + 2\xi\omega_n s + \omega_n^2$ , where  $\xi$  is the damping factor and  $\omega_n$  is the natural frequency.

Thus,

$$H(s) = \frac{\omega_n^2}{s^2 + 2\xi\omega_n s + \omega_n^2} \quad (2.3)$$

where

$$\omega_n = \sqrt{\omega_{LPF} K_{PD} K_{VCO}} \quad (2.4)$$

$$\xi = \frac{1}{2} \sqrt{\frac{\omega_{LPF}}{K_{PD} K_{VCO}}} \quad (2.5)$$

Note that  $\omega_n$  is the “natural frequency” and  $\xi$  is the “damping factor”.  $\xi$  is usually greater than 0.5 and preferably equal to  $\sqrt{2}/2$  so as to provide an optimally flat frequency response. Therefore,  $K_{PD} K_{VCO}$  and  $\omega_{LPF}$  cannot be chosen independently. These limitations translate to significant phase error between the input and the output as well as a narrow capture range.

### ***Track range***

The tracking behavior is distinctly different in two different cases: 1) the input frequency varies slowly (static tracking), and 2) the input frequency is changed abruptly (dynamic tracking).

In the first case, the input frequency varies slowly such that the difference between  $\omega_{in}$  and  $\omega_{out}$  always remains much less than  $\omega_{LPF}$ . The PLL tracks as long as the magnitude of the VCO control voltage varies monotonically. The edge of the tracking range is reached at the point where the gain of the PD or the gain of the VCO drops sharply or changes sign.

### ***Capture (acquisition) range***

In the second case mentioned above, with an input frequency step at its input, the PLL loses lock, at least temporarily. There are two similar situations: 1) a loop initially locked at  $\omega_{ini}$  experiences a large input frequency step,  $\Delta\omega$ ; and 2) a loop initially unlocked and free running at  $\omega_{ini}$  must lock onto an input frequency given by  $|\omega_{in} - \omega_{ini}| = \Delta\omega$ . In both situations, the loop must acquire lock. The acquisition range (also called the capture range) is the maximum value of  $\Delta\omega$  for which the loop locks. Acquisition range is a critical parameter because 1) it trades directly with the loop bandwidth. The acquisition range depends on how much the LPF passes the component at  $\Delta\omega$  and how strong the dc feedback component is; 2) the acquisition range determines the maximum frequency variation in the input or the VCO that can be accommodated. In monolithic implementations, the VCO free-running frequency can vary substantially with temperature and process, thereby requiring a wide acquisition range even if the input

frequency is tightly controlled.

### *Acquisition time*

The acquisition time and settling time of PLLs, which are inversely proportional to  $\xi\omega_n$ , are important in many applications. For a simple second-order PLL, the acquisition time is inversely proportional to  $\omega_{LPF}$ . In fact, nonlinearities in  $K_{PD}$  and  $K_{VCO}$  result in different settling characteristics, and simulations must be used to predict the acquisition time accurately.

### *Jitter*

Another important issue in PLL designs is jitter. “Cycle-to-cycle” jitter is often used to describe the performance of a PLL, which is the difference between every two consecutive periods of an almost-periodic waveform. Two jitter phenomena in PLLs are of great interest: (a) the input exhibits jitter, and (b) the VCO produces jitter. The response of the PLL to these two types of jitter is different. To suppress the jitter caused by additive noise in the input, the PLL should be designed so that the noise bandwidth of the PLL is minimized. This means smaller loop gain, which causes narrow noise bandwidth. On the other hand, in order to suppress the jitter caused by the noise generated in the PLL itself, the operation of the PLL needs to be stable. The output jitter due to PLL circuits is inversely proportional to the loop gain. In other words, larger loop gain can reduce the jitter caused by the noise in the CDR.

### 2.1.2 Charge-pump PLL

Many modern applications use a charge-pump PLL due to the trade-off between  $\xi$  and  $\omega_{LPF}$  in the simple PLL shown in Fig. 2 [40]. Charge-pump PLLs incorporate a phase detector and a charge pump (Fig. 4) instead of the combinational PD and the LPF in Fig. 2. In order to stabilize the system, a resistor is added in series with the loop filter capacitor to introduce a zero in the loop gain.

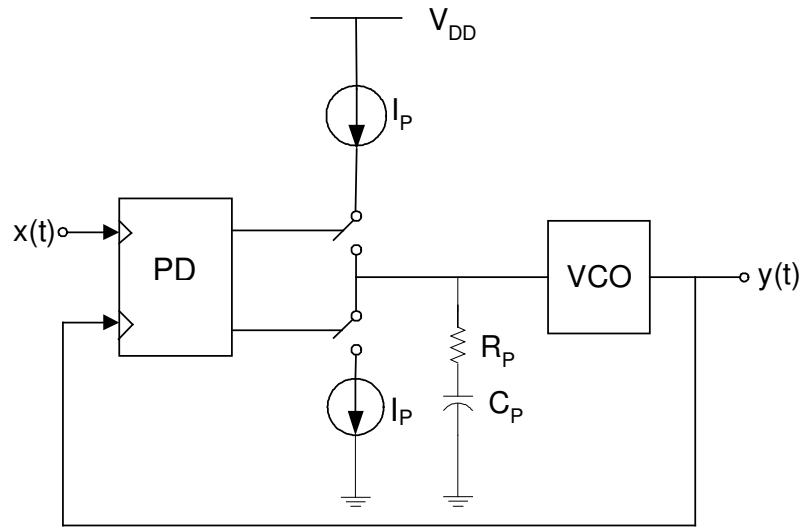


Fig. 4 Charge-pump PLL

The linear model of the charge-pump PLL is shown in Fig. 5.

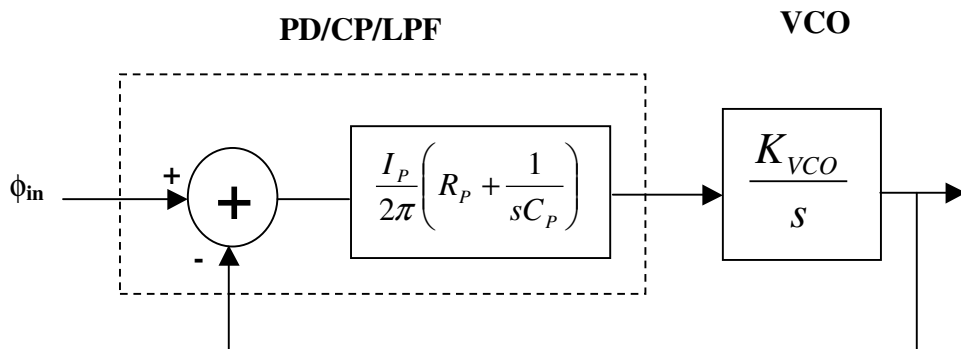


Fig. 5 Linear model of charge-pump PLL

Shown in Fig. 5, the model gives an open-loop transfer function

$$H(s)_{open} = \frac{I_P}{2\pi} \left( R_P + \frac{1}{C_P s} \right) \frac{K_{VCO}}{s}.$$

Since the loop gain has two poles at the origin, this topology is called a “type II” PLL.

The PLL close-loop transfer function is equal to

$$H(s) = \frac{\frac{I_P K_{VCO}}{2\pi C_P} (R_P C_P s + 1)}{s^2 + \frac{I_P}{2\pi} K_{VCO} R_P s + \frac{I_P}{2\pi C_P} K_{VCO}} \quad (2.6)$$

Using the same notation as that for the simple PLL,

$$\omega_n = \sqrt{\frac{I_P K_{VCO}}{2\pi C_P}} \quad (2.7)$$

$$\xi = \frac{R_P}{2} \sqrt{\frac{I_P C_P K_{VCO}}{2\pi}} \quad (2.8)$$

Since a charge-pump PLL is used in the CDR design, detailed expressions of PLL jitter and acquisition will be given.

### ***PLL Acquisition***

For an out-of-lock PLL, there are two similar situations: 1) the frequency difference between input and VCO is less than the loop bandwidth, and the loop will lock very quickly. The maximum frequency difference for which this fast acquisition is possible is called the lock-in frequency  $\Delta\omega_L$ , and the required time is called lock-in time  $T_L$ . 2) The initial frequency difference may greatly exceed the loop bandwidth, and the

VCO frequency will slowly walk in toward the input frequency. The maximum frequency difference from which the loop will eventually lock is called the acquisition range  $\Delta\omega_p$  (pull-in frequency), and the required time is called acquisition time (pull-in time)  $T_p$  [38].

The lock-in frequency can be expressed as

$$\Delta\omega_L \approx 2\xi\omega_n = \frac{R_P I_P K_{VCO}}{2\pi} \quad (2.9)$$

The lock-in time  $T_L$  is on the order of  $\frac{1}{\omega_n}$  seconds.

The acquisition range can be given approximately by [39]

$$\Delta\omega_p \approx \frac{8}{\pi} \sqrt{\xi\omega_n K_v} \quad \text{for } \omega_n \gg K_v \quad (2.10)$$

where  $K_v$  is equal to  $\frac{I_p}{2\pi} K_{VCO}$ . A narrow-band loop has a small acquisition range.

The acquisition time is given approximately by

$$T_p \approx \frac{(\Delta\omega)^2}{2\xi\omega_n^3} \quad (2.11)$$

A narrow-band loop can take a long time to pull in. Therefore, the acquisition range increases with  $\omega_n$  while the acquisition time decreases with  $\omega_n$ .

### ***PLL jitter***

Two types of jitter in a PLL are of great interest: ( I ) jitter caused by additive noise in the input signal , and ( II ) jitter caused by noise generated in the VCO [37].

( I ) Suppose the input signal is accompanied by an additive noise, and its phase spectral density is

$$S_{\alpha}(f) = \frac{\nu}{2} \text{ (rad}^2\text{/Hz)} \quad \text{for } -W < f < W .$$

Then the output phase jitter is given by

$$\begin{aligned} \sigma_{\phi} &= \left( \int_{-W}^W \frac{\nu}{2} |H(j2\pi f)|^2 df \right)^{1/2} \\ &= \left( \int_{-\infty}^{\infty} \frac{\nu}{2} |H(j2\pi f)|^2 df \right)^{1/2} \cong \left( \frac{\nu}{2} (2B_n) \right)^{1/2} \end{aligned} \quad (2.12)$$

where  $H(j2\pi f)$  is the PLL transfer function as shown in Equation (2.6),  $B_n$  is the “loop-noise bandwidth” and  $|H(j2\pi f)|^2 \cong 0$  for  $-W < f < W$  .

The “loop-noise bandwidth” is

$$B_n = \int_0^{\infty} |H(j2\pi f)|^2 df = \frac{\omega_n}{2} \left( \xi + \frac{1}{4\xi} \right) \quad (2.13)$$

so

$$\sigma_{\phi} = \left( \frac{\nu\omega_n}{2} \left( \xi + \frac{1}{4\xi} \right) \right)^{1/2} \quad (2.14)$$

Equation (2.14) shows that if the noise bandwidth is narrowed by decreasing  $\omega_n$  , the jitter due to input jitter can be reduced.

( II ) Since short-term frequency instability of a VCO can be represented as a frequency modulation by white noise within a certain bandwidth  $W$  , the phase spectral density of the resulting random phase modulation is then given by

$$S_{\theta} = \frac{\eta}{2} \frac{1}{f^2} \text{ (rad}^2\text{/Hz)} \quad \text{for } -W < f < W .$$

Suppose the input has additive noise, and the loop is in lock. Then the output



phase jitter caused by VCO phase modulation is given by

$$\begin{aligned}\sigma_{\theta} &= \left( \int_{-w}^w \frac{\eta}{2} \frac{|1 - H(j2\pi f)|^2}{f^2} df \right)^{1/2} \\ &= \left( \frac{\eta}{2} \frac{\pi^2}{\xi \omega_n} \right)^{1/2}\end{aligned}\tag{2.15}$$

In this equation, the jitter is inversely proportional to  $\omega_n$ . In other words, jitter caused by noise generated in the PLL reduces as  $\omega_n$  increases.

For clock recovery applications there is usually a significant amount of jitter in the input signal as well as the VCO. Thus, there is a trade-off in the choice of the loop bandwidth. To suppress the jitter caused by additive noise in the input, the PLL should be designed so that the noise bandwidth of the PLL is minimized and the loop will not try to track input fluctuation strongly. On the other hand, this means the narrow bandwidth PLL does not correct VCO timing errors as quickly. The narrow bandwidth also results in smaller acquisition range and larger acquisition time.

### 2.1.3 Jitter vs. phase noise

Phase noise and jitter are different ways of quantifying the same phenomenon. Jitter is a measurement of the variations in the time domain, and essentially describes how far the signal period has varied from its ideal value. Phase noise is another measure of variations in signal timing, but in the frequency domain [41].

Fig. 6 shows the power spectrum of an oscillator signal exhibiting undesirable phase fluctuations due to intrinsic device noise. If there was no phase noise, the entire power of the oscillator would be concentrated at the carrier frequency  $f_0$  alone. However,

phase noise spreads some of the oscillator's power to adjacent frequencies, which results in sidebands. In Fig. 6, the sidebands are shown falling off at  $1/\Delta f$  for low offset frequencies  $\Delta f$  from the carrier mainly due to device flicker noise and flatter for higher offset frequencies where the behavior is dominated by the thermal noise in the devices of the oscillator circuit.

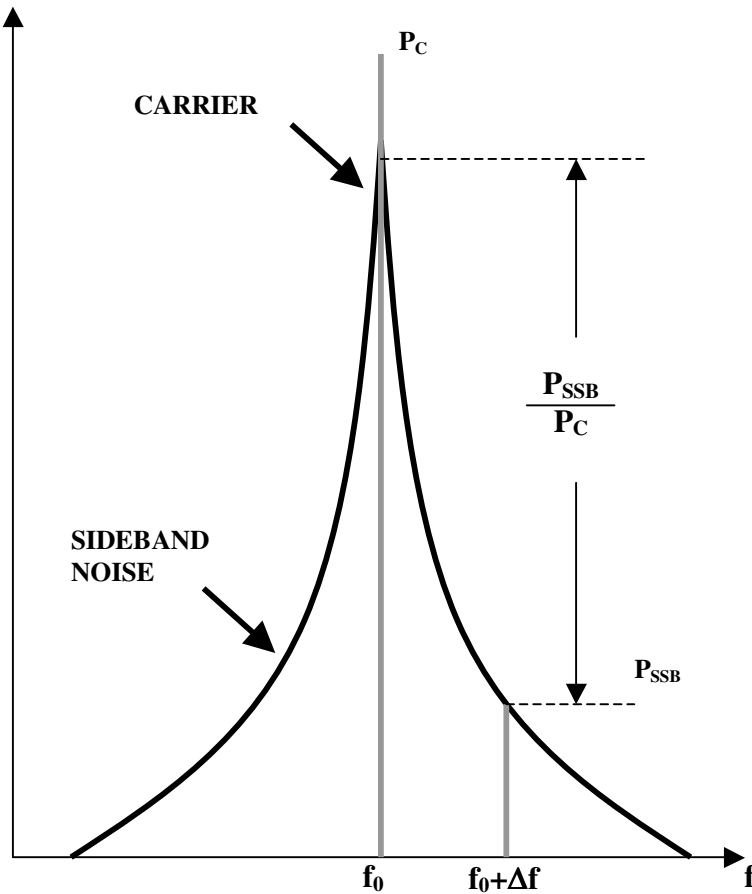


Fig. 6 Oscillator power spectrum

Phase noise is represented as a ratio of power in 1Hz bandwidth in one sideband to the power of the carrier,  $\frac{P_{SSB}}{P_C}$ , where  $P_{SSB}$  is the power in one sideband and  $P_C$  is the

power of the carrier. Phase noise is usually specified in dBc/Hz at a frequency offset  $\Delta f$  from the carrier, where dBc is the level in dB relative to the carrier. Fig. 7 shows phase noise of the oscillator. The spectrum in Fig.7 is also called the spectral density of phase fluctuations. There are regions in the sidebands where the phase can vary as  $1/f^3$  and  $1/f^2$ , depending on the noise process involved. The  $1/f^2$  region is referred to as the “white frequency” variation region, since it is due to white, or uncorrelated, fluctuations in the period of the oscillator caused by thermal noise. For low enough offset frequencies, the flicker noise of devices comes to play and the spectrum in this region varies as  $1/f^3$ .

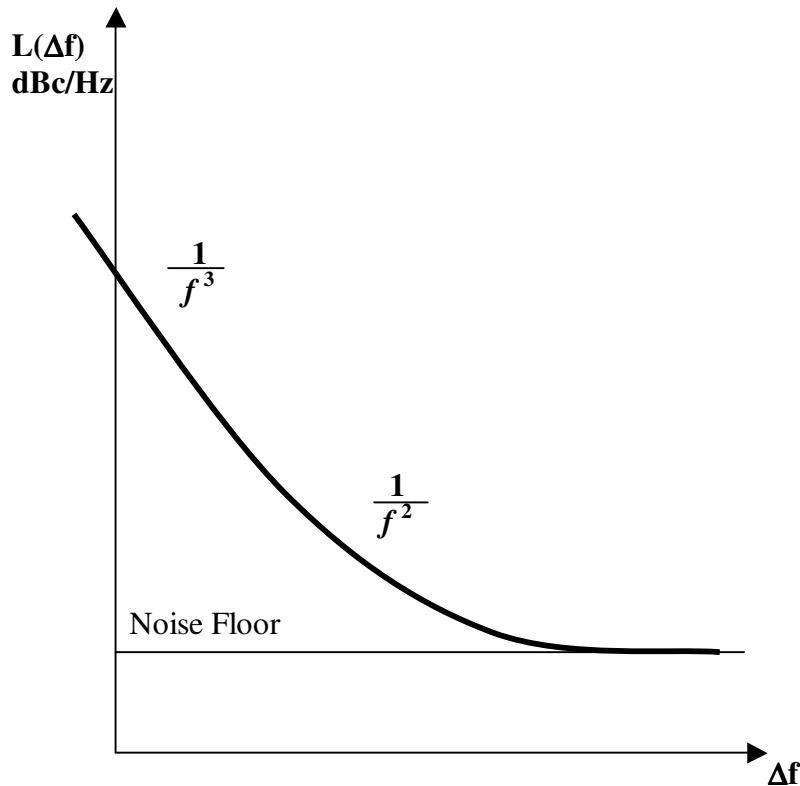


Fig. 7 Phase noise  $L(\Delta f)$  plot of the oscillator

### ***Translating between phase noise and jitter***

Since jitter and phase noise characterize the same phenomenon, it can be useful to derive a jitter value from a phase noise measurement. This can be done as follows.

The phase noise  $L(\Delta f)$  plot, as shown in Fig. 7 gives the single sideband noise distribution in the form of a power spectral density function in units of dBc. The total noise power  $N$  (dBc) of the single sideband can be determined by integrating the  $L(\Delta f)$  function over the band of interest, from  $f_1$  to  $f_2$ , as shown in Equation (2.16)

$$N(\text{dBc}) = \text{NoisePower} = \int_{f_1}^{f_2} L(f)df \quad (2.16)$$

The RMS phase jitter caused by this noise power can be determined by

$$\sigma_{\phi}(\text{radian}) = \sqrt{10^{\frac{N}{10}} \times 2} \quad (2.17)$$

To convert to time, divide Eq (2.17) by the frequency of the carrier in radians, as follows:

$$\text{RMSjitter}(\text{sec}) = \frac{\sigma_{\phi}(\text{radians})}{2 \times \pi \times f_0} \quad (2.18)$$

### ***Relationship between phase noise and jitter for a PLL***

When the VCO is free running (PLL open loop), the power spectral density (psd) of the phase noise is shown in Fig. 7. Assuming the phase noise is dominated by integrated white noise, the phase noise psd  $S_{\phi\text{OPEN}}$  at the VCO output is modeled by

$$S_{\phi\text{OPEN}}(f) = \frac{N_1}{f^2} \quad \text{dBc/Hz} \quad (2.19)$$

where  $f$  is the offset frequency from the “carrier” (VCO free-running frequency)

and  $N_1$  is the noise power of the VCO.

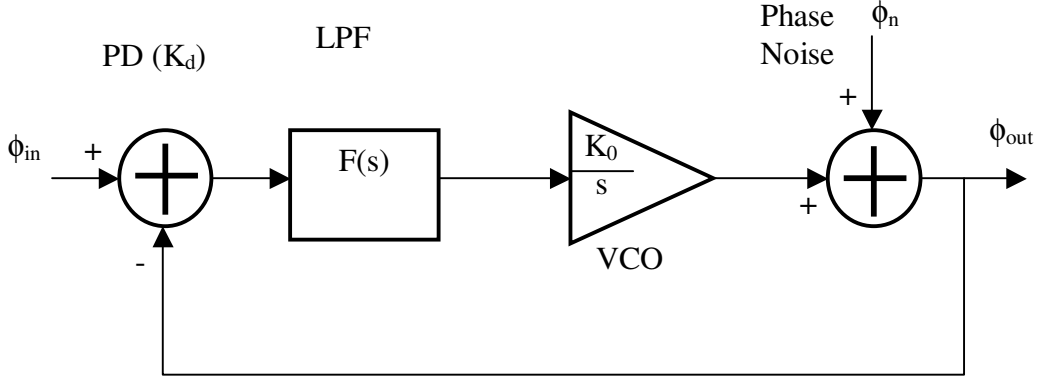


Fig. 8 Block diagram of the PLL with phase noise

Fig. 8 shows the block diagram of a PLL with a phase noise model included.  $\phi_{in}$  is the input phase.  $\phi_{out}$  is the phase of the PLL output.  $\phi_n$  represents the phase noise of the VCO referred to its output. In many applications, the loop transfer function is overdamped, and the noise transfer function from  $\phi_n$  to  $\phi_{out}$  can be approximated as [42]

$$H(s) = \frac{\phi_{out}}{\phi_n} = \frac{s}{s + 2\pi f_L} \quad (2.20)$$

where  $f_L$  is the loop bandwidth of the PLL.

The phase noise psd of PLL,  $S_{\phi_{CLOSE}}$ , as shown in Fig. 9, is given by

$$S_{\phi_{CLOSE}}(f) = S_{\phi_{OPEN}} \times |H(f)|^2 = \frac{N_1 / f_L^2}{1 + (f / f_L)^2} . \quad (2.21)$$

Since the PLL drives the VCO to track the input signal, the noise power levels off for offset frequencies below the loop bandwidth  $f_L$ .

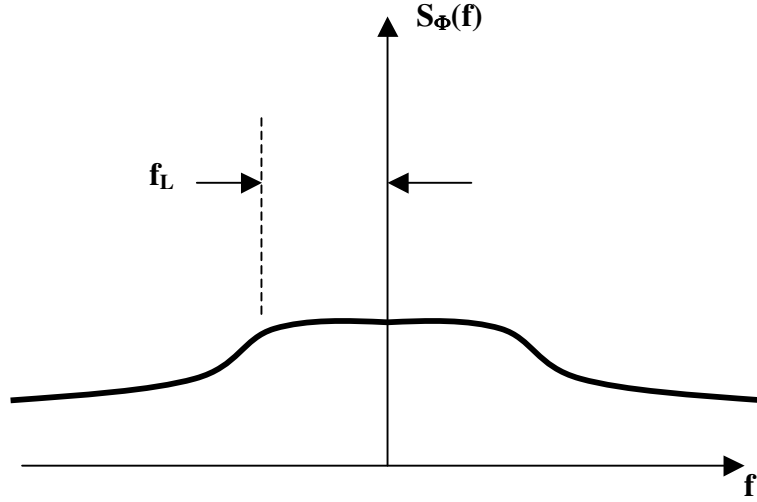


Fig. 9 Phase noise of PLL

The phase noise psd in Equation (2.21) can be integrated over all frequencies to give the average power of the jitter process, which gives the variance of jitter performance,  $\sigma_\phi^2$ :

$$\int_{-\infty}^{+\infty} \frac{N_1 / f_L^2}{1 + (f / f_L)^2} df = \frac{N_1 \pi}{f_L} = \sigma_\phi^2 \quad (2.22)$$

where  $\sigma_\phi$  is the rms phase jitter in units of radians. The rms jitter in units of time gives

$$RMSjitter = \frac{1}{f_0} \sqrt{\frac{N_1}{4\pi f_L}} \quad (2.23)$$

Since the phase noise psd  $S_{\phi OPEN}(f)$  in Equation (2.19) is given in units of dBc/Hz,  $N_1$  is determined by

$$N_1 = 10^{S_{\phi OPEN}(f)/10} \times f^2 \quad (2.24)$$

where  $f$  is the offset frequency from the VCO free-running frequency.

#### 2.1.4 Applications

A number of approaches have been proposed for developing a CDR using the PLL technique [3]-[8]. The advantage of the PLL CDR is that a PLL offers low clock jitter after it acquires lock.

All of the building blocks in the PLL CDR of reference [3] are fully differential to minimize the effect of supply and common-mode noise. This recovered clock exhibits an rms jitter of 10.8 ps for 2.5 Gbps pseudo-random bit sequence (PRBS) NRZ data of length  $2^7 - 1$ .

The PLL CDR in [4] uses half-frequency clock because of the unusual phase detector which uses a DLL to generate multiple sampling clocks. The clock jitter is about 350 ps at 1 Gbps with a  $2^{15} - 1$  length data.

Reference [5] describes a 10 Gbps CMOS CDR which uses a linear phase detector to compare the phase of the incoming data with that of a half-rate clock. Compared to nonlinear bang-bang PDs, linear PDs generate a linearly proportional output that drops to zero when the loop is locked, resulting in less charge pump activity, smaller ripple on the oscillator control line, and hence lower jitter. The circuit exhibits an rms jitter of 1 ps in the recovered clock with random data input of length  $2^{23-1}$ .

With long random pattern data input, the VCO control voltage is pulled back to its natural frequency during the input of consecutive data bits, making the PLL more unstable and resulting in larger output jitter. To stabilize the PLL with small output jitter, the PLL CDR in [6] inserts a S/H switch between the phase comparator and the LPF. The phase detector output signal can be transferred to the LPF only when the S/H switch is in the sample mode. By setting the S/H switch in the hold mode during the consecutive data

period, the control voltage for the VCO can be kept constant to reduce the output jitter. The CDR circuit demonstrated error-free operation with an input of  $2^{23-1}$  PRBS data at 156 Mbps.

The loop gain of the PLL can be adjusted to suppress different jitter sources. The PLL CDR in [7]-[8] inserts a gain control amplifier (GCA) circuit to adjust the loop gain. The design utilizes large loop gain to reduce the jitter caused by noise generated in the CDR circuit and small loop gain to suppress the input jitter.

In most applications, the PLL CDRs concentrate on reducing the input jitter, which requires a narrow loop bandwidth to meet the jitter transfer specification. This in turn severely limits the capture range and acquisition time of the PLL. Therefore, frequency detection is also necessary to guarantee lock in the presence of large oscillator frequency variations. A phase frequency detector (PFD) significantly increases acquisition range and lock speed of a PLL, compared to a conventional PLL with phase detector only. Different PFD schemes for NRZ data have been proposed.

A number of CDR architectures are based on analog or digital implementation of the “quadricorrelator” introduced by Richman [9] and modified by Bellisio [10]. The analog implementation of the architecture is shown in Fig.10. The quadricorrelator, which consists of Loop 1 and Loop 2, detects the frequency difference between the clock frequency of the random input data and VCO free-running frequency. Once the frequency lock has been established, the loop is dominated by Loop 3 and the feedback signal of the frequency difference becomes a small offset signal. This technique prevents narrowing of the acquisition range in a conventional single-loop PLL. At the same time, it can achieve a low cut-off frequency of the jitter transfer curve by setting a narrow loop bandwidth of



Loop 3. The CDR circuit based on the analog quadricorrelator exhibits an rms jitter of 9.5ps and a capture range of 300 MHz at 2.5 Gbps [11].

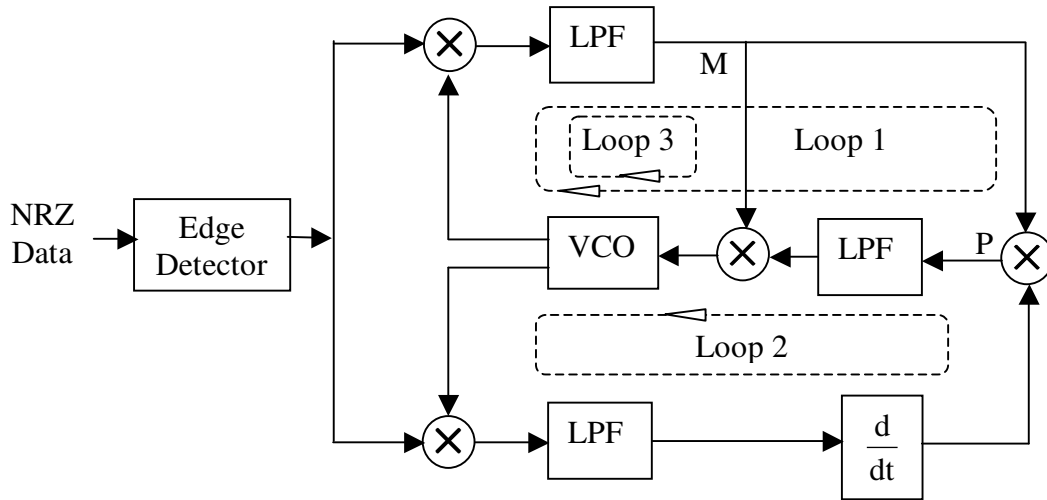


Fig. 10 A quadricorrelator PLL

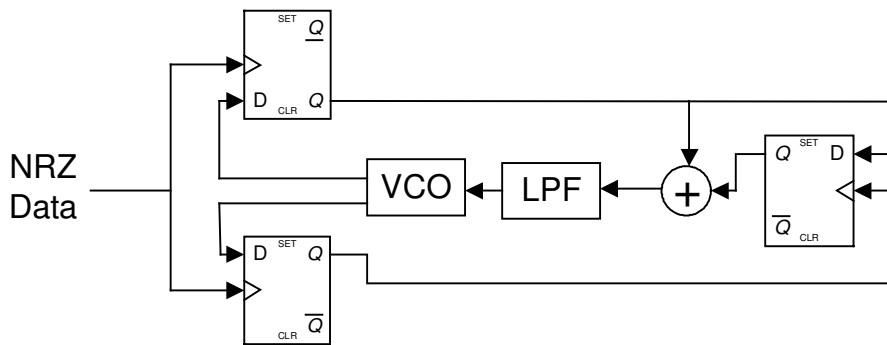


Fig. 11 Digital implementation of quadricorrelator

The quadricorrelator can also be realized in digital form. The architecture of Fig. 10 can be “digitalized” as shown in Fig. 11. Since the digital quadricorrelator works

without signal preprocessing, internal filtering, and phase shifting, which are required for the analog quadricorrelator approach, many CDR circuits utilize the quadricorrelator in digital form [12]-[16]. The PFD IC in reference [12], which comprises a phase detector, a quadrature phase detector and frequency detector, was fabricated in a 0.9  $\mu\text{m}$  12 GHz  $f_T$  silicon bipolar process. The measured rms jitter of the recovered clock is less than 1.9 ps for a PRBS length of  $2^{23}-1$ . The PFD concept in reference [13]-[14] are based on the architecture in reference [12]. The measured rms jitter of the CDR IC in [13] is 3.8 ps at 2.488 Gb/s. The CDR in [14] exhibits a measured rms clock jitter of 12.5 ps at 933 MHz. In [16] the PFD consists of a phase detector in which in-phase and quadrature phases of a half-rate clock signal sample the data in two double-edge-triggered flipflops and a frequency detector. The CDR exhibits a measured rms clock jitter of 0.8 ps at 9.95328 Gb/s for a PRBS length of  $2^{23}-1$ .

The duplicated loop control CDR in [17] consists of two-SF (switched filter) CDRs to achieve about twice the acquisition range of a single loop CDR and an rms jitter of 3.8ps at 2.5 Gbps. One loop (Loop F) has large loop gain and the other loop (Loop P) has small gain. A CDR using only Loop P has narrow acquisition range, yet provides a lower cut-off frequency of the jitter transfer curve. On the other hand, a CDR using only Loop F has wide capture range and a higher cut-off frequency of the jitter transfer curve.

A PLL CDR in [18] with frequency detection achieves a wide acquisition range of 20% and jitter of 7.4ps. Other types of PFD for NRZ data are described in [19]-[22].

The PLL CDRs with PFD increase the capture range by adding frequency detectors, but acquisition time is rather limited since the PFD used in a charge-pump PLL estimates the frequency difference between the reference and the generated clocks by

means of the phase difference. A low-noise fast-lock PLL with adaptive bandwidth control can lock in about 30 clock cycles with 20 ps peak-to-peak jitter [23]. However, it uses a reference clock as input instead of random data.

## 2.2 Delay locked loop (DLL)

In applications where no clock synthesis is required, DLLs provide an attractive alternative to generate multiple clock phases due to their fast acquisition time, low phase error accumulation and better stability. Fig. 12 shows the block diagram of a typical delay locked loop, which consists of a phase detector, charge pump, low pass filter and voltage controlled delay line (VCDL). The delay through the VCDL is adjusted with negative feedback in the loop by integrating the phase error that results between the input clock and the delay line output. The VCDL provides multiple clock phases with adjusted delay. Once in lock, the VCDL will delay the input clock by a certain amount of time so that there is no detected phase error between the input clock and output. Therefore, the VCDL delay must be a multiple of the input clock period.

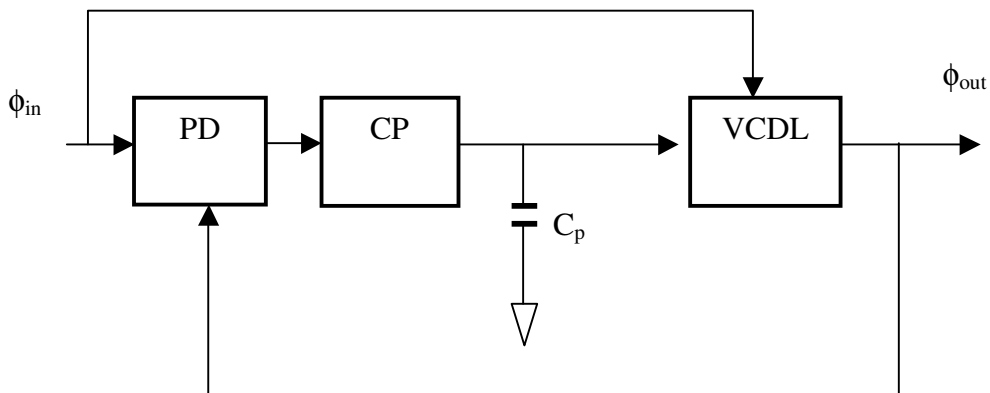


Fig. 12 A typical delay locked loop

The linear model of the delay locked loop is shown in Fig. 13.

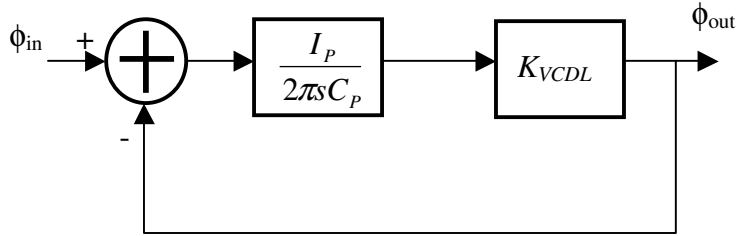


Fig. 13 The linear model of the delay locked loop

The close-loop transfer function of the delay locked loop is equal to

$$H(s) = \frac{1}{1 + \frac{s}{\frac{I_p K_{VCDL}}{2\pi C_p}}} \quad (2.25)$$

Equation (2.25) shows that the DLL has a first-order close-loop response. Thus, its stability and settling issues are more relaxed than those of a PLL. Moreover, delay lines are generally less susceptible to noise than oscillators are because corrupted zero crossings of a waveform disappear at the end of a delay line whereas they are recirculated in an oscillator, thereby experiencing more corruption [40].

A block diagram of a phase selection based DLL CDR [24] is shown in Fig. 14. The data are retimed with a flip-flop (FF) in the DLL. The multiphase VCO generates a number of equally spaced clock phases at a frequency close to the data rate. The DLL CDR generates the recovered clock by selecting the clock phase from the multiphase VCO that is best aligned with the incoming data. If there is a certain frequency difference between the VCO frequency and the incoming data, an appropriate clock can still be

generated by selecting a different phase over time.

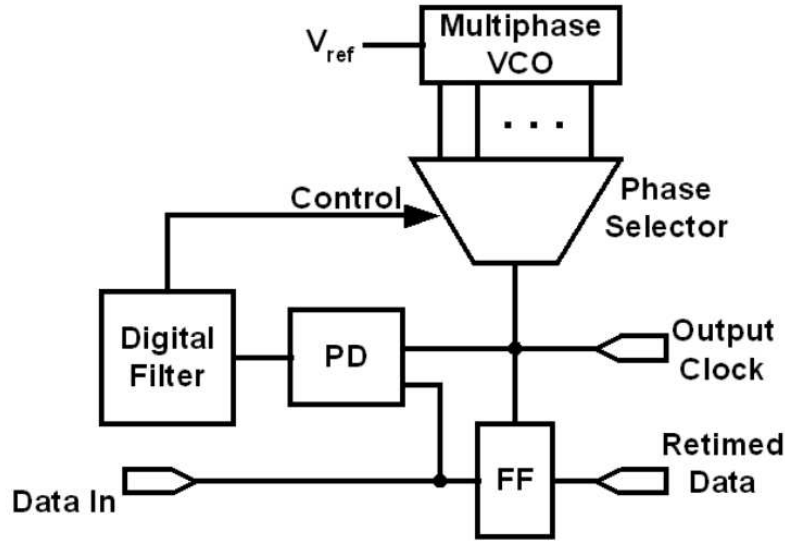


Fig. 14 A phase selection based DLL CDR

The phase selection based DLL CDR has fast acquisition time of a few clock cycles. However, high cycle-to-cycle jitter results when different clock phases are selected. By increasing the number of phases, the smaller phase spacing reduces the jitter. Adding more phases to the multi-phase VCO will limit the speed unless phase interpolation is used [25], but this adds considerable complexity. The proposed DLL based clock recovery in [26] can operate at a maximum rate of  $f_{clock} = 165\text{MHz}$  to recover  $f_{clock} / 4$  NRZ data within one data transition and with 12.73 ps rms jitter.

Different DLL CDRs have been proposed to trade the fast acquisition time for low jitter and better jitter tolerance. Phase selection feedback is used in a DLL CDR [27] to achieve lower jitter of 8ps at a cost in acquisition time. A 900 Mbps CMOS data recovery DLL using half-frequency clock reduces rms jitter from 118.2 ps to 31.3 ps [28].

Other DLLs use phase mixers, phase selection, phase interpolation or self-biased technique to achieve low jitter but long acquisition time [29]-[32]. Although a DLL in [33] achieves both low jitter of 16ps and fast locking of 2 cycles using measure and control scheme, the input of all these DLLs is a clock instead of NRZ random data. Furthermore, DLLs generally require a reference clock while PLLs synthesize an in-phase frequency equal to that of the data.

### **2.3 Combined delay and phase locked loop CDR**

PLL solutions to CDRs usually use narrow-band loop filters to reduce jitter which results in longer acquisition time. Although many PLL CDRs utilize techniques such as PFD and PLL time-constant gear shifting to achieve fast acquisition at the start of the incoming data, these techniques are limited since the PLL evaluates the frequency difference between the reference and the generated clocks by means of the phase difference. DLL CDRs can lock to the data in just a few clock cycles by means of phase selection but have high jitter that results in higher BER performance. Therefore, combined CDRs have been proposed.

Fig. 15 shows the hybrid CDR presented in [34] which uses a PLL locked into an external reference clock to generate multiple clocks for a 1 GHz effective sampling rate, and a DLL based on the location of detected transitions to process edge detection, acquisition, tracking and programming, and achieves rms jitter of 100 ps, including the input jitter, at a maximum data rate of 33 MHz and instant phase acquisition because of the zero phase start algorithm. The frequency acquisition range of the hybrid CDR is very limited.

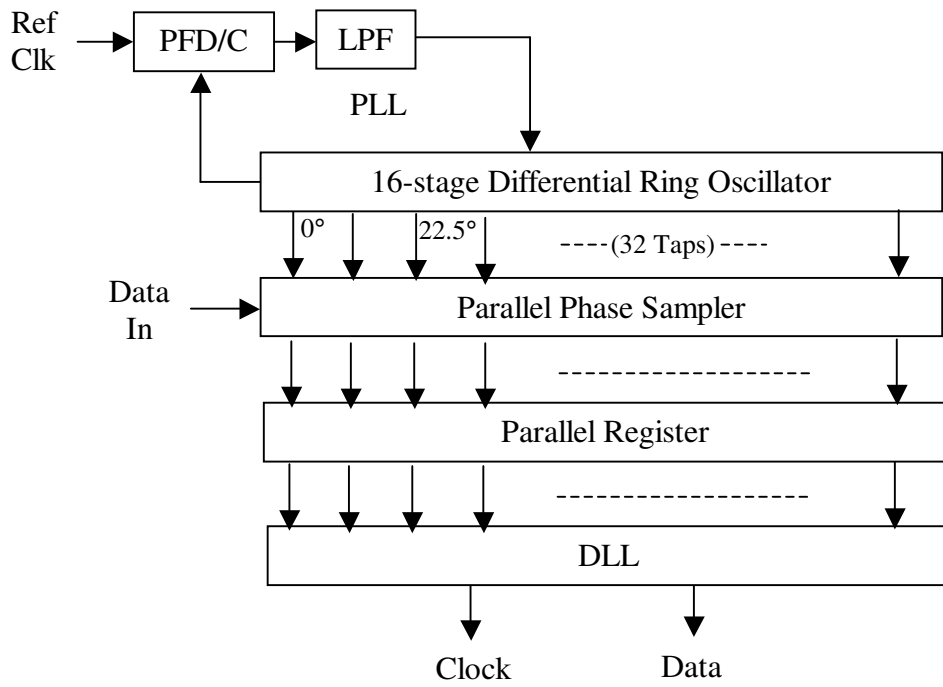


Fig. 15 Hybrid CDR

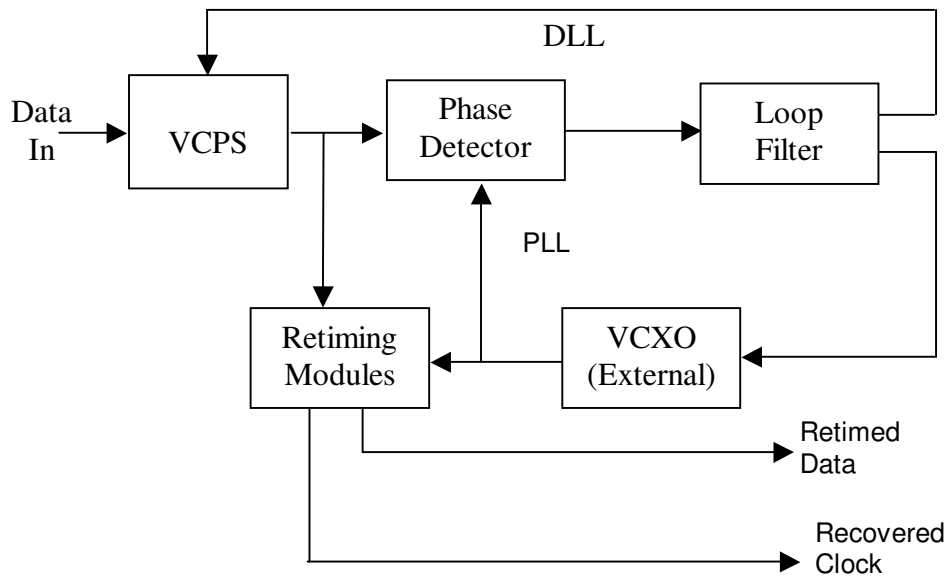


Fig. 16 Block diagram of DLL/PLL CDR

The combined DLL/PLL CDR in [35] does not require an external frequency reference. As shown in Fig. 16, the combined CDR contains two parallel loops. The phase detector, loop filter, and VCXO (external voltage controlled crystal oscillator) form the core of a PLL while the phase detector, loop filter, and VCPS (voltage controlled phase shifter) form the core of a DLL. The two loops in the DLL/PLL act in concert to reduce phase error to zero as follows: if the clock lags the data, the phase detector drives the VCXO to a higher frequency and simultaneously increases the delay through the VCPS. Both of these actions serve to reduce the initial phase error since the faster clock picks up phase, while the delayed data lose phase. Finally, the initial phase error is reduced to zero.

The DLL/PLL realizes rapid acquisition without compromising jitter filtering. While phase errors are nulled out as fast as the DLL bandwidth  $K_D K_\phi$  ( $K_D$  and  $K_\phi$  are the gain constants of phase detector and VCPS) if the frequency of the DLL/PLL's VCXO equals to the incoming data rate, the jitter transfer function's bandwidth is mainly controlled by the low frequency pole at  $K_{VCXO} / K_\phi$  ( $K_{VCXO}$  is the gain constant of VCXO). Increasing the DLL loop bandwidth by increasing  $K_D$  makes the DLL acquire more quickly, but does not diminish the DLL/PLL's ability to filter jitter. However, fast acquisition to a large frequency error cannot be achieved.

#### **2.4 Combined CDR with fast acquisition and low jitter**

Our approach to a fast acquisition CDR circuit with low jitter consists of a phase selector, which can lock to the data in just a few clock cycles but has high jitter, combined with a PLL, which requires a much longer acquisition time but provides a low-



jitter clock after it does lock. A novel phase frequency magnitude detection circuit is also introduced to substantially reduce the PLL acquisition time.

## CHAPTER THREE

### COMBINED CDR WITH FAST ACQUISITION AND LOW JITTER

A combined phase selector/PLL CDR implemented in 0.5  $\mu\text{m}$  CMOS from IBM 5HP BiCMOS process is presented in this dissertation to achieve both fast acquisition time and low jitter [43]-[44].

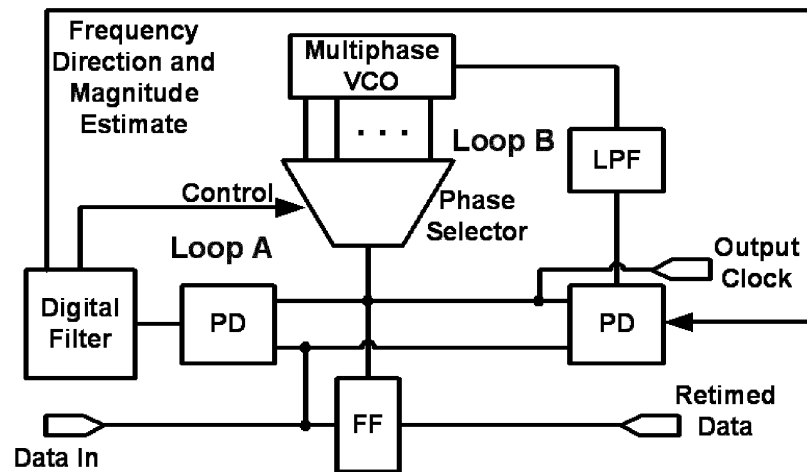


Fig. 17 Combined PS/PLL CDR

A block diagram of the combined PS/PLL CDR is shown in Fig. 17. The phase selector in Loop A can lock to the data with fast acquisition time of a few clock cycles. The rate at which phase switching occurs decreases as the VCO approaches lock. Low jitter is achieved after the PLL in Loop B acquires lock and phase switching is disabled. Simulations in 0.5  $\mu\text{m}$  CMOS technology show operation up to 800 Mbps, a 6% acquisition range, an initial acquisition time of 8 bit times with 211 ps rms jitter, and jitter of 7.5 ps after a PLL acquisition time of 650 ns. Feeding both the sign and magnitude of the frequency difference between the input data and the VCO back to the phase detector

reduces the acquisition time substantially. It is called a phase frequency magnitude detector. Simulations show that the 650 ns acquisition time is reduced by about a factor of 4 to 150 ns from an initial 6% frequency difference.

### 3.1 Phase locked loop

Our conventional PLL design is shown in Fig. 18 and consists of a phase detector, charge pump, low-pass filter and VCO with duty-cycle corrector. Note that an extra capacitor  $C_2$  is added in parallel with  $R_p$  and  $C_p$  compared to the charge pump PLL in Fig. 4. Since the charge pump drives the series combination of  $R_p$  and  $C_p$ , each time current is injected into the loop filter, the control voltage experiences a large step. Even in the locked condition, the mismatch between the currents of the charge pump and the charge injection and clock feedthrough of the switches in the charge pump introduce steps in the VCO control voltage. The resulting ripple severely disturbs the VCO, corrupting the output phase. Therefore, a second capacitor is added to reduce this effect.

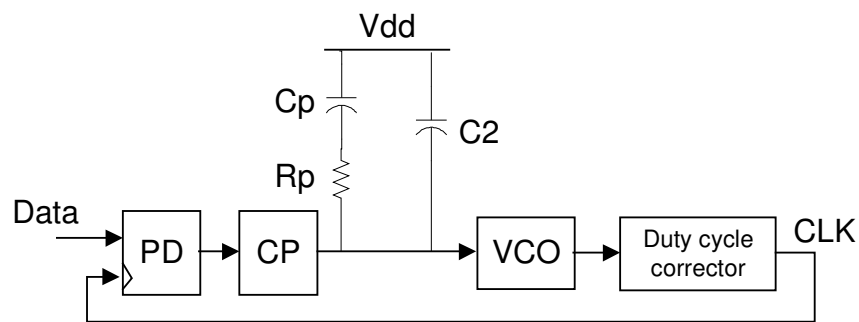


Fig. 18 Phase locked loop

#### *Phase detector*

Because of the random nature of data there is not necessarily a data transition at

every clock cycle and the phase detector needs to handle sequences of consecutive zeros and ones in the data stream. A half-rate phase detector is used in the PLL so that the VCO can run at half the data rate. This relaxes the speed requirements. As an example, at an 800 Mbps data rate, the VCO operates at 400 MHz.

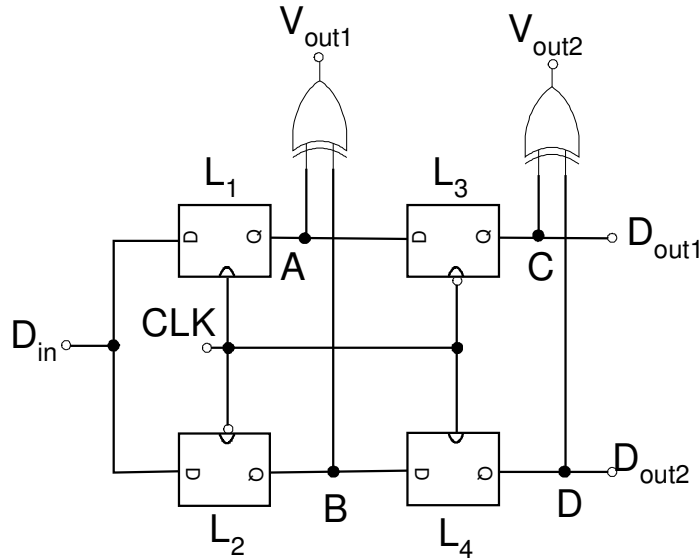


Fig. 19 Half-rate Phase detector

The Hogge topology is used in the half-rate phase detector, as shown in Fig. 19, which uses both edges of the half-rate clock (CLK) to detect data transitions in the full-rate random data ( $D_{in}$ ) [36]. Let  $T_{CLK}$  be the period of the CLK. Assuming  $D_{in}$  leads CLK by  $\Delta T$ ,  $V_{out1} = A \oplus B$  exhibits a pulse of width  $\Delta T$  for each data transition, and  $V_{out2} = C \oplus D$  contains a pulse width of  $T_{CLK} / 2$  for each input data edge, serving as the reference output to overcome the ambiguity caused by data transition density. The difference between the two outputs represents the phase error for data transitions. Under

locked condition, the proportional pulses are  $T_{CLK} / 4$  wide, whereas the reference pulses are  $T_{CLK} / 2$  wide. The disparity between the average values of these outputs is removed by halving the corresponding current source in the charge pump.

The Hoggy topology is a linear PD, generating a small average as the phase error approaches zero. Thus, a charge pump driven by a Hogge PD experiences little “activity” when the CDR is locked.

### *Charge pump*

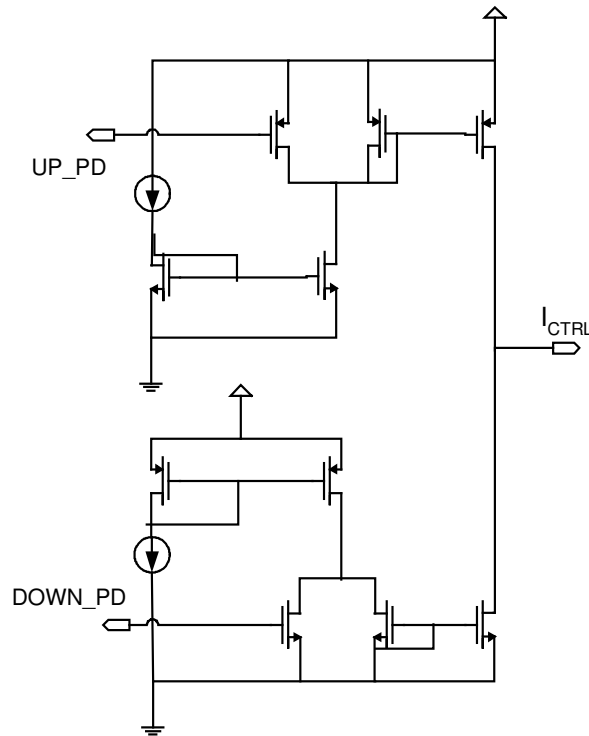


Fig. 20 Charge pump

The output pulses of the PD drive the current mirror of a charge pump [4], as shown in Fig. 20, to assure the charge to the filter will not vary with the VCO control voltage. Decreasing the current level of the charge pump reduces the ripple on the VCO

control voltage and hence the jitter but at the expense of acquisition time and range. A larger current is initially used to achieve acquisition with larger loop bandwidth and then the charge pump can be switched to a smaller current to reduce the jitter after lock is achieved. The smaller current also allows a smaller implementation of the filter capacitor on the chip.

*VCO with duty-cycle corrector [23]*

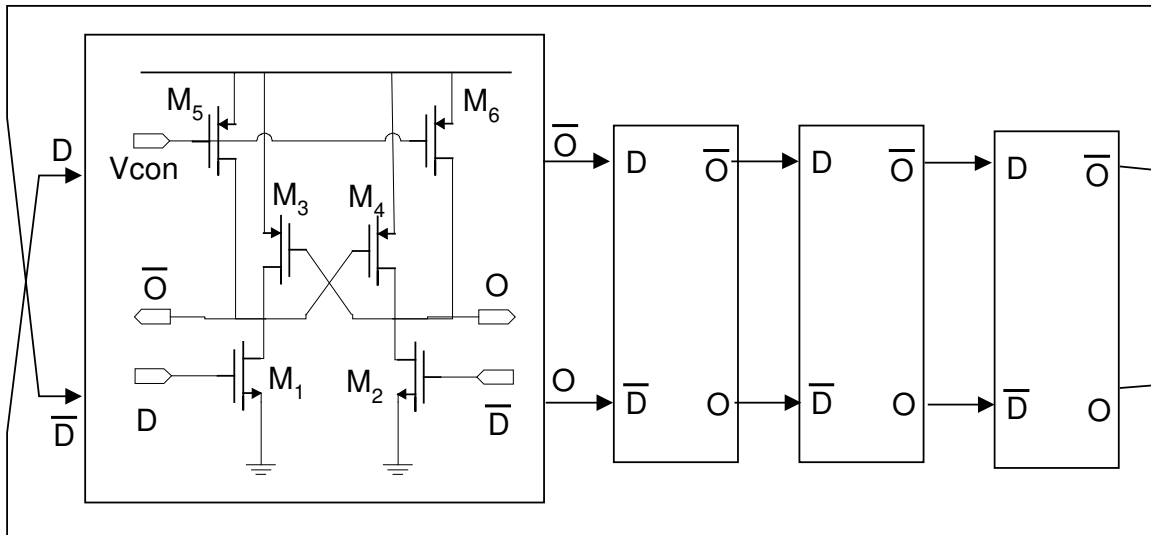


Fig. 21 VCO

The VCO shown in Fig. 21 has four stages and generates multiple equal-spaced phases of the clock for the phase selector. The basic differential delay cell consists of six transistors. The transistors,  $M_1 - M_4$ , constitute a CMOS latch. The PMOS transistors at the top,  $M_5$  and  $M_6$ , control the oscillation frequency. When the VCO control voltage  $V_{con}$  is low, the driving currents of  $M_5$  and  $M_6$  increase. Therefore, the state of the latch is changed easily and the delay time is reduced. The differential delay cell enables the

oscillator to be implemented with an even number of stages with the last stage outputs crossed and connected to the first stage input. Four delay cells are used in the VCO to generate the 8 clock phases required by the phase selector.

The advantage of a differential delay cell is lower susceptibility to power supply noise because the inherent differential structure rejects the power supply noise. A tail current source MOS transistor, which is commonly used in a differential CMOS pair, is avoided to reduce  $1/f$  noise. The latch sharpens the edge of the output signal so that the added noise has little chance to be converted to jitter. Since the delay cell is basically a simple differential inverter, a full-swing waveform is generated without additional level shifters.

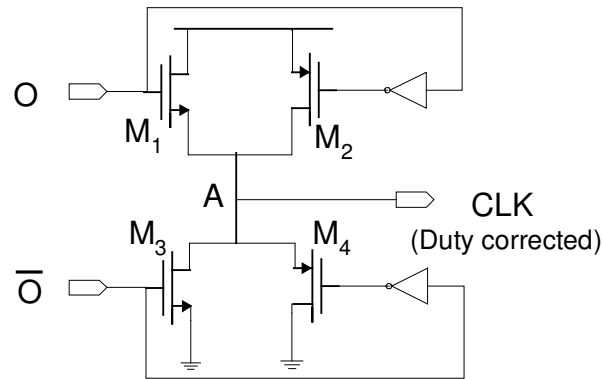


Fig. 22 Duty-cycle corrector

Maintaining 50% duty-cycle ratio is very important in half-rate clock recovery. A duty-cycle corrector, as shown in Fig. 22, ensures a duty cycle close to 50%. The duty-cycle corrector utilizes the differential signal  $O$  and  $\bar{O}$  generated from the multiple phase VCO. When signal  $O$  is high, it charges the output node A through  $M_1$  and  $M_2$  very quickly, because the discharge path of the node A through  $M_3$  and  $M_4$  is off when

signal  $\overline{O}$  is low. Similarly, when signal  $\overline{O}$  is high, it rapidly discharges the node A with the charge path off. Therefore, the rising edge and falling edge of the output signal CLK are aligned with rising edges of signal  $O$  and  $\overline{O}$  respectively. Since the rising edge of the signal  $\overline{O}$  is shifted by  $180^\circ$  in phase from that of  $O$ , the duty-cycle corrector delivers 50% duty-cycle signal CLK. The output signal  $\overline{CLK}$  is generated by exchanging the input signals of  $O$  and  $\overline{O}$ . Eight duty-cycle correctors are used for the eight clock phases from the VCO.

The PLL CDR only has a phase detector instead of a phase frequency detector since the phase selector will provide frequency information. The simulations show the PLL CDR locks to random data  $2^7 - 1$  in about 650 ns with capture range of 1.6% and rms jitter of 7.5 ps. Fig. 23 shows the simulated jitter histogram of the PLL at 800 Mbps.

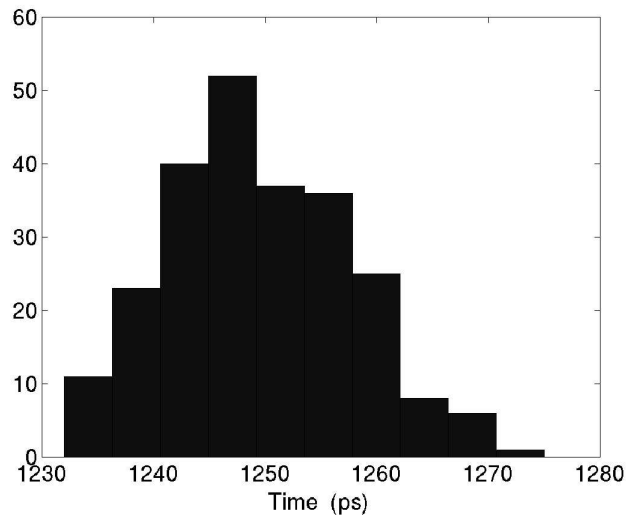


Fig. 23 Simulated jitter histogram of PLL at 800 Mbps

The PLL schematic is shown in Fig. 24.



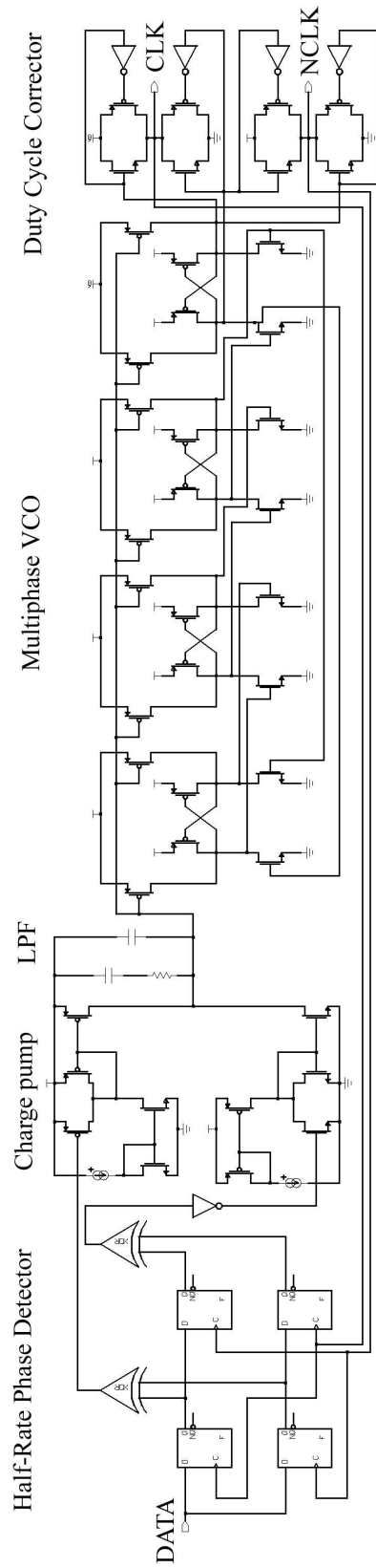


Fig. 24 PLL schematic

### 3.2 Phase Selector

The phase selector takes multiple delayed versions of the local clock, generated by the multiple-phase VCO in the PLL, and continuously examines the relationship between transitions in the data and transitions of these clock phases. The circuit then selects the clock phase, which is farthest from the data transitions, to sample the data. In the example shown in Fig. 25 with four clock phases, clock CLK2 or CLK3 should be used to latch the data. Clocks CLK1 and CLK4 have transitions close to data transitions and selecting either of these might lead to setup and hold violations of the data latch causing a higher number of bit errors. It is assumed here that the data is eventually latched with both the rising and falling edges.

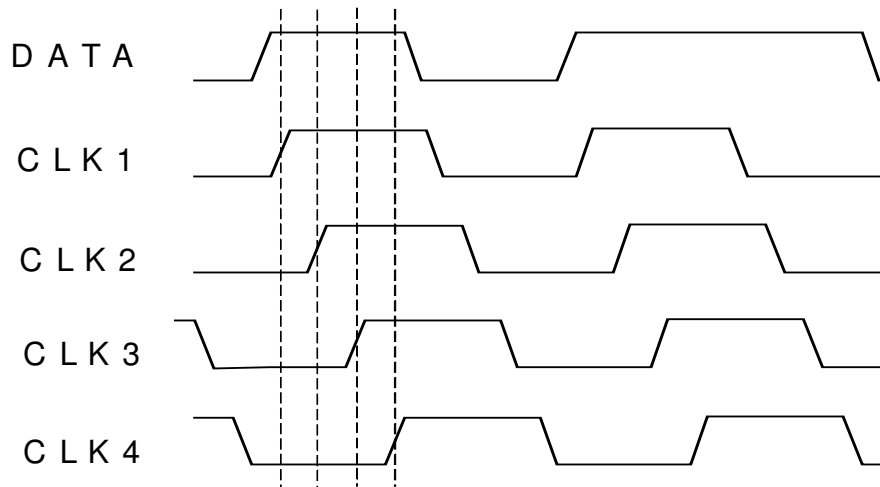


Fig. 25 Multiple clock phases versus data

Because the data and the local clock are not at the same frequency, the circuit will either be advancing or retarding the phase selection in order to acquire the data correctly. The circuit should take into account the direction of the phase drift in order to make the best clock phase selection, which has a transition farthest from the data transitions.

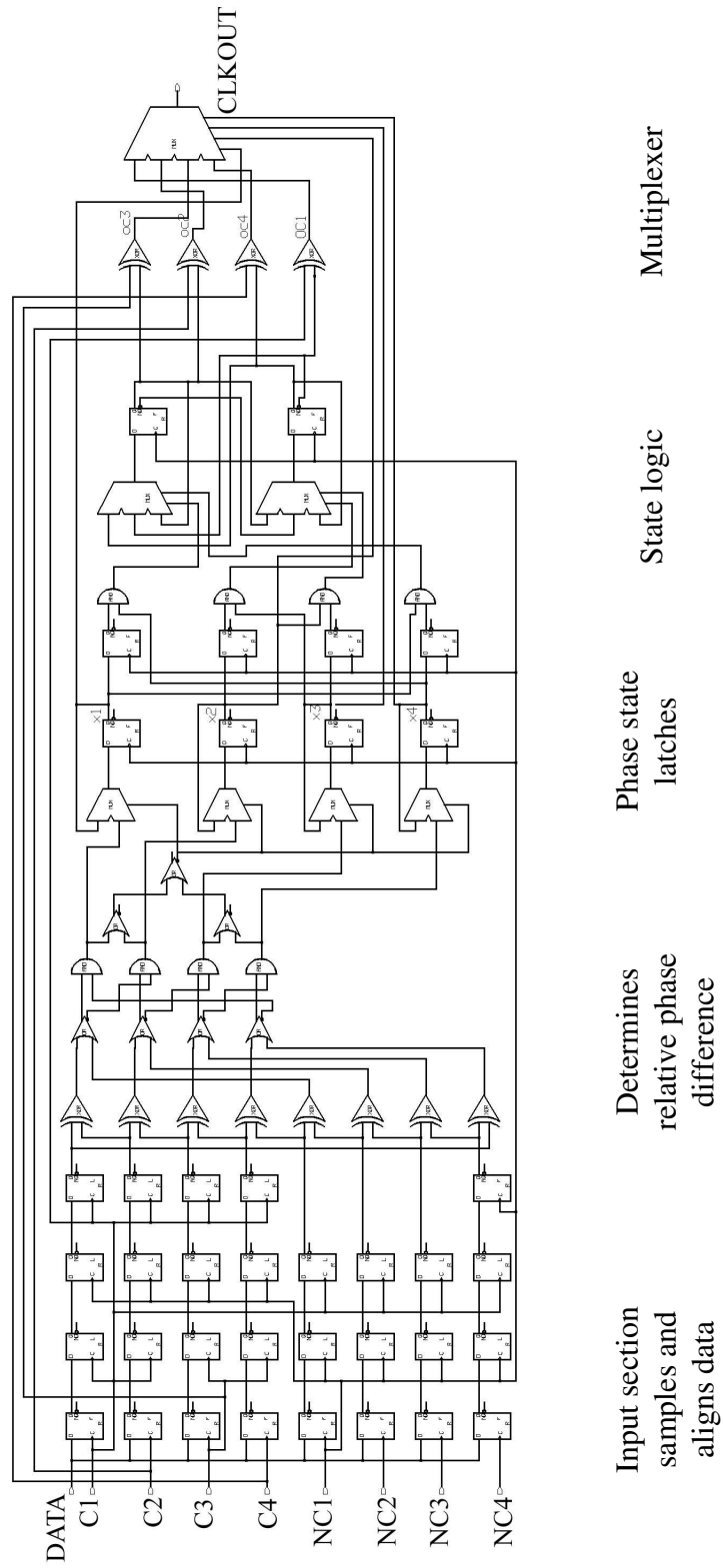


Fig. 26 Phase selector block diagram

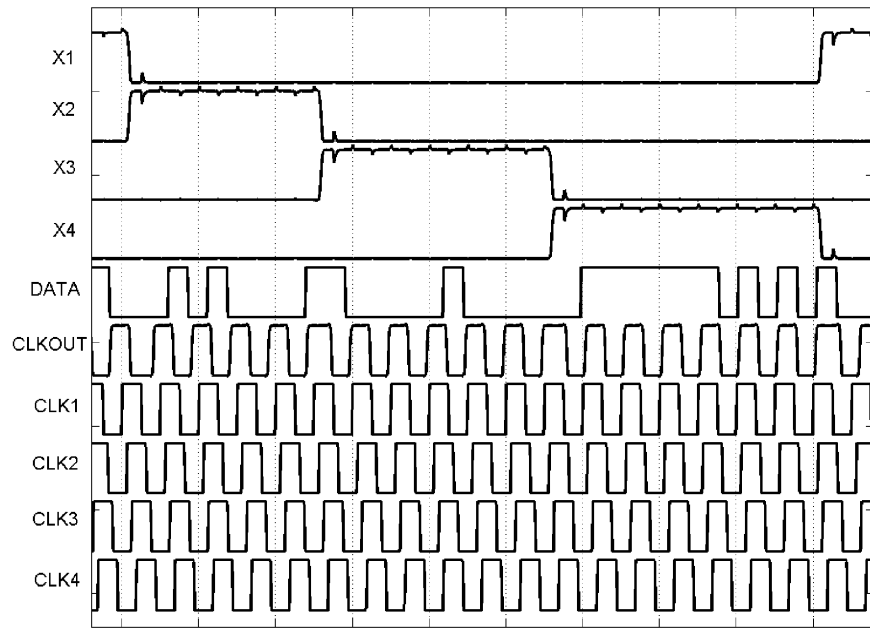
In over-sampling clock recovery methods the usual over-sampling rate is 8 to 16 times the frequency. In order to handle this higher frequency clock, a higher performance technology would be required. With the phase selector, a higher frequency clock is not required and the same technology that is used to generate the data can be used to recover the clock. This is especially important at GHz clock rates where technologies that can clock at higher frequency are limited and expensive.

The implementation of the phase selector, Loop A in Fig.16, with 4 phases per bit is shown in Fig. 26. The input section samples and aligns the incoming data with eight equally-spaced clock phases obtained from the VCO, and generates data transition information. Four phase states (X1 to X4) are then obtained from the relative phase difference between the data transitions and clock phases. The state logic determines the clock phase farthest from the data transitions and controls the multiplexer to output the clock. Since the phase selector relies on the sampling of the incoming data, the problem of metastability in the data latches must be considered. Eight data paths use multiple latches to reduce the probability of a metastability-induced data error. The final latch stages of all 8 data paths are clocked by the same clock for further processing.

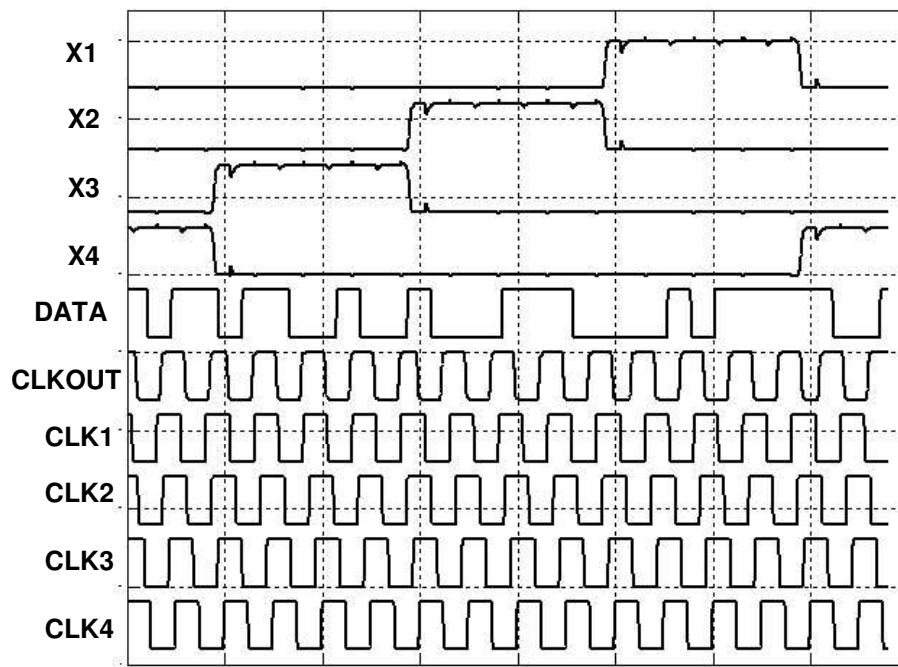
Simulations show phase selector operation up to 800 Mbps. As shown in the timing diagram obtained from the simulation of the phase selector in Fig. 27, the four phase states generated by the phase selector select the most appropriate phase from the multiple clock phases based on the relationship of the data transitions and the clock phases. The selection process causes high cycle-to-cycle jitter as different clock phases are selected. Note in the clock output (CLKOUT) when a state change occurs in Fig. 27 the slightly larger pulse widths if the data is slower and the slightly smaller pulse widths

if the data is faster. Reasonable bit-error rates (BER) are possible with this method if the signal-to-noise ratio is not too low. Fig. 27 also shows that the order in which the phase state transitions occur is X1, X2, X3, and X4 if the data is slower and the opposite if the data is faster. This information will be used to provide frequency direction for the phase frequency detector.

The phase selector acquires the clock on random data in about 8 bit times after the first data transition. The CDR uses 8 clock phases per clock period and therefore has cycle-to-cycle jitter of  $\frac{1}{4}$  of a bit time. With a  $2^7-1$  pseudo-random bit sequence, the maximum difference between data and clock frequencies for proper operation is 3% for jitter of  $\frac{1}{4}$  bit time. Operation is limited to 3% since a phase transition is missed occasionally when there are no data transitions in the PRBS. For frequency differences between 3% and 6%, the cycle-to-cycle jitter increases to  $\frac{1}{2}$  of a bit time. To extend the range of operation to 6%, counters were introduced in one version to predict the average number of clock cycles between state transitions. A state transition is forced when the duration in a state exceeds the predicted value. This modified version of the PS CDR kept the cycle-to-cycle jitter to  $\frac{1}{4}$  of a bit time but the added circuitry reduced the simulated operating frequency by 40%.



(a) Data slower than clock



(b) Data faster than clock

Fig. 27 Operation of phase selector

### 3.3 Combined CDR with PFD

The phase detector has only a limited capture range since a large difference in frequency between the VCO and the incoming data has a zero average phase difference. The addition of the frequency detector can extend this range considerably. Two versions of CDR were designed which combine the 3% version of the phase selector and the phase locked loop. In the first version, only the sign of the frequency offset is fed to the phase detector, converting the phase detector into a phase frequency detector. The sign of the frequency difference can be determined with little additional logic in the phase selector from the order in which the phase-state transitions occur.

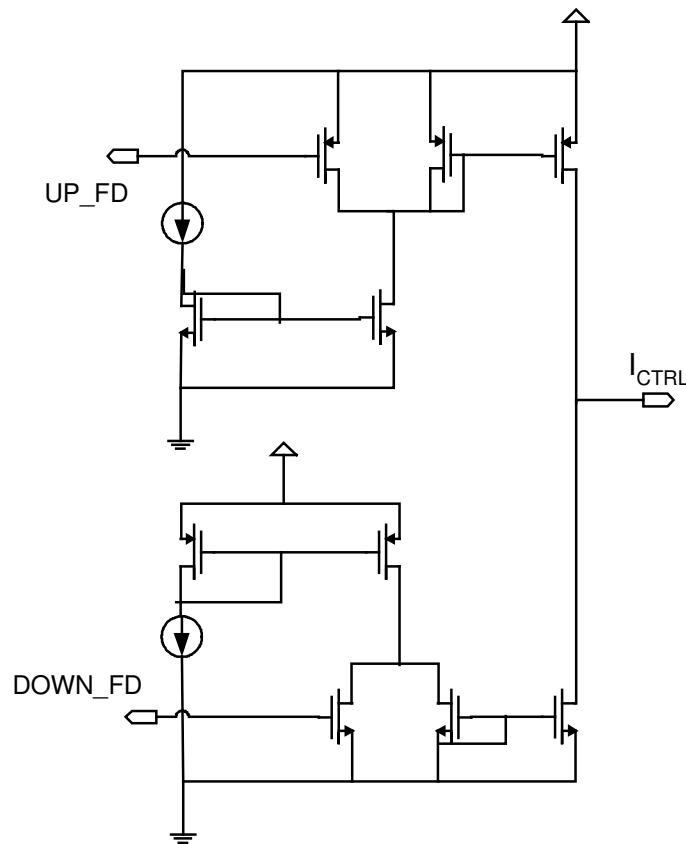


Fig. 28 Charge pump for frequency detection

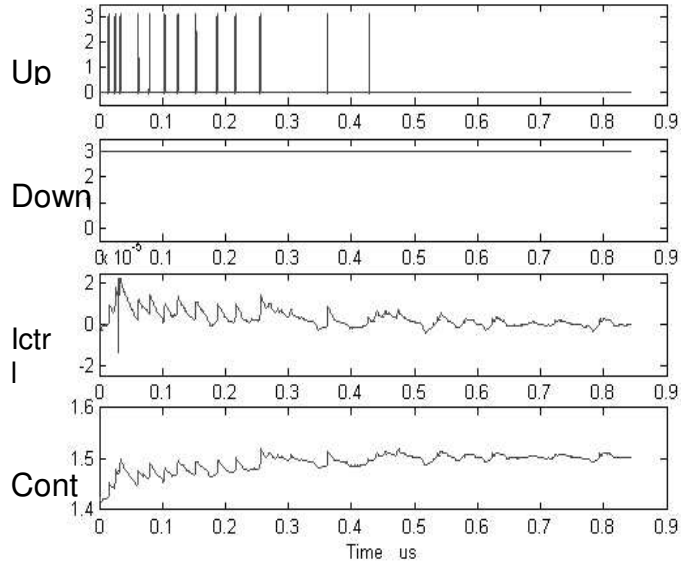
A second charge pump that is the same architecture as the PLL charge pump is added to provide frequency detection feedback to the VCO control voltage, as shown in Fig. 28. The up\_fd and down\_fd signals, which provide frequency direction, are obtained in the phase selector from the order in which the phase-state transitions occur. The timing diagrams of the phase selector in Fig. 27 show that the order of the phase states is X1, X2, X3 and X4 when data is slower than the clock. For the case when data is faster, the order of the phase states is X4, X3, X2 and X1. The up and down signals control the charge pump to generate the current pulses of small value which are applied to the VCO control voltage to drive the clock frequency gradually to the data rate. If these current pulses are too large, the VCO frequency may not settle in the capture range of the PLL.

The waveforms from the simulation of combined CDR with PFD are shown in Fig. 29. The pulses in up\_fd and down\_fd provide frequency direction and control the charge pump to generate the small current pulses corresponding to frequency direction. These current pulses charge or discharge the capacitor of the loop filter to accordingly change the VCO control voltage (Cont). Frequency feedback is turned off when the frequency difference reduces to 0.5%, well within the range of the PD. Finally, the PLL acquires lock with low jitter of 7.5 ps. The frequency detector does not contribute any jitter to the recovered clock.

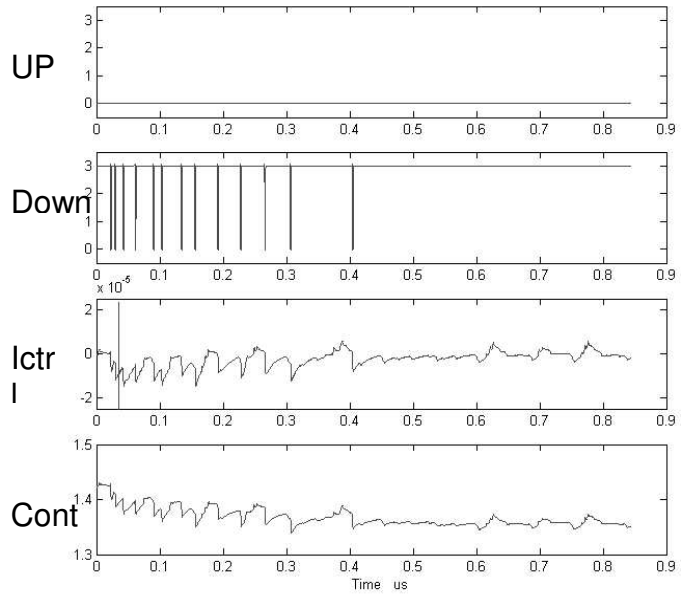
Simulations show operation up to 800 Mbps, a 6% acquisition range, an acquisition time of 8 bit times with initial rms jitter of 211 ps and after about 650 ns, the jitter reduces to 7.5 ps. Compared to the PLL alone, the capture range increases from 1.6% to 6%. The acquisition time from 6% is about the same as that of the PLL alone starting with a frequency difference of only 1.6%. The acquisition times are shown in



Fig. 30, which shows the VCO control voltages for the PLL CDR and the combined phase selector/PLL CDR.



(a) Data slower than clock



(b) Data faster than clock

Fig. 29 Waveforms from combined CDR with PFD

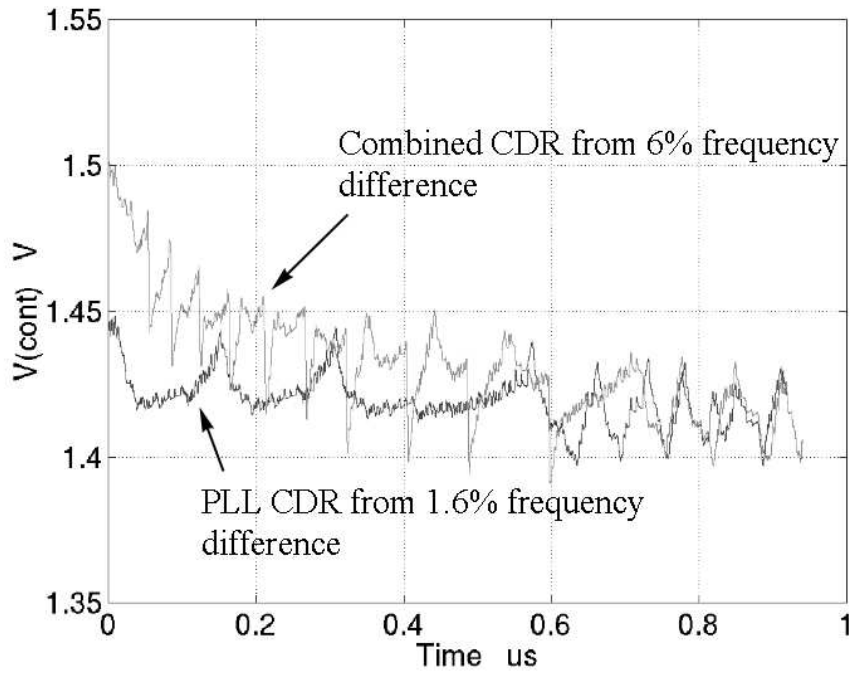


Fig. 30 Comparison of acquisition time

### 3.4 Combined CDR with PFMD

In the second version of the combined CDR, we add a novel phase frequency magnitude detector to substantially reduce the acquisition time by feeding back the frequency magnitude to the charge pump as well as direction.

In addition to the sign of the frequency difference, the phase selector can also provide an estimate of the magnitude of the frequency that is inversely proportional to the counter number of VCO clock cycles for a 1/2 bit-time phase shift with respect to the incoming data. Using this additional information the acquisition time can be reduced considerably by applying a single current pulse of the proper magnitude to the VCO control voltage to quickly change it to the desired value. The required current pulse magnitude to achieve short acquisition time was found to be inversely proportional to the

count of VCO clock cycles contained in the counter. The relationship among the magnitude of frequency offset, the count number of VCO clock cycles for a 1/2 bit-time phase shift with respect to the incoming data, and the magnitude of the current pulse required for fast acquisition is shown in Table 1.

Table 1 Counter number, magnitude of current pulse versus frequency offset

<b>Magnitude of frequency offset</b>	<b>Counter Number</b>	<b>Magnitude of current pulse</b>
<b>1%</b>	<b>25</b>	<b>0.4mA</b>
<b>2%</b>	<b>13</b>	<b>0.8mA</b>
<b>3%</b>	<b>8</b>	<b>1.2mA</b>
<b>4%</b>	<b>6</b>	<b>1.7mA</b>
<b>5%</b>	<b>5</b>	<b>2.1mA</b>
<b>6%</b>	<b>4</b>	<b>2.5mA</b>

The count from the phase selector is input to a look-up table, implemented with the digital logic shown in Fig. 31, to convert the count,  $i_4 - i_0$ , into a 5-bit current magnitude,  $o_4 - o_0$ . This is input to a third combined charge pump shown in Fig. 32, consisting of 5 single charge pumps that generate binary weighted currents. Thus,  $o_4 - o_0$  determine the current magnitude of this charge pump. The single up or down pulse controls this charge pump to generate a single current pulse of the proper magnitude for fast acquisition of the PLL. The up or down pulse is generated by the digital logic right after a 1/2 bit time shift in the VCO phase with respect to the incoming data. Two clock cycles are allowed for logic delay and settling of the charge pump current. The single current pulse quickly drives the VCO frequency to the data rate.

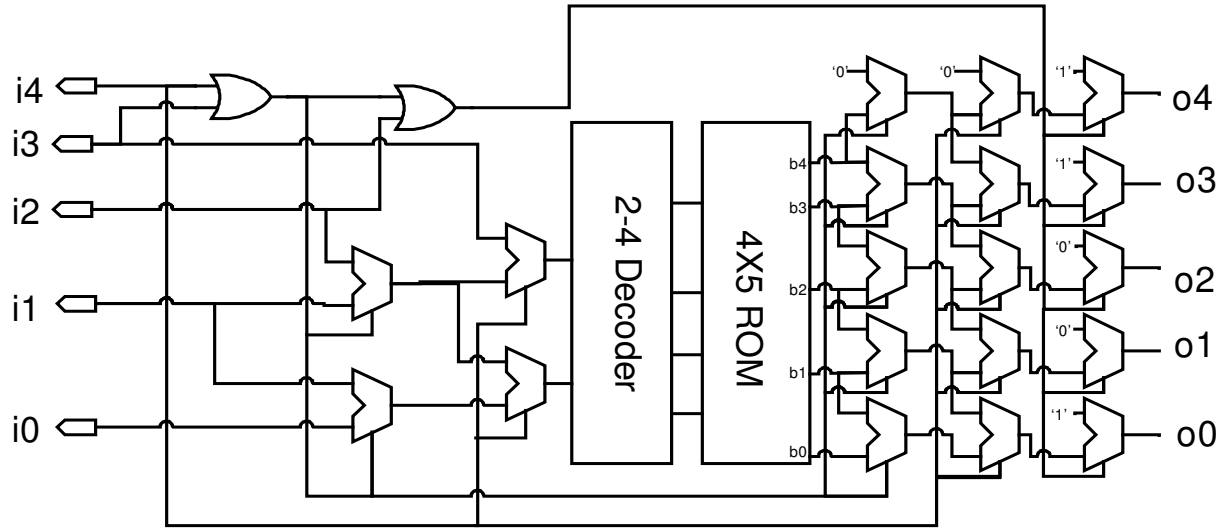


Fig. 31 Digital logic implementation of the look-up table

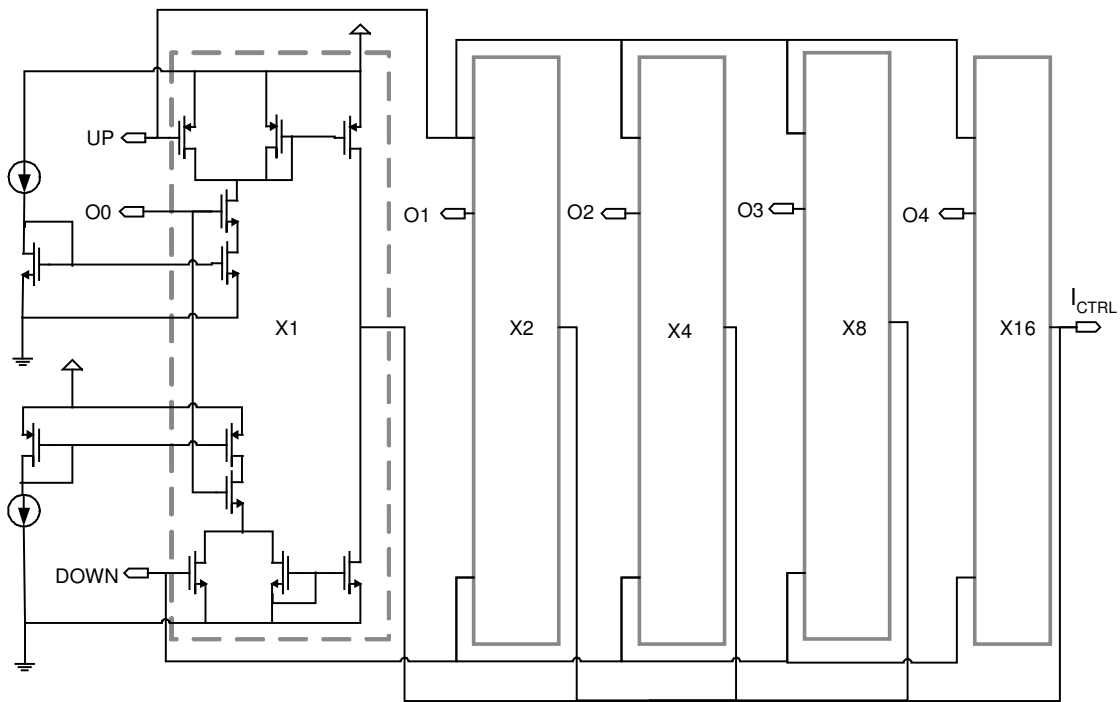
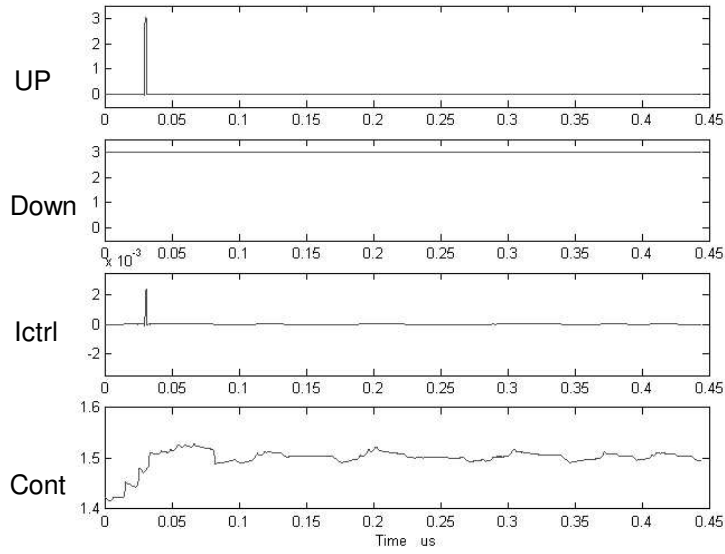
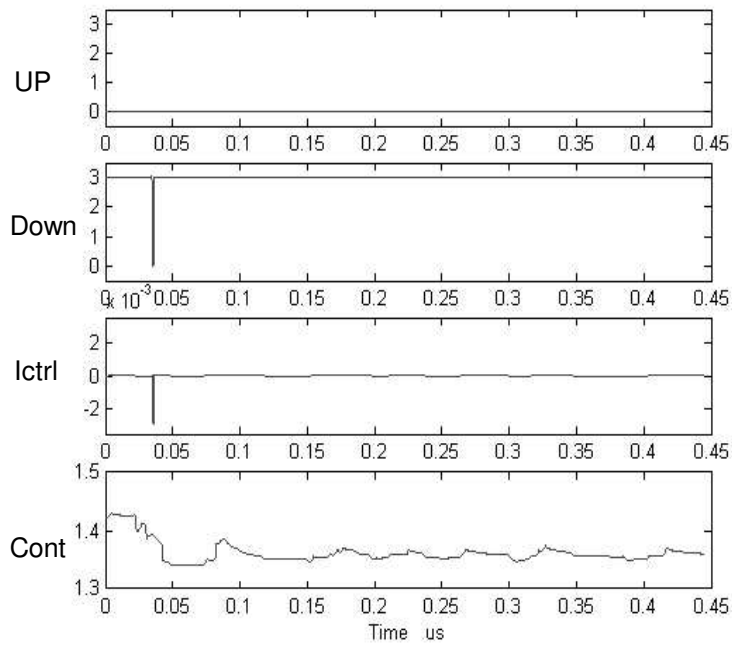


Fig. 32 PFMD charge pump



(a) Data faster than clock



(b) Data faster than clock

Fig. 33 Waveforms from the PFMD simulation

The waveforms from the simulation of the combined CDR with PFMD are shown in Fig. 33. The single up or down pulse control the 5 charge pumps to provide an appropriate current pulse. This single pulse is applied to the VCO control voltage to quickly change it to the desired value.

Simulations show that the 650 ns acquisition time can be reduced to less than 200 ns with this approach. Fig. 34 shows the VCO control voltages of the combined CDR with and without frequency magnitude feedback for an initial frequency offset between the VCO and the input data of 6%.

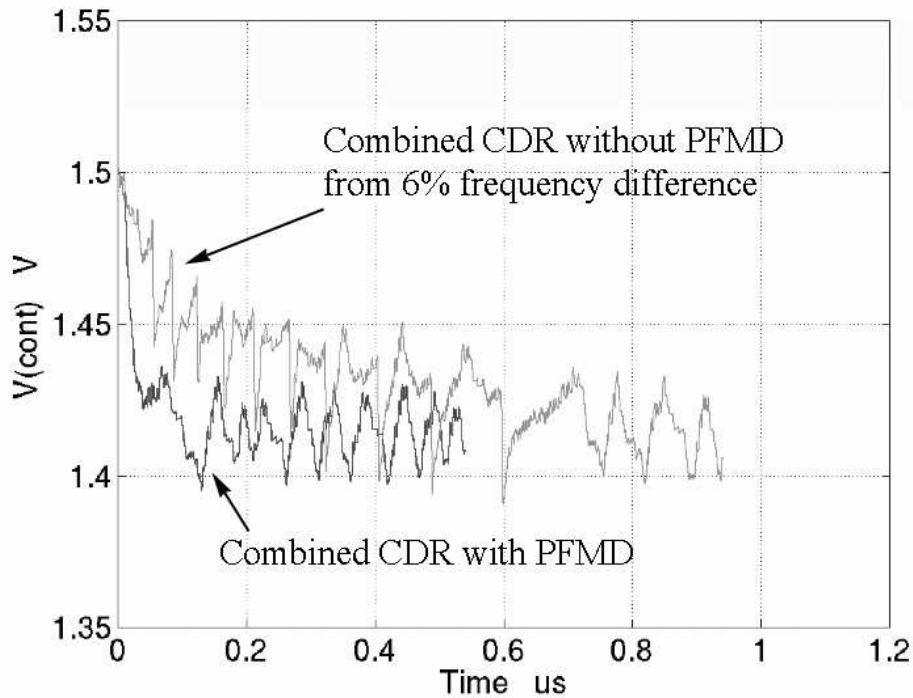


Fig. 34 Acquisition time of combined CDR with PFMD and with PFD

The chip layout is shown in Fig. 35, containing the analog PLL circuit and the digital phase selector circuit. Because this CDR circuit is a mixed-signal integrated

circuit, fast switching transients produced in the digital circuit can couple into the sensitive analog circuit through the substrate, thereby limiting the analog precision and degrading the jitter performance. Therefore, guard rings are placed around both analog circuit and digital circuit to provide isolation by absorbing substrate potential fluctuations generated by the devices located outside the guard rings.

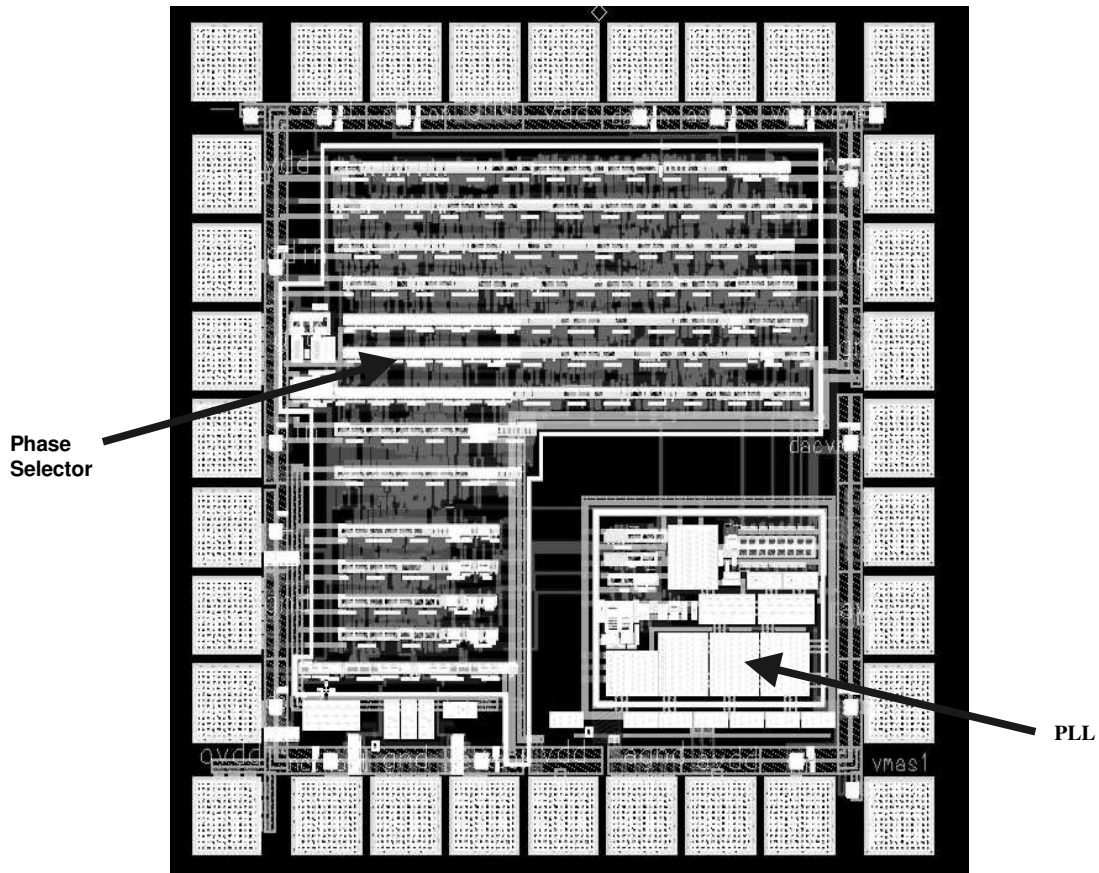


Fig. 35 Chip layout

### 3.5 Analog implementation of PFMD

An analog PFMD was designed in order to reduce power consumption and chip area compared to the digital implementation of the PFMD. In the digital version of the

PFMD CDR, counters in the phase selector are used to measure the time it takes that different phase changes occur and a look-up table is implemented to convert the time to frequency difference between clock and incoming data. In the analog implementation of the PFMD CDR, the phase selector is eliminated so that the CDR does not acquire lock within a few clock cycles. However, the PLL's fast locking of less than 200 ns in the analog PFMD CDR is still an advantage in many applications.

In the new design, the sign of the frequency difference can be determined with digital logic from the order in which the phase state transitions occur. Fig. 36 shows the digital logic which provides the order of the phase states X1, X2, X3 and X4. The input section samples and aligns the incoming data with eight equally-spaced clock phases obtained from the VCO, and generates data transition information. Four phase states (X1 to X4) are then obtained from the relative phase difference between the data and clocks as done with the phase selector.

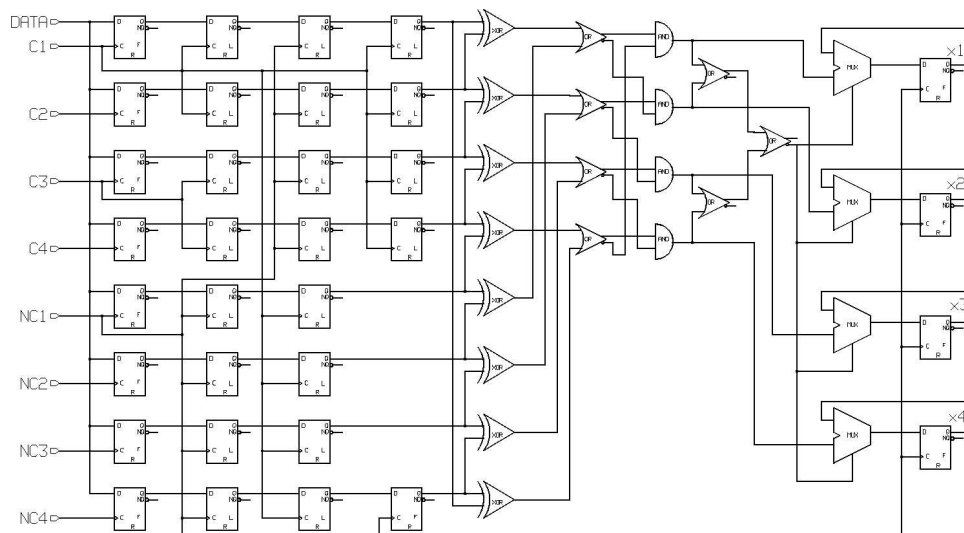


Fig. 36 Digital logic generating the phase states



An RC filter, as shown in Fig. 37, is used to obtain a voltage proportional to the time it takes to transition between different phases. Thus, in addition to the sign of the frequency difference, the analog PFMD provides the estimate of the magnitude of frequency difference by using the RC filter instead of a lookup table to perform the inversion from time to frequency difference.

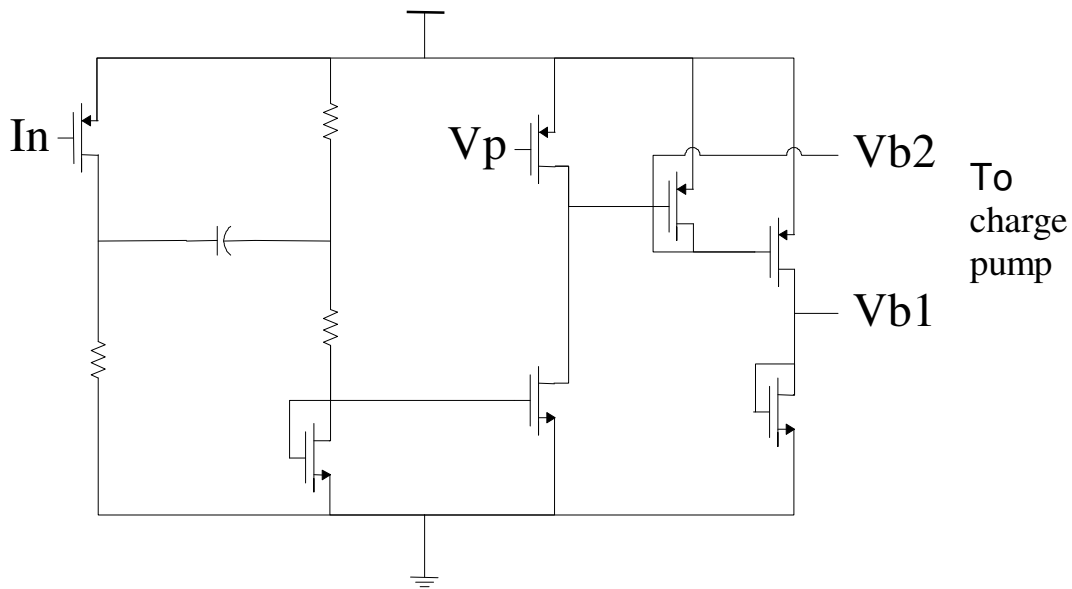


Fig. 37 Analog PFMD

The waveforms from the simulation of the analog PFMD CDR are shown in Fig. 38. Only one up or down pulse is generated by the digital logic when the VCO shifts in phase with respect to the incoming data by  $\frac{1}{2}$  bit time. Input to the analog PFMD ( $In$ ) is a negative digital pulse with width equal to the time the VCO shifts in phase by 1 bit time with respect to the input data. Then the RC filter is used to generate the bias voltages  $Vb2$  and  $Vb1$  that control the magnitude of current in the PFMD charge pump. The magnitude

of the current is designed to be inversely proportional to time. After  $\frac{1}{2}$  bit time shift, a single up or down pulse enables the PFMD charge pump to generate the proper current pulse for fast acquisition. The PFMD charge pump is a single charge pump instead of 5 binary-weighted charge pumps as used in the digital PFMD design.

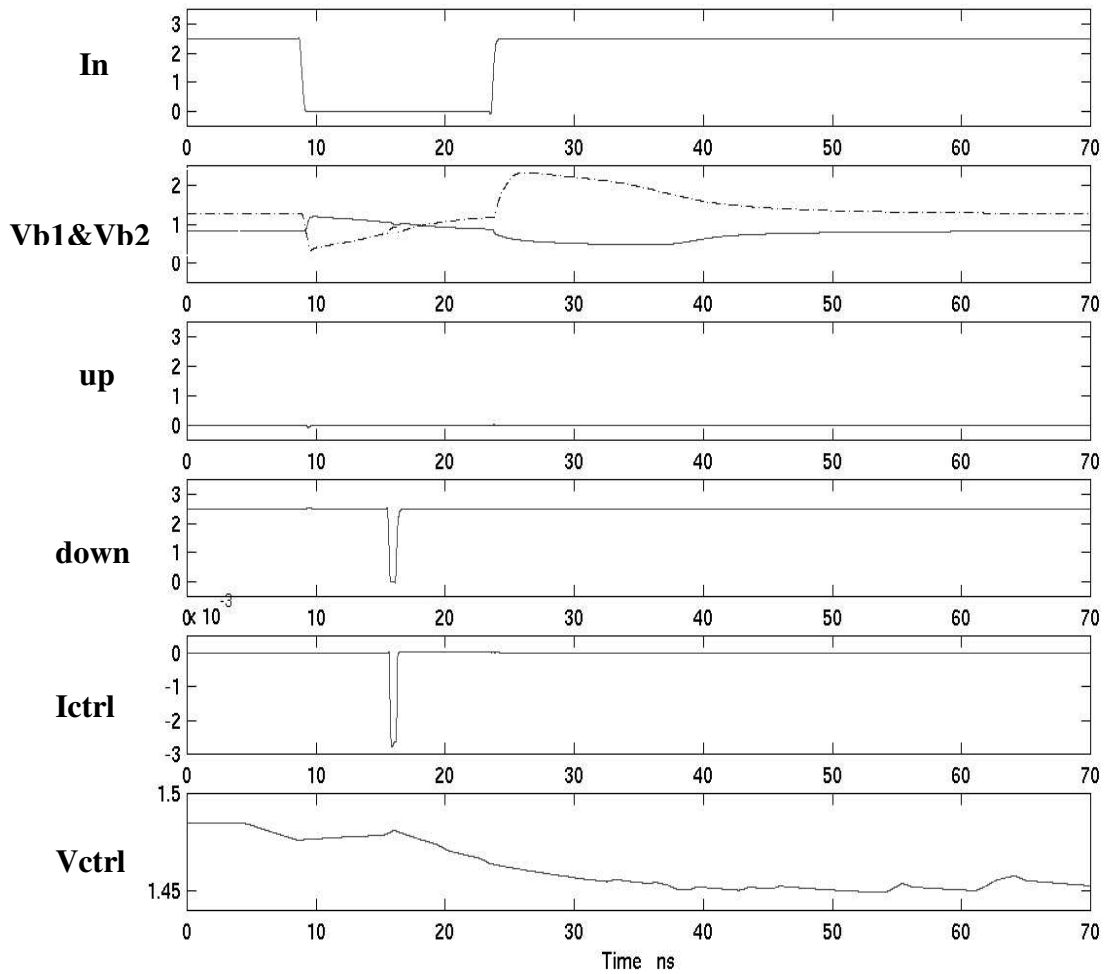


Fig. 38 Waveforms from analog PFMD simulation

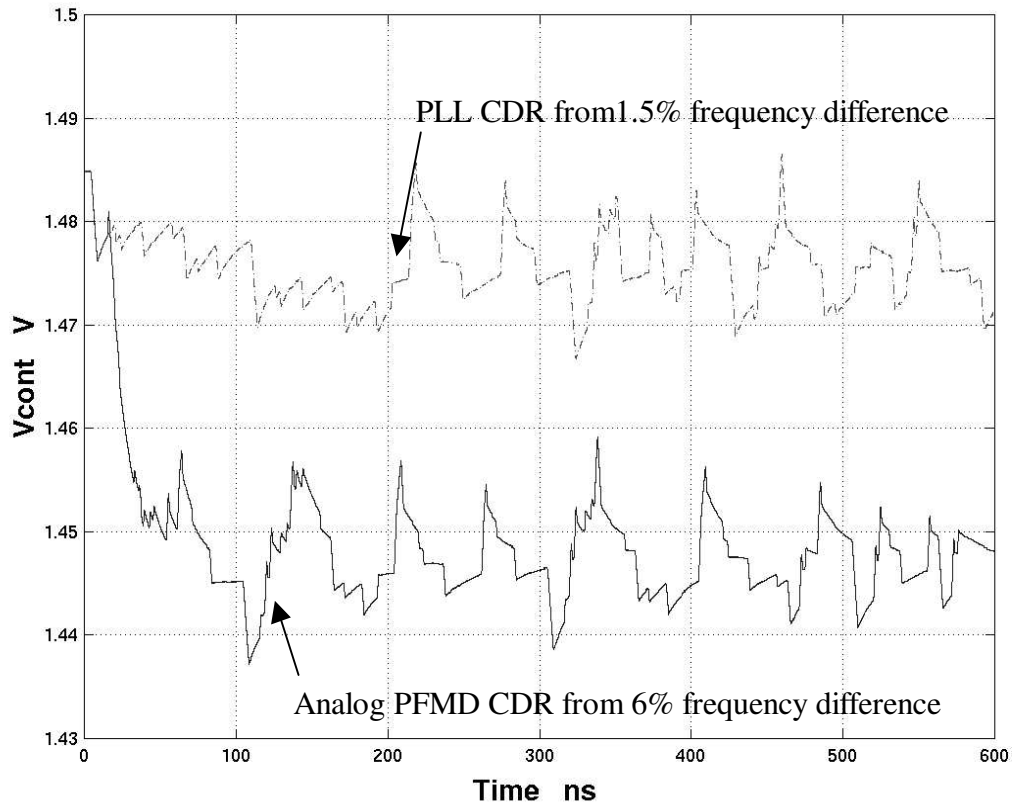


Fig. 39 VCO control voltage of the analog PFMD CDR

In TSMC's 0.25  $\mu\text{m}$  technology, simulations show that the analog PFMD CDR operates up to 1.25 Gbps and acquires lock in 120 ns from an initial 6% frequency difference between the VCO frequency and the incoming data with the analog PFMD approach, while the PLL CDR has capture range of only 1.5% frequency difference and locks in 200 ns from a 1.5% frequency difference. The rms jitter is predicted to be 3.3 ps. Therefore, the analog PFMD CDR is expected to achieve the fast PLL acquisition time of 120ns, low jitter of 3.3 ps and large capture range of 6% without the entire overhead associated with the phase selector in the combined CDR with the digital PFMD. Fig. 39 shows the VCO control voltages of the analog PFMD CDR from an initial 6% frequency

difference and of the PLL CDR from an initial 1.5% frequency difference. Fig. 40 shows the jitter histogram of the recovered clock at 1.25 Gbps.

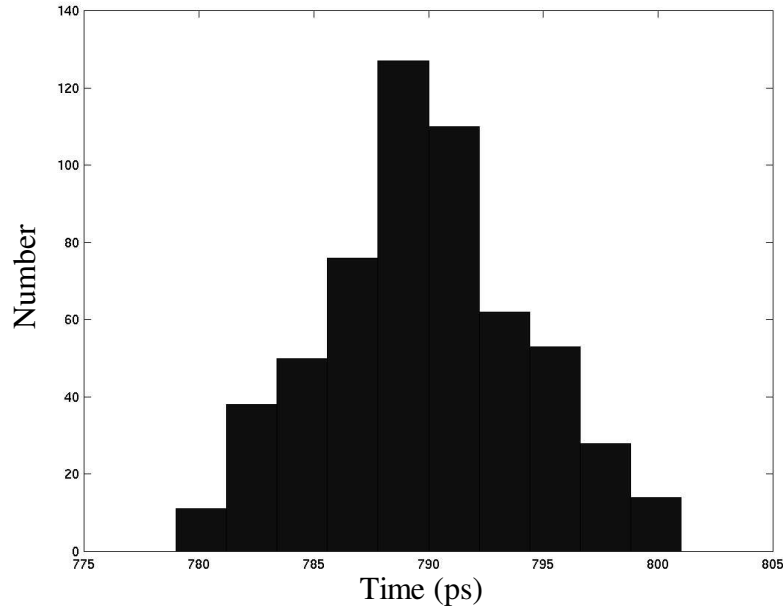


Fig. 40 Jitter histogram of recovered clock from analog PFMD CDR

Fig. 41 shows the layout of the analog PFMD CDR in the 0.25  $\mu\text{m}$  TSMC CMOS process, with the digital logic and the analog portion noted. The same pad pattern of  $1.4\text{mm}\times 1.4\text{mm}$  is used as with the combined CDR to allow the same probe card to be used. The area of the digital logic in the analog PFMD CDR is decreased substantially compared to the combined CDR with PFMD, as shown in Fig. 34, since a smaller 0.25  $\mu\text{m}$  process was used instead of a 0.5  $\mu\text{m}$  process. However, about 25% of the digital area is saved due to the analog implementation of the PFMD.

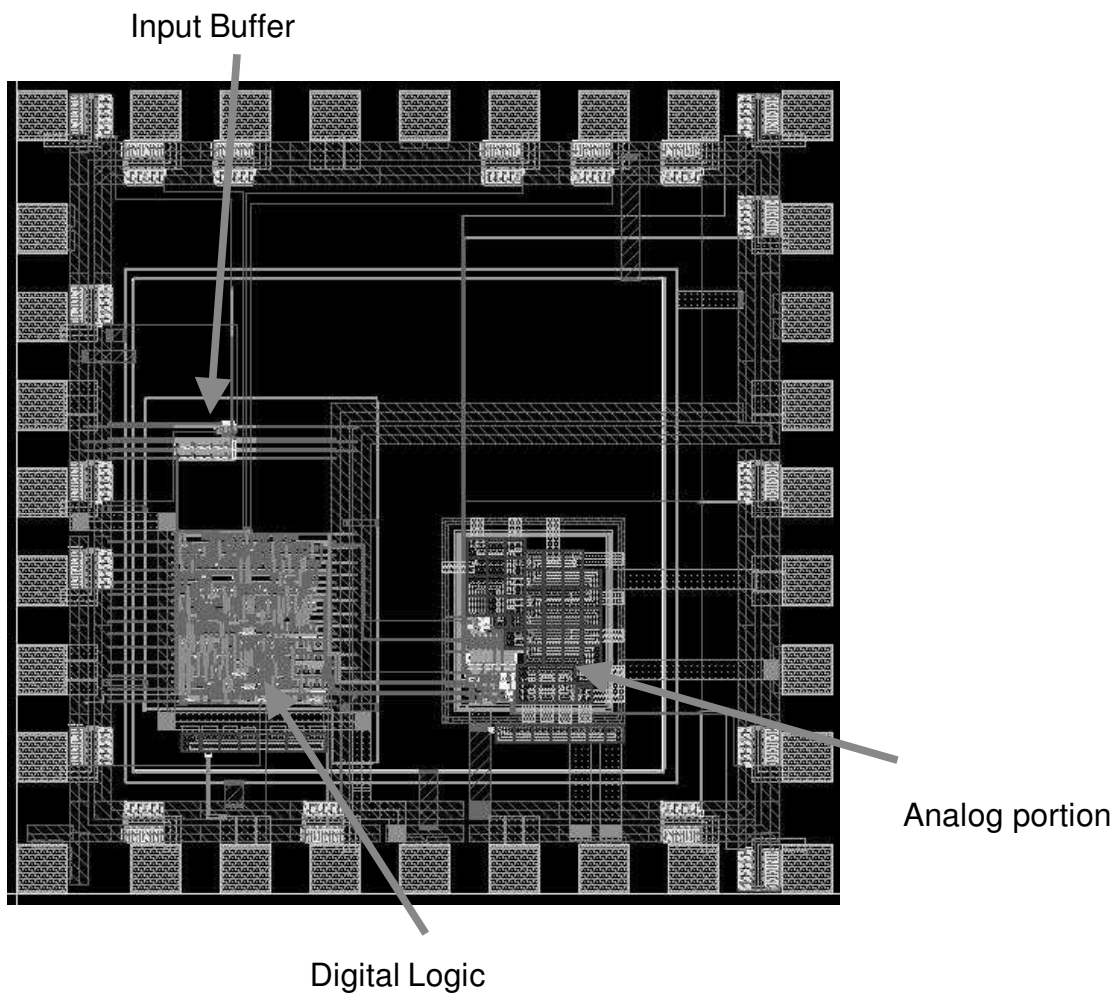


Fig. 41 Layout of the analog PFMD CDR

## CHAPTER FOUR

### MEASUREMENT RESULTS

The combined clock and data recovery circuit has been fabricated in a  $0.5\ \mu\text{m}$  CMOS technology using the IBM 5HP process. The CDR was designed to operate in four different modes: 1) a conventional analog PLL CDR, 2) a phase selector CDR, 3) a novel CDR that combines a PLL with a phase selector which provides the information of frequency direction to the phase frequency detector, and 4) a novel phase frequency magnitude detector circuit that substantially reduces the acquisition time of the PLL compared to the PFD. Shown in Fig. 42 is the chip micrograph, which measures  $1.4 \times 1.4\ \text{mm}^2$ . In order to reduce substrate coupling and cross talk in the layout, the digital phase selector and analog PLL are separated and guard rings are used.

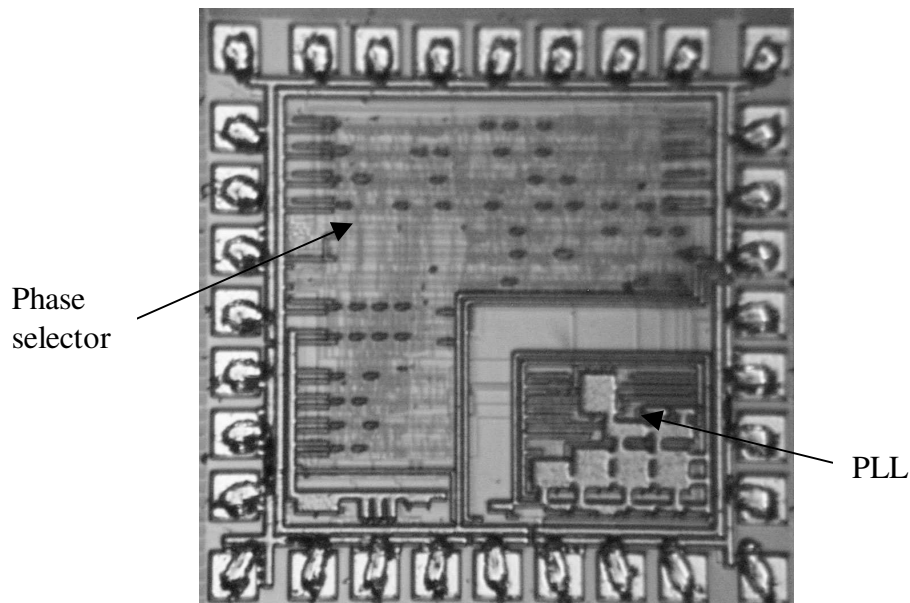


Fig. 42 Chip micrograph of the combined CDR

#### 4.1 Measurement Methods

The combined CDR was tested at the wafer level up to 700 Mbps with  $2^7-1$  PRBS data. High-speed inputs and outputs are contacted with  $50\Omega$  controlled impedance ceramic probes connected to coaxial cables. The output waveforms and jitter were observed with a sampling oscilloscope. The acquisition time was measured using a 4 GSps digital oscilloscope. Fig. 43 shows the probe station with the probe card and a metal box that shields all the control signals. Fig. 44 shows the measurement setup including the probe station, the sampling oscilloscope, the digital oscilloscope and the pattern generator.

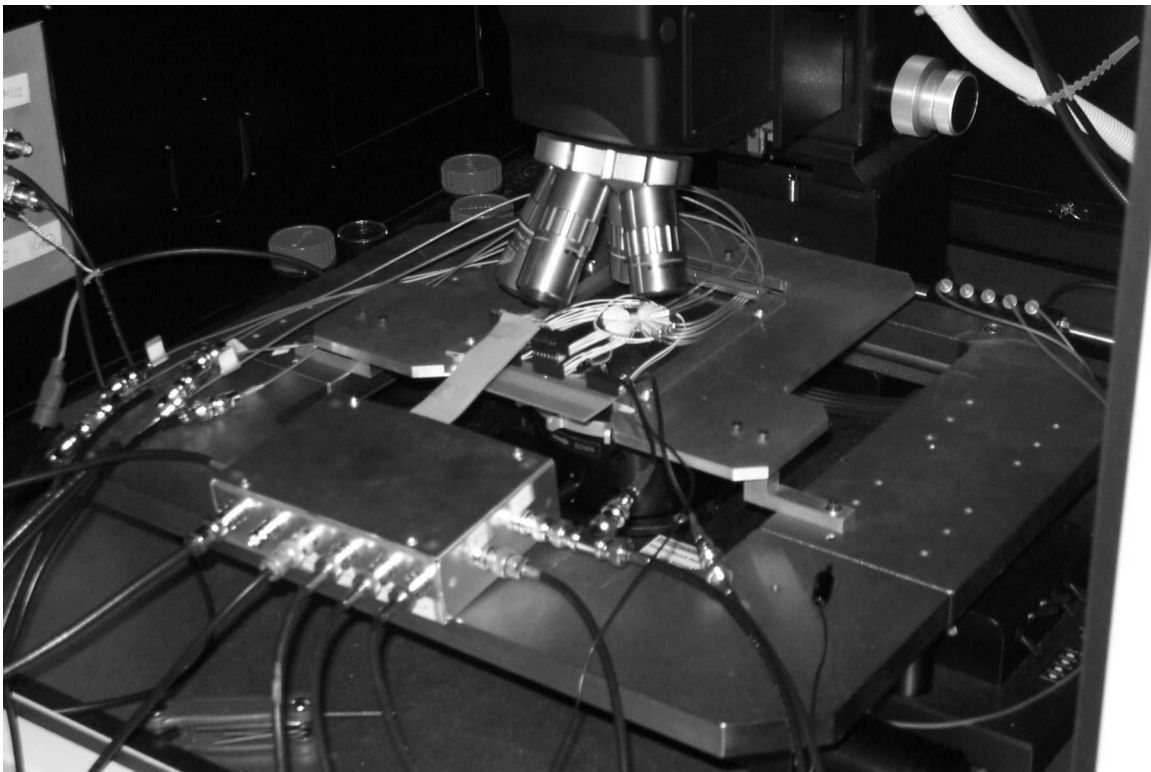


Fig. 43 The probe station

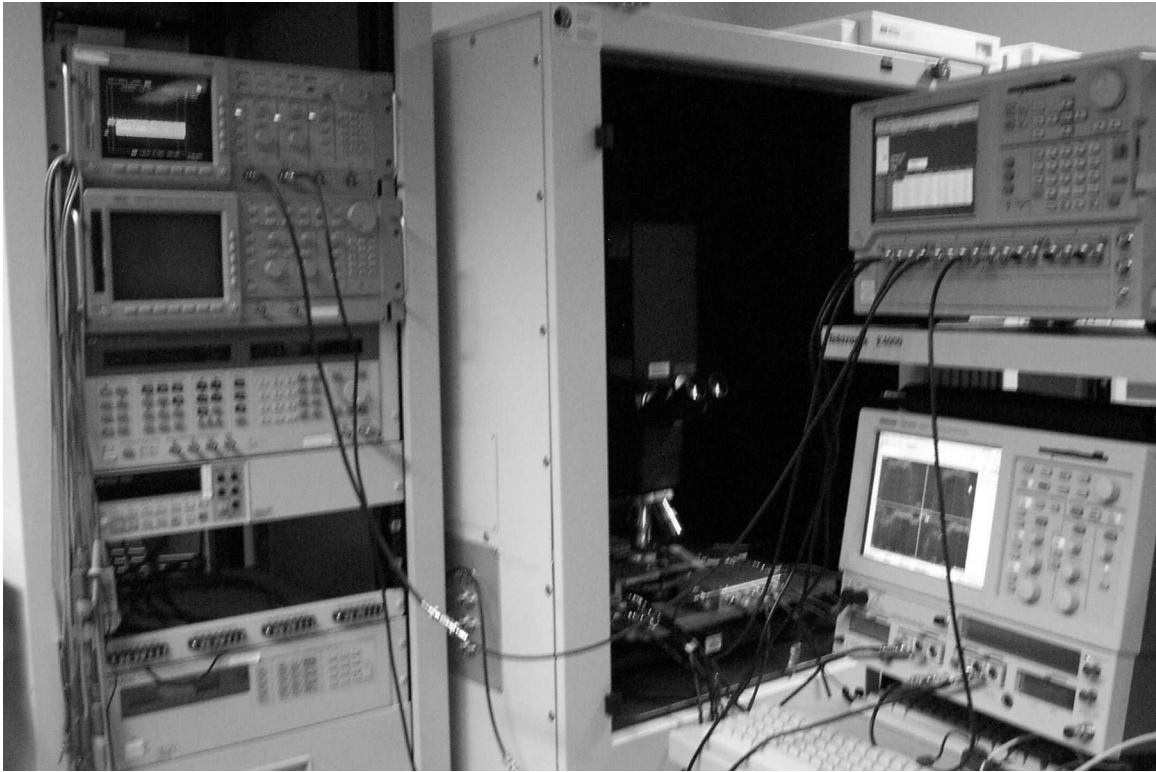


Fig. 44 The measurement setup

Since the 4 GSps digital oscilloscope only has the resolution of 250 ps between two consecutive sampling points, linear interpolation and averaging of a few clock cycles were applied to obtain a more accurate cycle period of the recovered clock output for the measurement of the acquisition time and jitter.



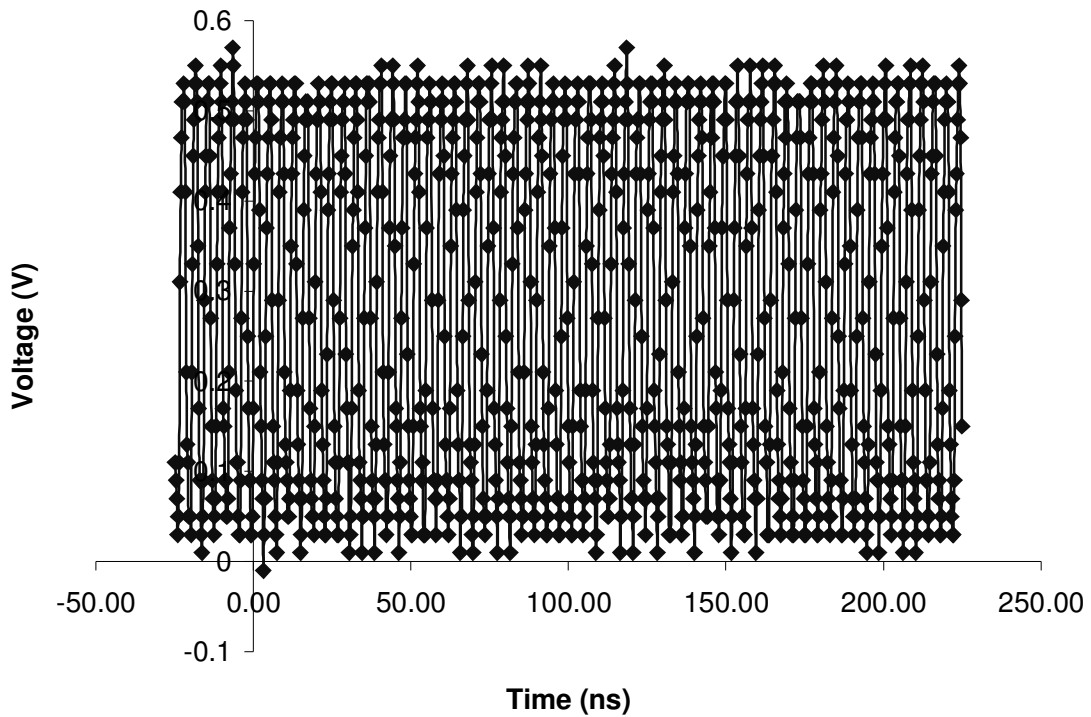


Fig. 45 Clock waveform

Using the digital oscilloscope, a waveform of the recovered clock output, as shown in Fig. 45, was obtained from the CDR with a clean regular clock as the data input. The recovered clock shown in Fig. 45 has a cycle period of 3.906 ns and rms jitter of only 3ps. Based on the known cycle period, all the cycles in the clock waveform are overlain in one clock cycle, as shown in Fig. 46.

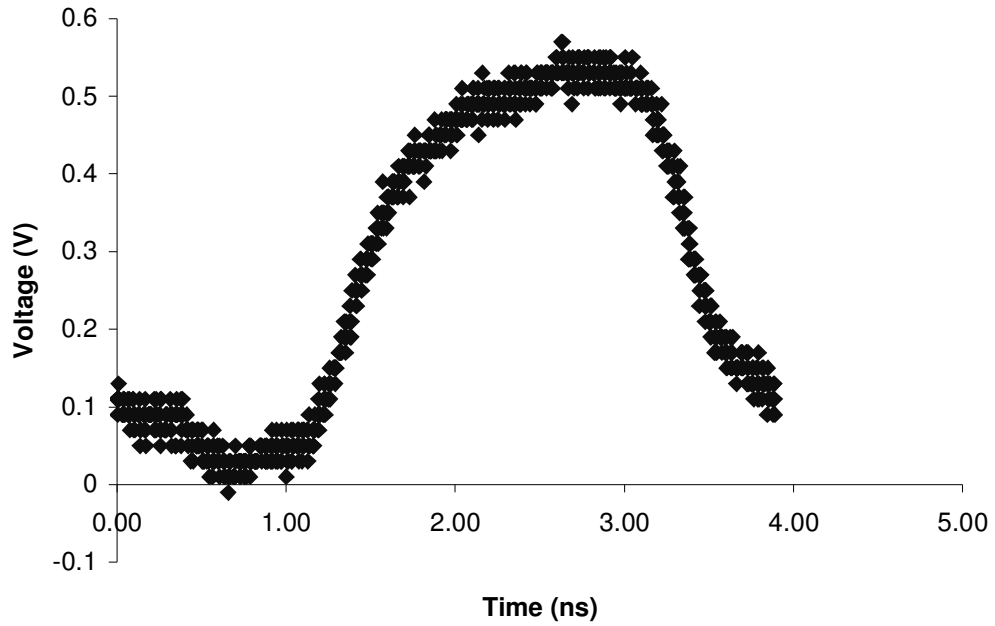


Fig. 46 Overlain clock waveform in one cycle

Fig. 46 shows that the middle point of the clock falling edge, 0.3 V, has the least amount of timing error. Therefore, the linear interpolation is applied to obtain the time corresponding to 0.3 V at the falling edge in all clock cycles in Fig. 46. Assuming two consecutive sampling points on the clock falling edge, ( $X_1$  ns,  $Y_1$  V) and ( $X_2$  ns,  $Y_2$  V), where  $Y_1 \geq 0.3V \geq Y_2$ , the time value  $X$  corresponding 0.3V can be calculated as

$$X = Y1 + \frac{Y_1 - 0.3}{Y_1 - Y_2} \times 0.25ns \quad (4.1)$$

where 0.25 ns is the time period between two sampling points, limited by the 4 GSps digital oscilloscope.

Equation (4.1) was used to obtain the time corresponding to the middle points of the falling edges, called crossing times, in all cycles of the measured clock output, for

example the clock waveform in Fig. 45. All the cycle periods are then calculated as the difference between two consecutive crossing time values. Fig. 47 shows the histogram of the calculated clock cycle period from the waveform shown in Fig. 45. The error of the clock cycle period is reduced to within  $\pm 50$  ps. The error can be further reduced to  $\pm 5$  ps by averaging every 10 cycle periods. The jitter of the recovered clock is obtained with the averaged cycle periods. Jitter is also measured using the sampling oscilloscope.

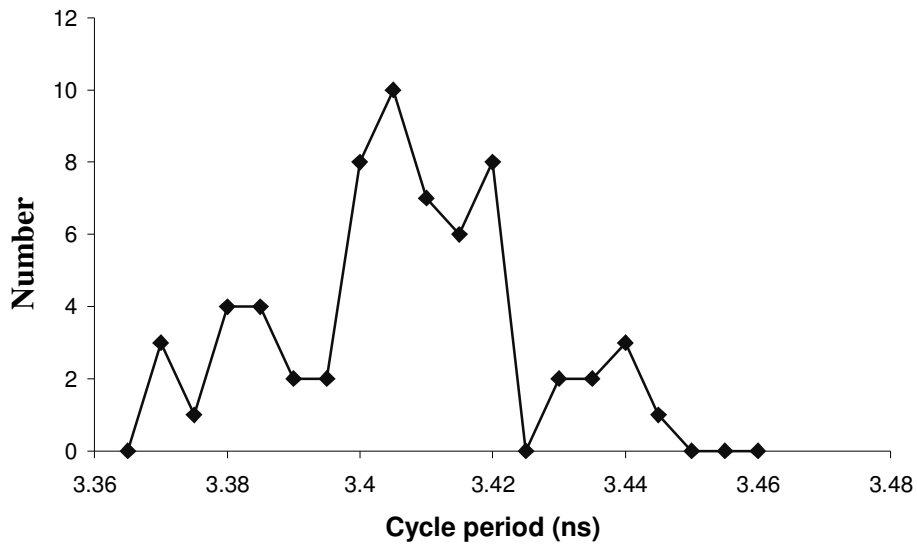


Fig. 47 Histogram of calculated clock cycle period

The acquisition time of the phase selector is measured from the retimed data. After the phase locked loop acquires lock, the phase difference between the reference clock and the recovered clock fluctuates in a small range. The acquisition time of the PLL is measured based on the obtained phase differences. The PLL is considered to acquire lock when the phase difference is within  $\pm 10\%$  of the reference clock cycle period. The

crossing time of the reference clock is calculated from the last crossing time of the recovered clock by subtracting a multiple of the reference clock cycle period. The recovered clock by subtracting a multiple of the reference clock cycle period. The reference clock cycle period is given by the input data rate. Thus, the phase difference is obtained as the difference between the crossing times of the recovered clock and those of the reference clock.

#### 4.2 Acquisition time and jitter of the phase selector

A long series of high levels followed by random data is used to measure the acquisition time of the phase selector. Fig. 48 shows two channels of demultiplexed data output measured from the phase selector at 500 Mbps, limited by the bandwidth of the digital oscilloscope. The phase selector acquires data within 8 bit times as expected.

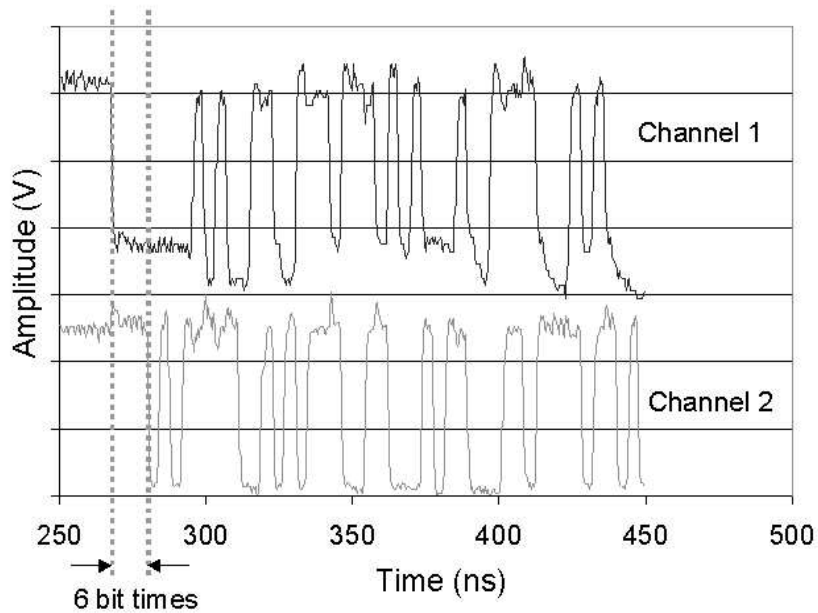


Fig. 48 Demultiplexed data output of phase selector

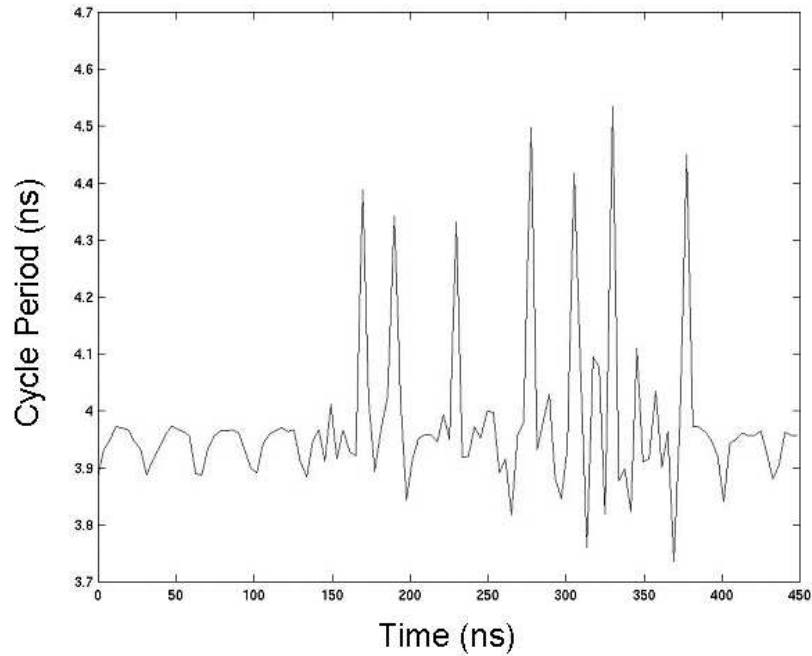


Fig. 49 Recovered clock jitter measurement of phase selector

Fig. 49 shows the cycle period of the recovered clock measured from the phase selector. Note that higher clock jitter occurs when the phase selector tracks random data than when the input data is constant and the VCO is free running. The phase selector has 30% bit time jitter measured with the 4 Gbps digital oscilloscope. Interpolation was used to determine crossing times accurately.

### 4.3 Acquisition time of the combined CDR with PFD

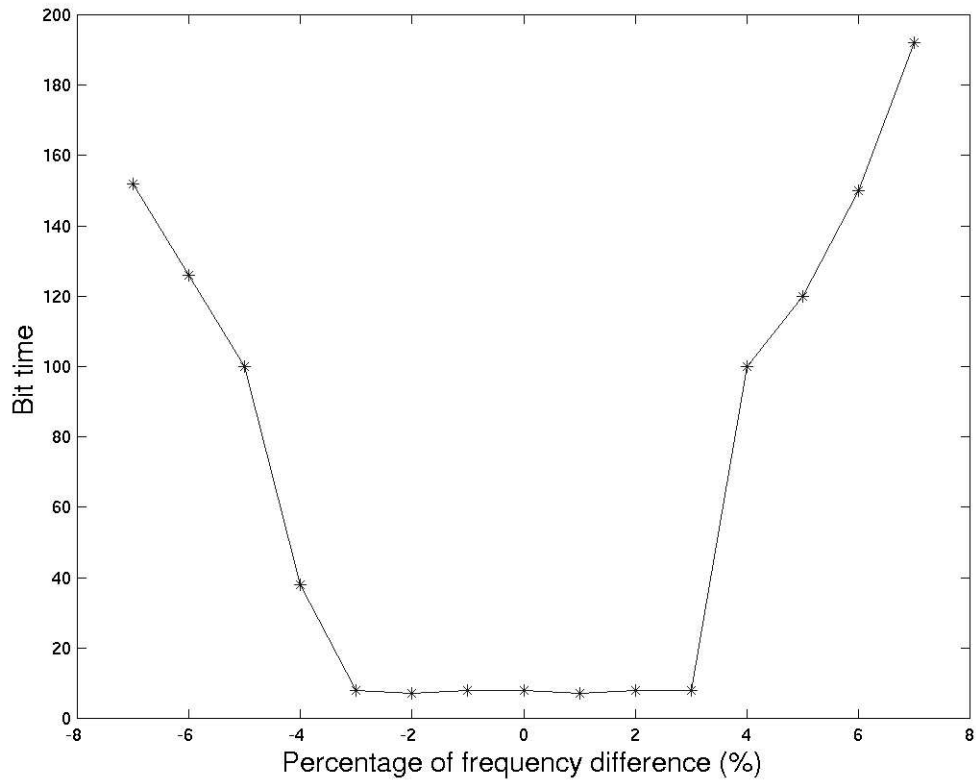


Fig. 50 The acquisition bit times of the phase selector in the PFD CDR

The combined CDR with PFD consists of the phase selector and the PLL. The phase selector acquires data within 8 bit times, but with high jitter of  $\frac{1}{4}$  bit time, before the PLL locks. Therefore, the retimed data has no bit error much earlier than the PLL locks though it has high jitter. Fig. 50 shows the acquisition bit times from which there is no bit error in the recovered data versus the percentage of frequency difference between the input data rate and the VCO. The results in Fig. 50 are the average of 3 samples. The phase selector acquires data within 8 bit times when the frequency difference is below 3%. It takes longer for the phase selector to acquire data if the frequency difference is

larger than 3% because the recovered clock has higher jitter of half bit time. The recovered data has no bit errors when the frequency difference reduces to less than 3%.

In the combined PFD CDR the sign of the frequency offset is fed to the phase detector, essentially converting the phase detector into a phase frequency detector. Fig. 51 shows one sample of the cycle period of the recovered clock measured from the combined PFD CDR with VCO initially at 600 Mbps and  $2^7-1$  PRBS data at 650 Mbps. Fig. 52 shows one sample of the phase difference between the recovered clock and the reference clock with the same conditions. Appendix A shows the cycle periods of the recovered clock and the phase differences between the recovered clock and the reference clock at different data rate from 555 Mbps to 650 Mbps with step size of 5 Mbps.

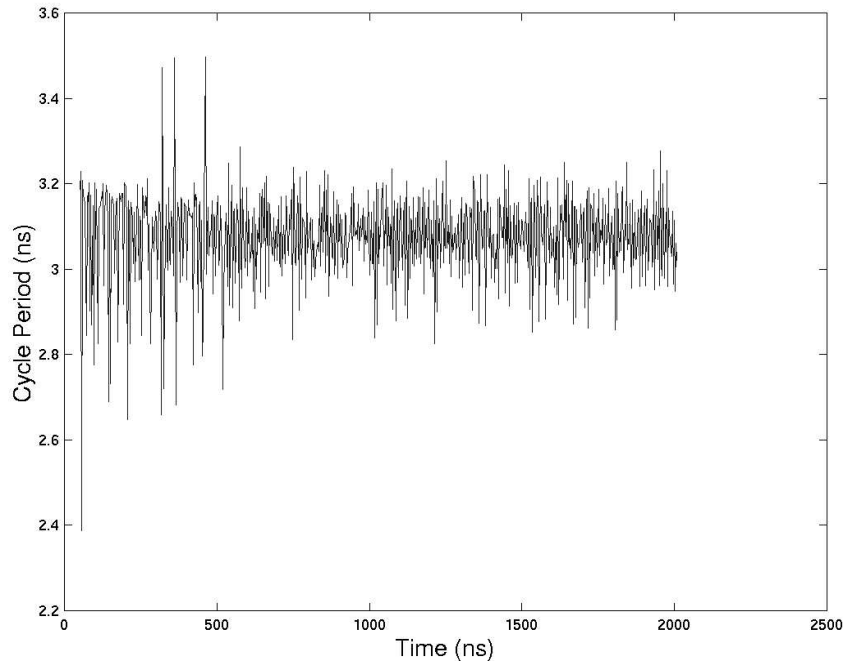


Fig. 51 Cycle period of the recovered clock from PFD CDR at data rate 650 Mbps

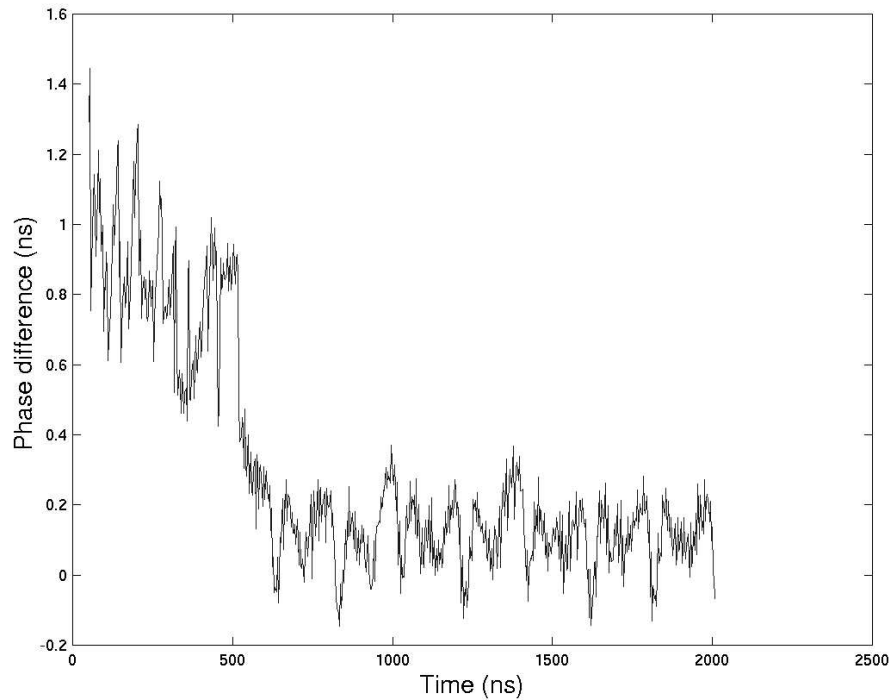


Fig. 52 Phase difference measured from PFD CDR at data rate 650 Mbps

The cycle period of the recovered clock in Fig. 51 highlights the activities of the phase selector and the PFD through changing of the clock frequency. The phase difference between the recovered clock and the reference clock in Fig. 52 is used to determine whether the PLL acquires lock because it is more accurate. The PLL is considered to be in lock when the phase difference is less than  $\pm 10\%$  of the reference clock cycle period.

Fig. 53 shows the averaged acquisition times of 50 samples of the PLL and the acquisition times of the phase selector without data bit errors at different data rate with the VCO initially at 600 Mbps. The 50 samples of waveform at each data rate were automatically obtained using GPIB, and the averaged acquisition times of the 50 samples



of the PLL at each data rate was calculated using MATLAB programs.

The phase selector in the combined CDR acquires data in less than 270 ns and the PLL acquires lock in less than 800ns with initial frequency differences less than 7% between the VCO and the incoming data of 650 Mbps. In the combined CDR with PFD, the phase selector provides faster acquisition. The phase selector improves the acquisition time by a factor of 15 for frequency differences below 3% and a factor of 3 above 3%.

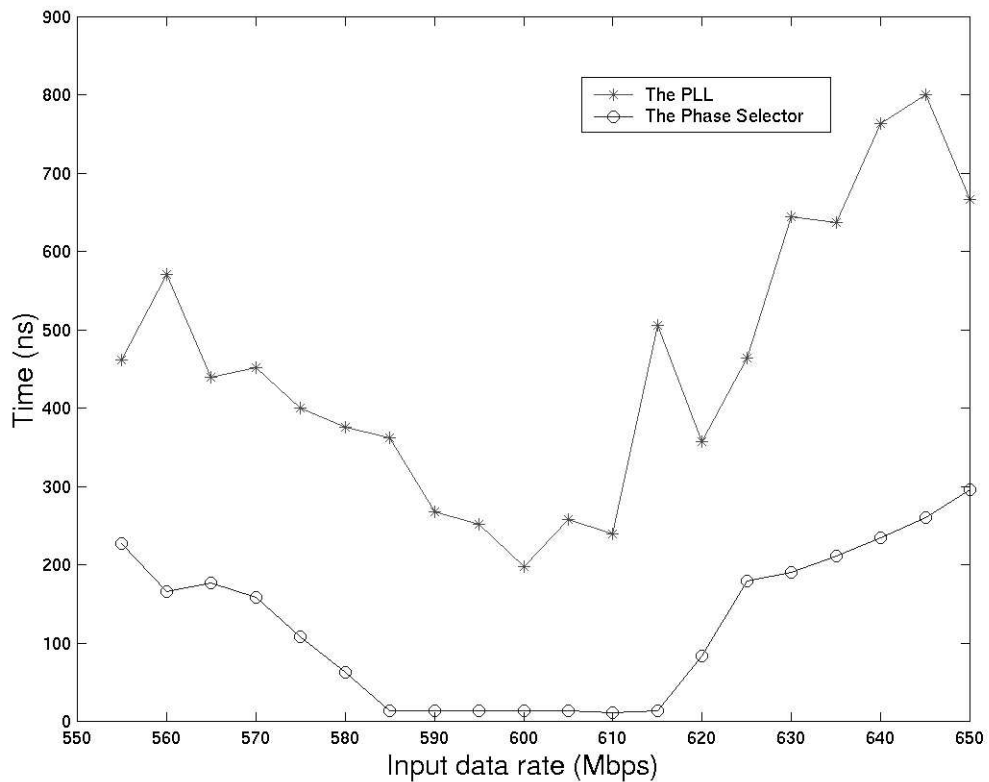


Fig. 53 Comparison of acquisition times between PLL and PS in the combined PFD CDR

#### 4.4 Acquisition time of the combined CDR with PFMD

The combined CDR with PFMD also consists of the phase selector and the PLL.

Fig. 54 shows the comparison of acquisition bit times of the phase selector between the PFMD CDR and the PFD CDR after which there are no bit errors in the recovered data versus the percentage of frequency difference between the input data rate and the VCO. As in the PFD CDR, the phase selector in the PFMD CDR acquires data within 8 bit time when the frequency difference is below 3%. Compared to the PFD CDR, it takes less time for the phase selector in the PFMD CDR to acquire data if the frequency difference is larger than 3% because the PFMD drives the VCO frequency close to the input data rate faster than the PFD does. The PFMD improves the acquisition time of the phase selector by a factor of up to 5.

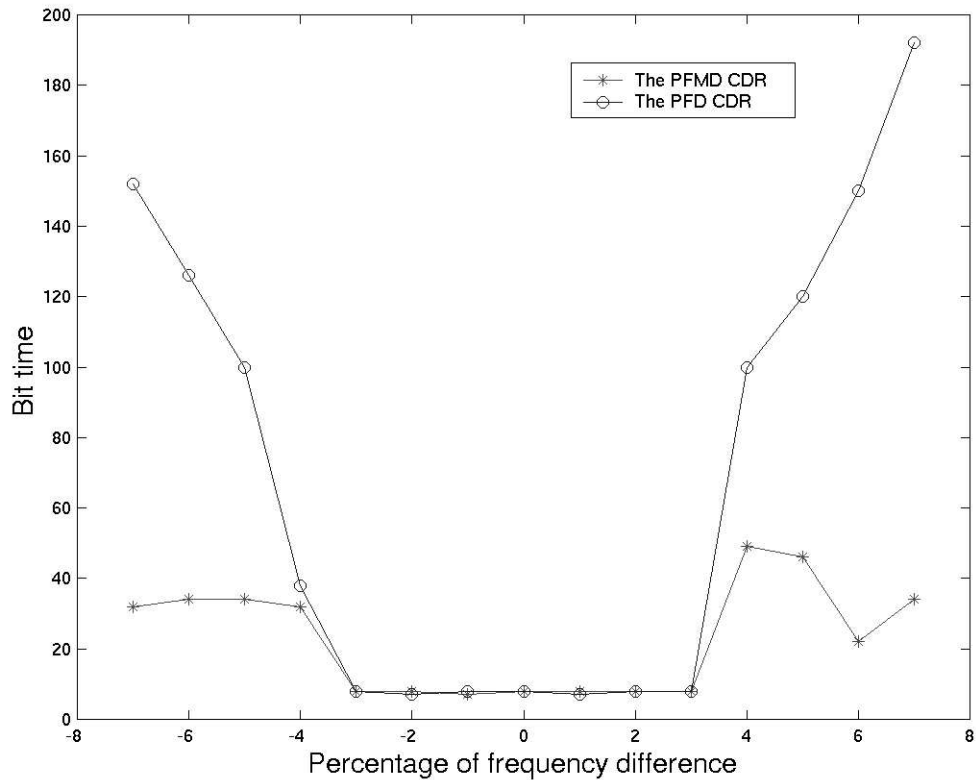


Fig. 54 Comparison of acquisition bit times of PS between PFMD CDR and PFD CDR

The PFMD CDR feeds back the magnitude of frequency difference as well as the frequency direction to the phase detector to substantially reduce the acquisition time of the PLL. Fig. 55 shows one sample of the cycle period of the recovered clock measured from the PFMD CDR with the VCO initially at 650 Mbps and  $2^7-1$  PRBS data rate at 700 Mbps. Fig. 56 shows one sample of phase difference between the recovered clock and the reference clock with the same conditions. Appendix B shows the cycle periods and phase differences of the recovered clock at different data rates from 600 Mbps to 700 Mbps with step size of 5 Mbps.

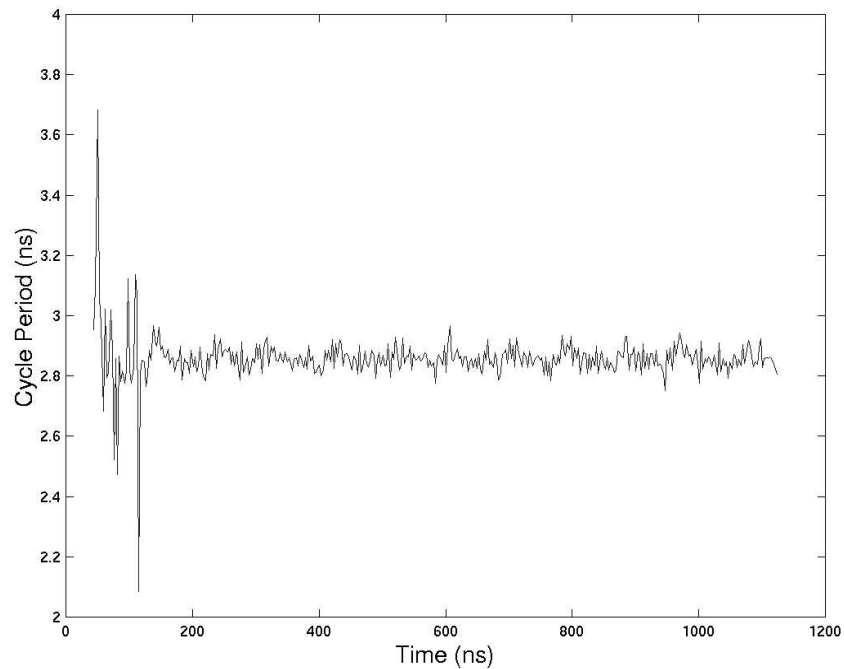


Fig. 55 Cycle period of the recovered clock from the PFMD CDR at data rate 700 Mbps

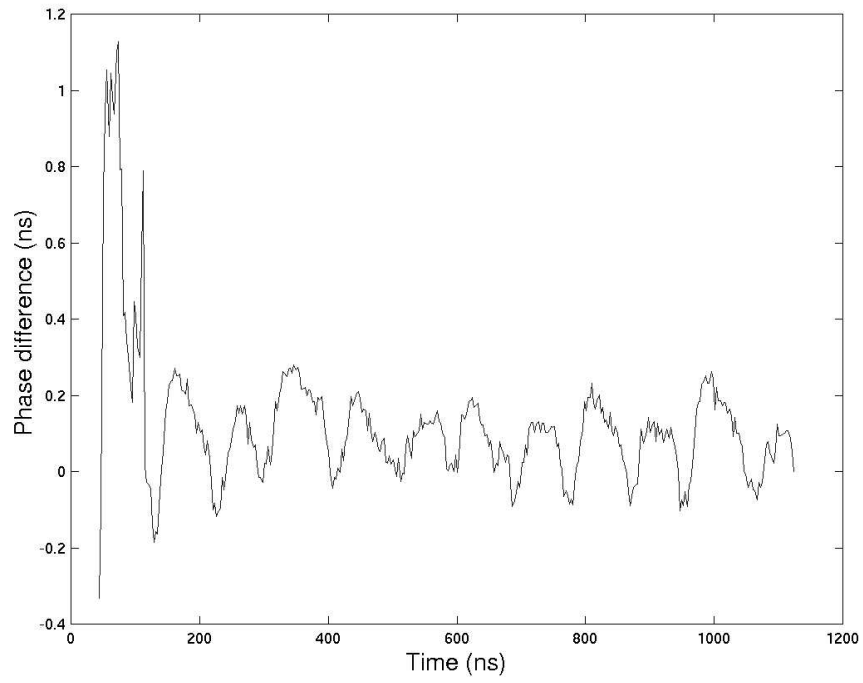


Fig. 56 Phase differences of the recovered clock from PFMD CDR at data rate 700 Mbps

Fig. 57 shows the averaged acquisition times of 50 samples of the PLL in the PFMD CDR with the VCO initially at 645 Mbps and  $2^7-1$  PRBS data at different data rates from 600 Mbps to 700 Mbps with step size of 1 Mbps. For a smaller frequency difference it takes longer for a  $\frac{1}{2}$  bit time shift in the VCO phase with respect to the incoming data. This delays the generation of the current pulse and contributes to the acquisition time of the PFMD CDR being longer in the range from 2% to 4%. For frequency differences less than 2%, the PLL locks before the PFMD applies its pulse.

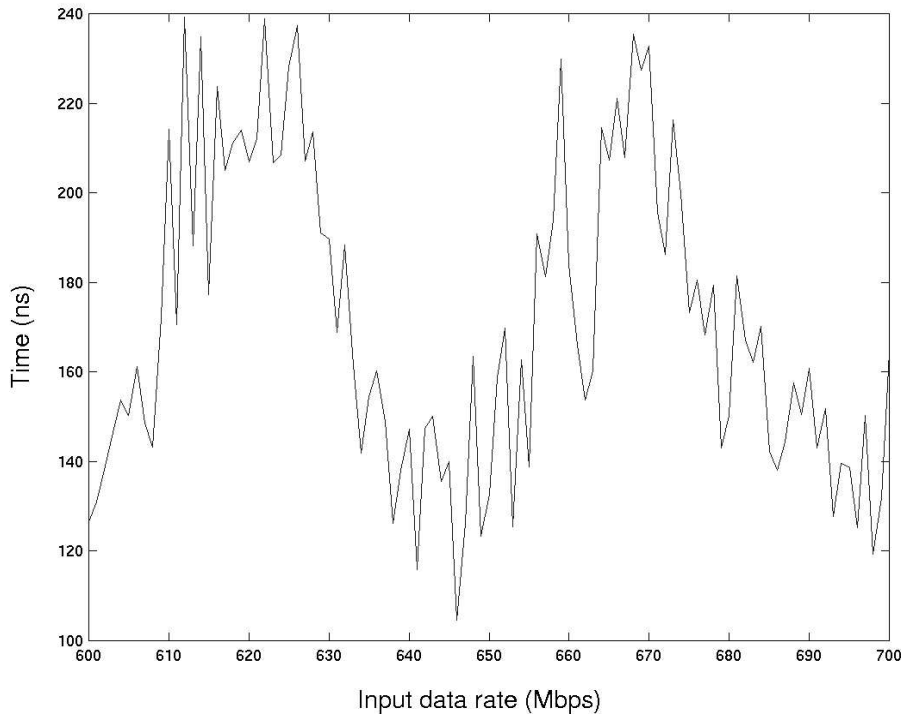


Fig. 57 Acquisition times of the PLL in the PFMD CDR with VCO initially at 645 Mbps

Among the 50 samples at each input data rate, a few samples have longer PLL acquisition times of up to 500 ps. Fig. 58 shows the histogram of the PLL acquisition times of the 50 samples at an input data rate of 700 Mbps. The average acquisition times shown in Fig. 57 include all samples and are responsible for the large fluctuations.

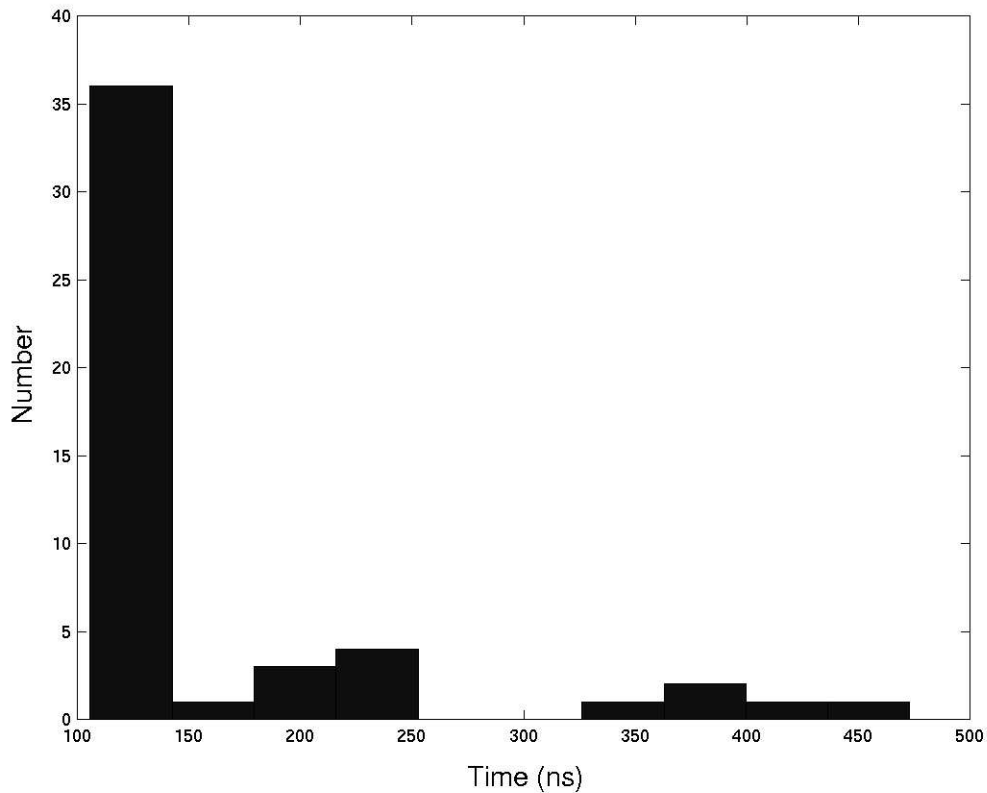


Fig. 58 Histogram of the PLL acquisition time of the 50 samples at data rate of 700 Mbps

Fig. 59 shows the worst-case sample of the cycle period of the recovered clock measured from the PFMD CDR with VCO initially at 650 Mbps and  $2^7-1$  PRBS data rate at 700 Mbps. Fig. 60 shows the sample of phase difference between the recovered clock and the reference clock with the same conditions. The PLL acquires lock in about 473 ns in the worst case though the frequency of the recovered clock comes near to the data rate

in about 220 ns. The phase difference in Fig. 60 shows that the loop gain is not large enough for a large phase error, which results in longer acquisition time.

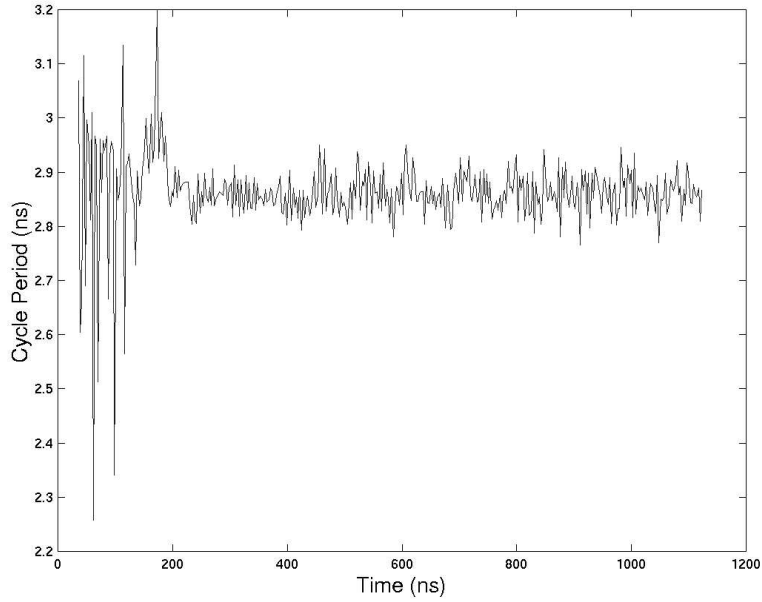


Fig. 59 Cycle period of the recovered clock in the worst case

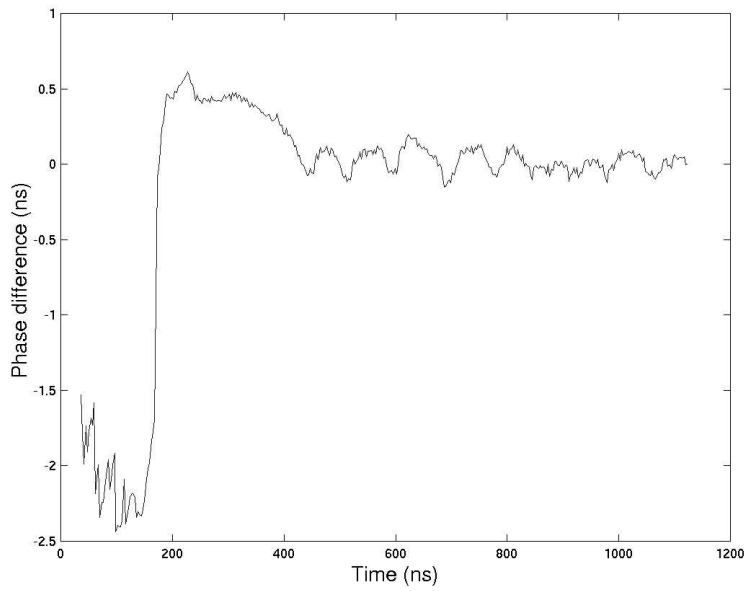


Fig. 60 Phase difference in the worst case

Fig. 61 shows the averaged acquisition times of 50 samples of the PLL in the PFMD CDR with the VCO initially at 550 Mbps and  $2^7-1$  PRBS data at different data rates from 500 Mbps to 600 Mbps with step size of 5 Mbps. The acquisition time is larger when operating at a lower frequency.

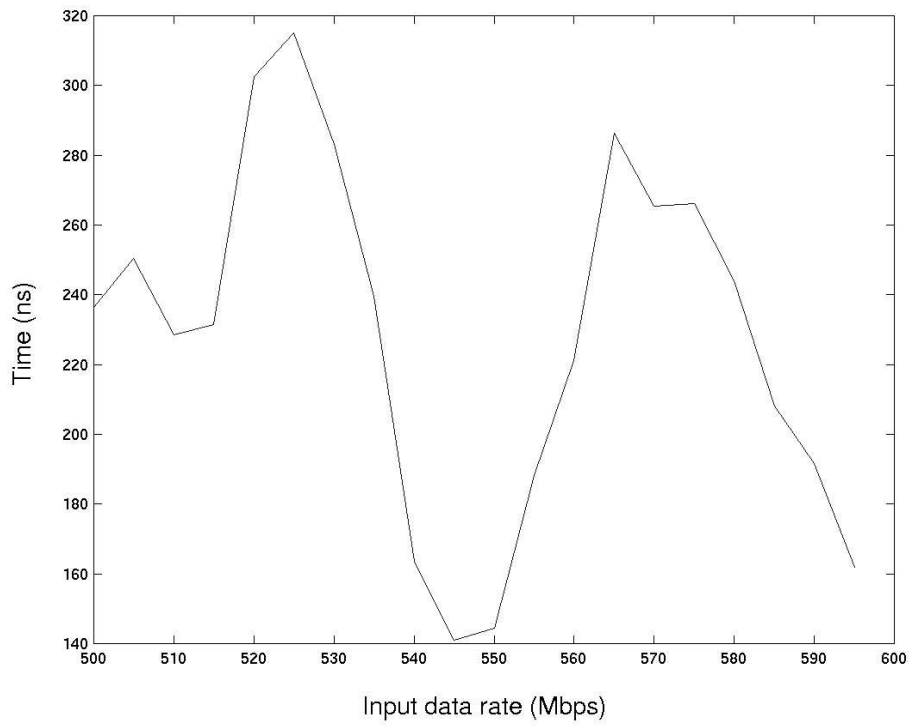


Fig. 61 Acquisition times of the PLL in the PFMD CDR with VCO initially at 550 Mbps



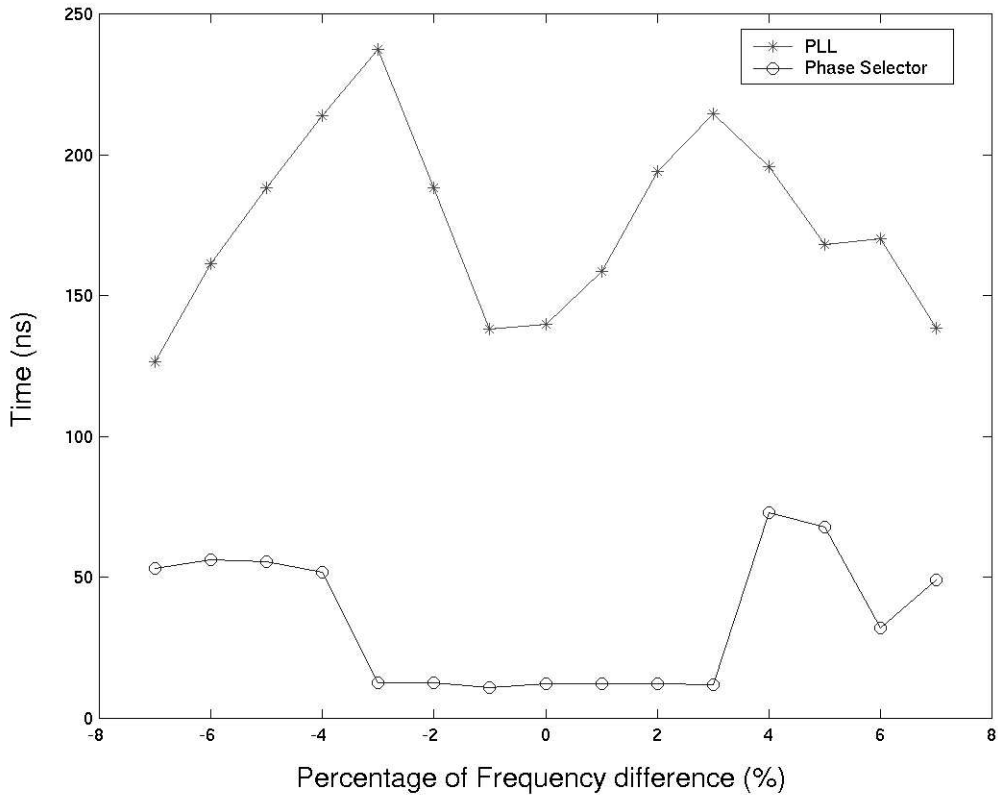


Fig. 62 Acquisition times of the PLL and phase selector in the combined PFMD CDR

Fig. 62 shows the comparison of acquisition times between the phase selector and the PLL in the combined PFMD CDR. The results of the phase selector in Fig. 62 are the averages of 3 samples. The phase selector in the PFMD CDR provides faster acquisition, and the retimed data has no more bit errors before the PLL acquires lock. As shown in Fig. 62, the phase selector acquires data in less than 70 ns and the PLL acquires lock in less than 240ns from an initial  $\leq 7\%$  frequency difference between the VCO and the incoming data.

Fig. 63 shows the comparison of the PLL acquisition times between the PFMD CDR and the PFD CDR. The PFMD CDR acquires lock in 140 ns from an initial 7% frequency difference between the VCO and the incoming data instead of 700 ns with the PFD. The PFMD improves the acquisition time of the PLL by a factor of up to 5. The worst case average acquisition time is 240 ns with the PFMD versus 800 ns with the PFD.

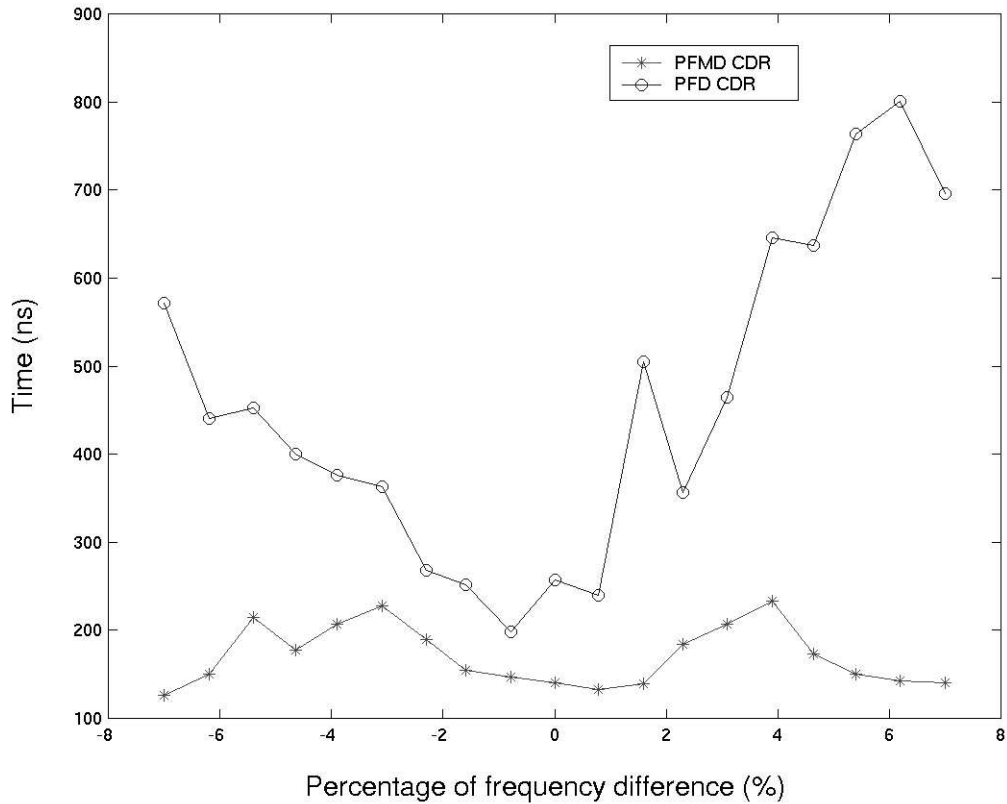


Fig. 63 PLL acquisition times of the PFMD CDR and the PFD CDR.

#### 4.5 Output waveforms and jitter of the CDR

Fig. 64 shows one channel of the demultiplexed data output and the recovered clock at 688 Mbps measured from the CDR with a sampling oscilloscope. Fig. 65 shows the jitter histogram of the recovered clock in response to 688 Mbps  $2^7-1$  PRBS data as measured by the sampling oscilloscope. The recovered clock has an rms jitter of 16 ps, including the input jitter.

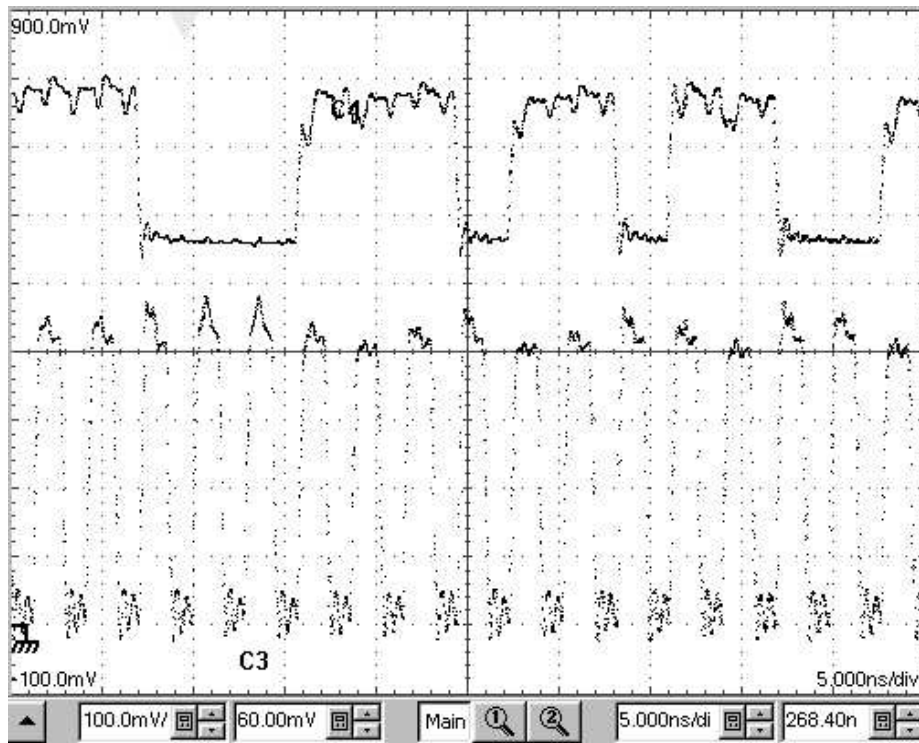


Fig. 64 Recovered data and clock output

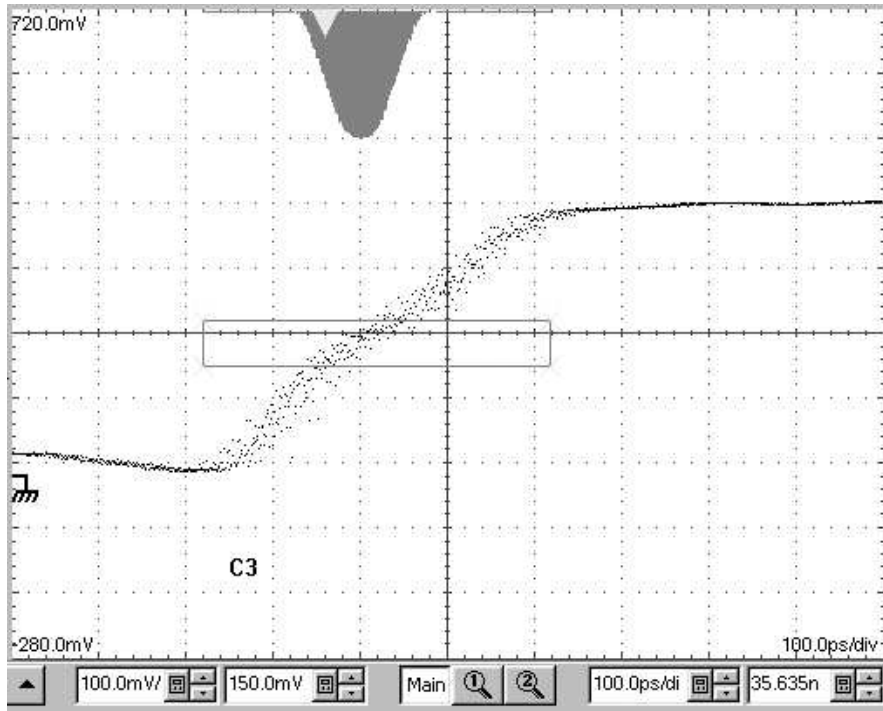


Fig. 65 Jitter histogram of the recovered clock

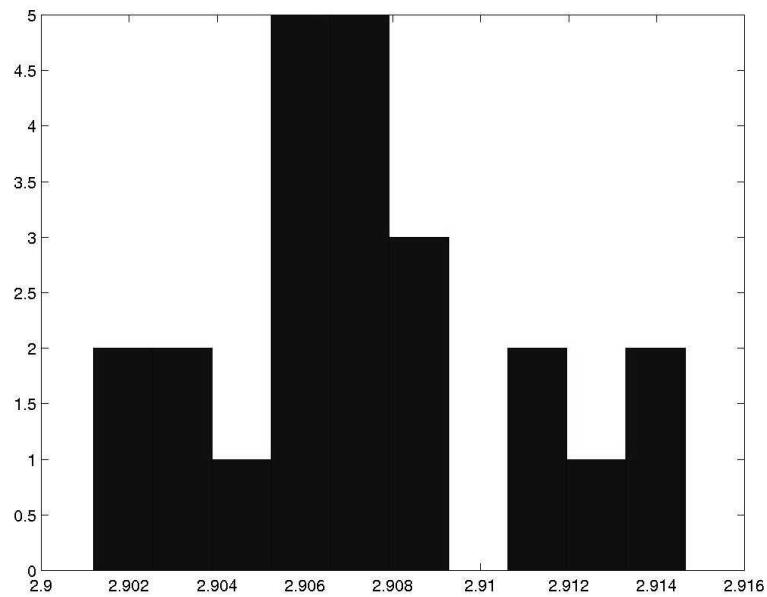


Fig. 66 Jitter histogram measured from digital oscilloscope

Using the 4 GSps digital oscilloscope with interpolation of 10-cycle crossing times, the rms jitter for a 10-cycle average was measured to be 6 ps. The corresponding jitter for one cycle is about double that measured by the sampling oscilloscope due to the inaccuracy caused by the resolution of the digital oscilloscope. The jitter histogram is shown in Fig. 66.

Table 2 summarizes the measured performance of the clock and data recovery circuits versus simulated performance. The measured results agree very well with the simulated results.

Table 2 Performance summary measured versus simulated

	Simulated	Measured
Maximum Operating Frequency	800 Mbps	700 Mbps
Capture Range	6%	7%
PFM CDR Lock Time from 6%	650 ns	700 ns
PFMD CDR Lock Time	140 ns	150 ns
PLL Jitter	7.5 ps	16 ps
Power Dissipation	240 mW	300 mW

## CHAPTER FIVE

### CONCLUSION

Clock and data recovery circuits have been widely used in data communication systems. Acquisition time and jitter are two important issues in the design of the clock and data recovery circuits. For networks with fast switching between nodes, short acquisition time reduces the number of preamble bits required and results in higher efficiency. Low jitter is important for low bit-error rate in the transfer of data. However, there is trade-off between low jitter and short acquisition time. Phase locked loop architectures offer low clock jitter after acquiring lock resulting in low error rates but their acquisition times are long. Delay locked loop CDRs can lock to the data in just a few clock cycles but have high jitter that results in higher error rates.

A combined phase-selector / PLL CDR was designed which consists of a phase selector, which can lock to the data in just a few clock cycles but has high jitter, and a PLL, which requires a much longer acquisition time but provides a low-jitter clock after locking. A novel phase frequency magnitude detection circuit is also introduced to substantially reduce the PLL acquisition time.

In 0.5  $\mu\text{m}$  CMOS technology, a combined CDR was designed to operate in four different modes: 1) a conventional analog PLL CDR, which has projected clock jitter of about 8 ps but a fairly long acquisition time and small capture range of 1.5%, 2) a phase selector CDR which has high jitter of 25% of a bit time but acquires data in 8 bit times, 3) a novel CDR that combines a PLL with a phase selector that acquires data in 8 bit times, has high jitter to start but the jitter reduces to that of the PLL ( $\sim 8$  ps) after the PLL acquires lock in about 650 ns from the initial 6% frequency difference, and 4) a novel

PFMD circuit that applies only a single pulse, with an amplitude based on the estimated frequency difference, to the charge pump of the PLL reducing the expected acquisition time of the PLL to under 200ns from the initial 6% frequency difference between the input data and the recovered clock.

Measurement results of the combined CDR show functionality in all four modes up to 700 Mbps. This is somewhat short of the 800 Mbps expected from simulation. The capture range in mode 1 is about what was expected. The measured rms cycle-to-cycle jitter of the PLL is 16 ps, including the input jitter. The simulated 8 ps rms jitter of the PLL is only pattern-dependent jitter without including the input jitter. In mode 2, the phase selector CDR acquires data in 8 bit times as expected and has high jitter of 30% of a bit time that is close to the simulated jitter of 25% of a bit time.

In mode 3, the CDR combines a PLL with a phase selector that provides the information of the frequency direction. The phase selector acquires data in 8 bit times without bit error if the frequency difference between the input data and the VCO is below 3%. It takes longer for the phase selector to acquires data if the frequency difference is from 3% to 7% because the phase selector has higher jitter of 50% of a bit time. The phase selector initially has high jitter but the jitter reduces to that of the PLL (~16 ps) after the PLL acquires lock. The PFD drives the VCO close to the input data rate and the PLL acquires lock in about 700 ns from the initial frequency difference of 7%.

In mode 4, the PFMD reduces the acquisition time of the PLL to 140 ns from an initial 7% frequency difference compared to 700 ns with the PFD circuit. The phase selector in the combined PFMD CDR acquires data in 8 bit times without bit error if the frequency difference is below 3%. Compared to the acquisition time of the phase selector

in the PFD CDR, it takes less time for the phase selector of the PFMD CDR to acquire data because the PFMD circuit drives the VCO faster to the input data than the PFD does.



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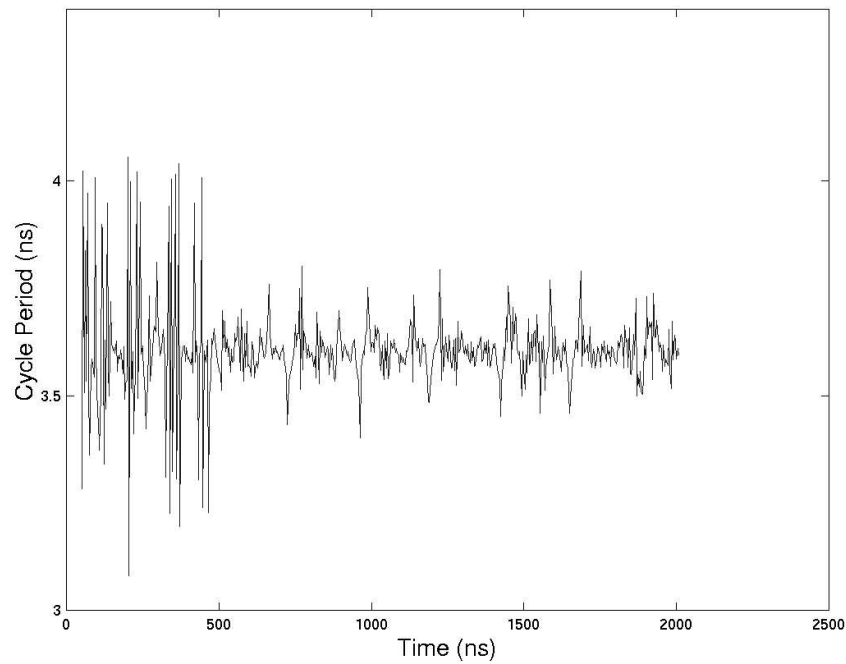
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## APPENDIX A.

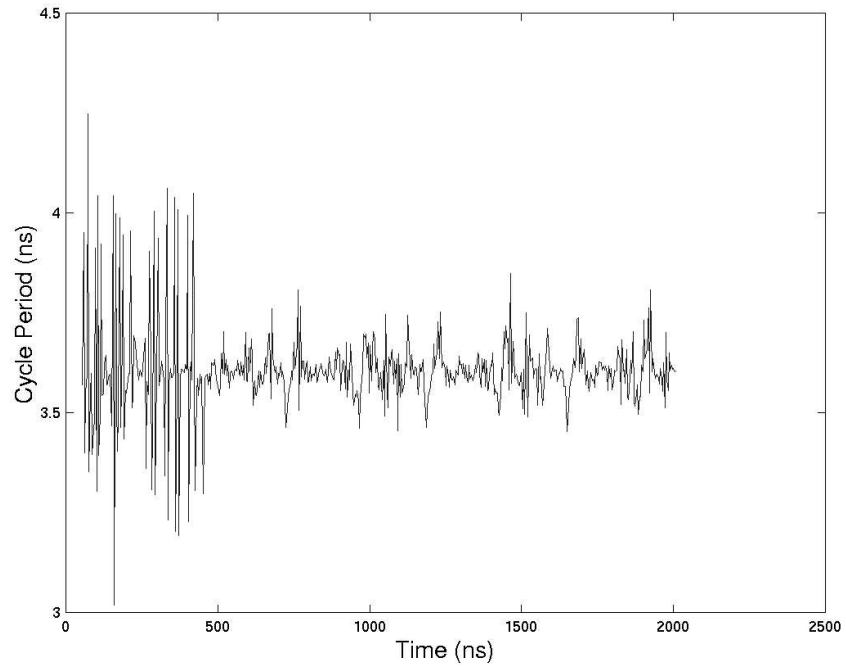
### Measurement Results of the Combined PFD CDR

Fig. A1 shows one of the 50 samples of the cycle period of the recovered clock measured from the combined PFD CDR with the VCO initially at 600 Mbps and  $2^7-1$  PRBS data at different data rates from 555 Mbps to 650 Mbps with step size of 5 Mbps. Fig. A2 shows one of the 50 samples of the phase difference between the recovered clock and the reference clock with the same conditions.

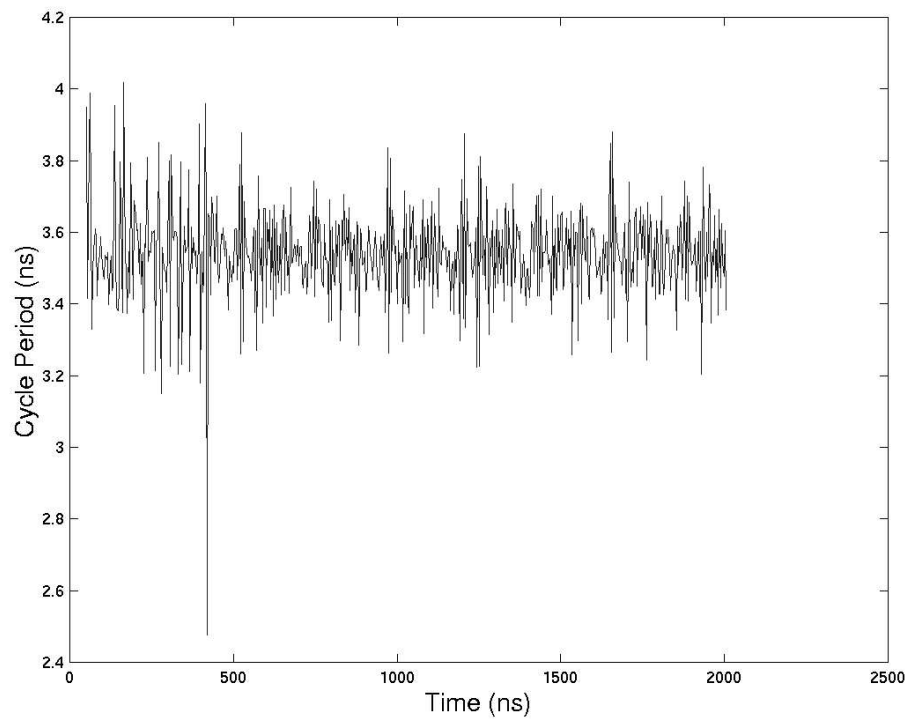


(a) Input data rate at 555 Mbps

Fig. A1 Cycle period of the recovered clock from the PFD CDR at different data rates

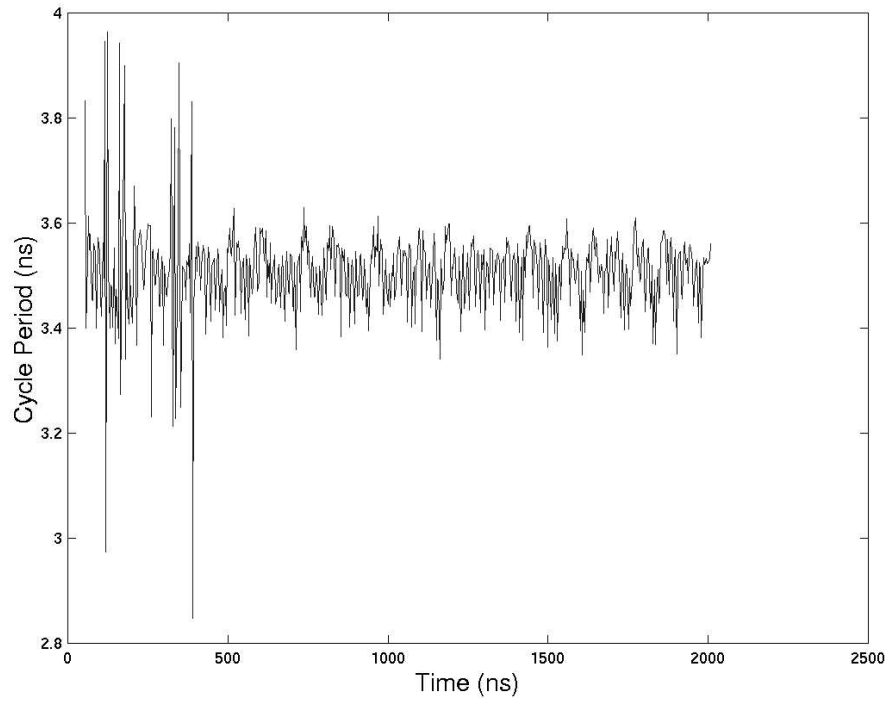


(b) Input data rate at 560Mbps

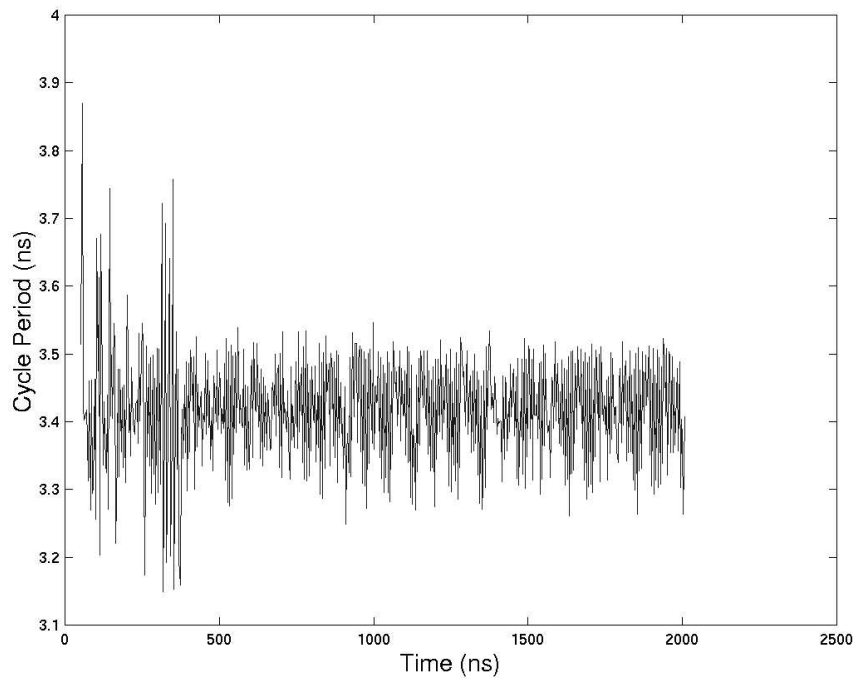


(c) Input data rate at 565 Mbps

Fig. A1 (continued)

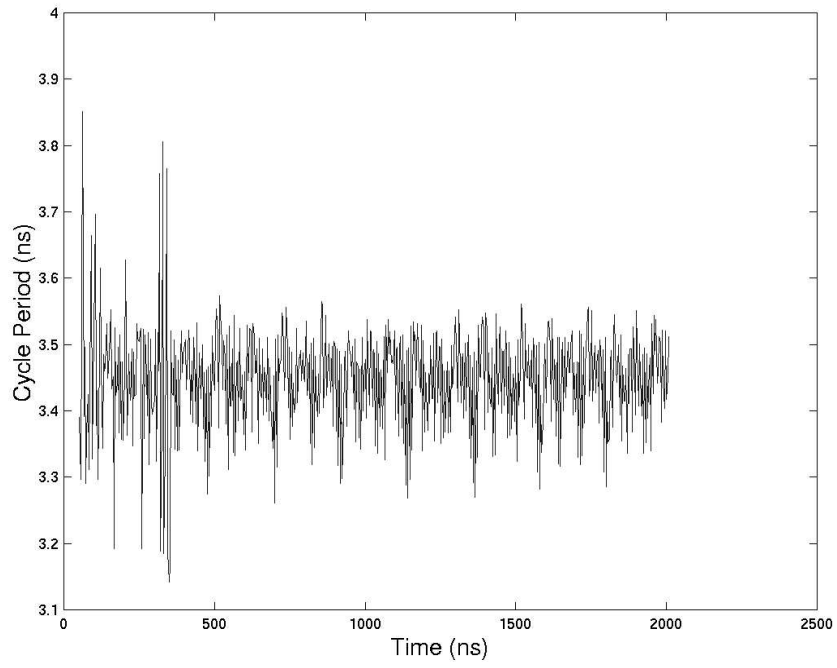


(d) Input data rate at 570 Mbps

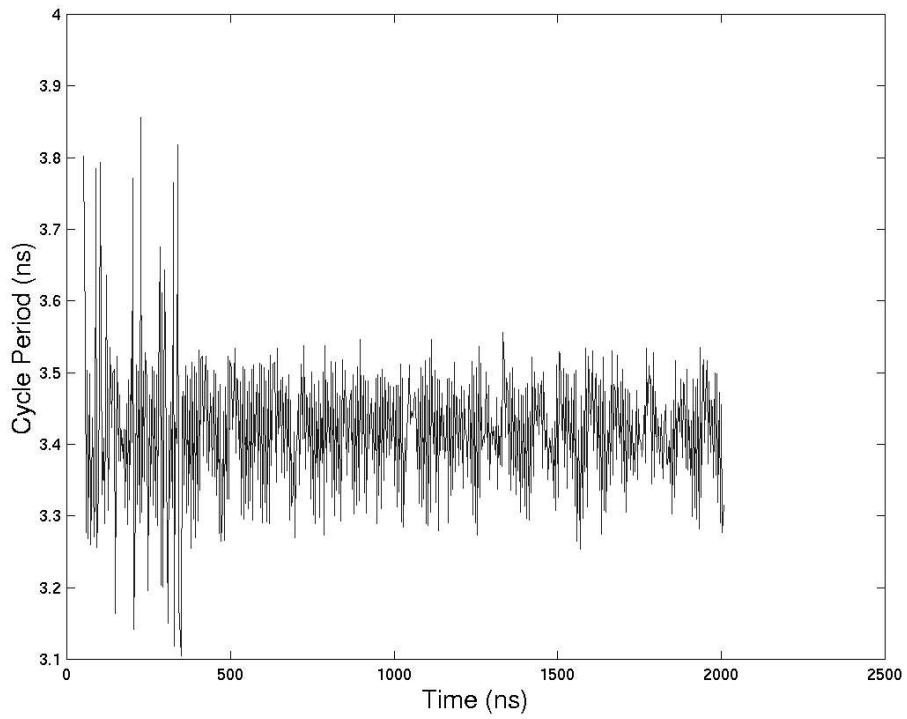


(e) Input data rate at 575 Mbps

Fig. A1 (continued)



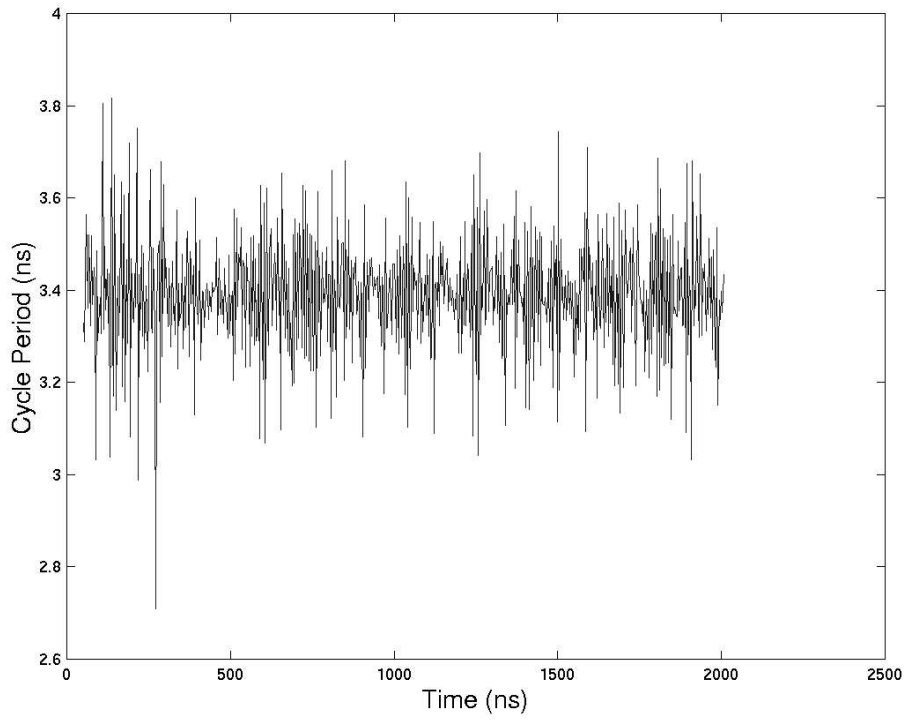
(f) Input data rate at 580 Mbps



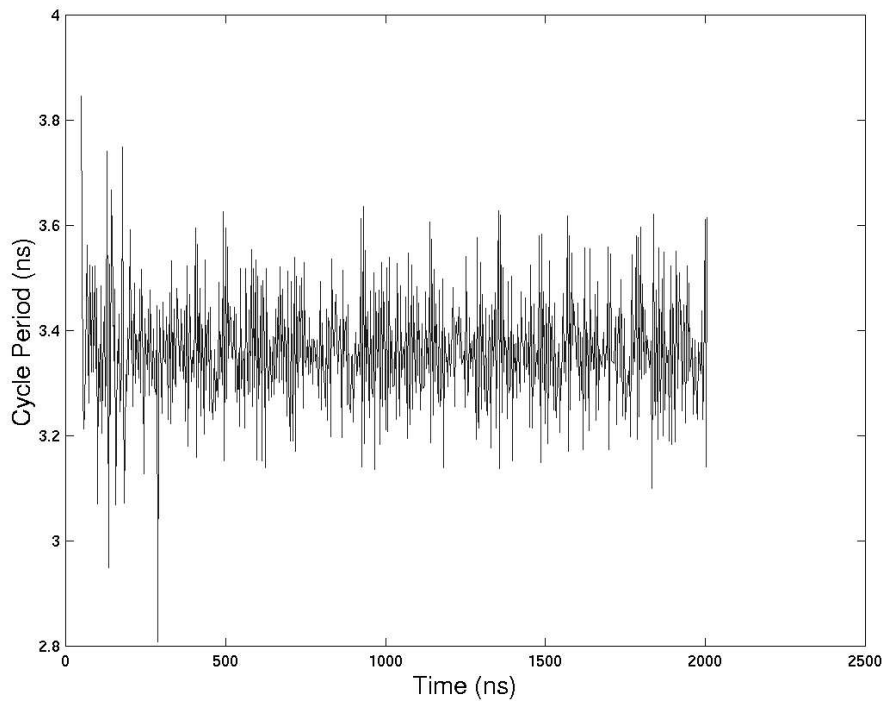
(g) Input data rate at 585 Mbps

Fig. A1 (continued)



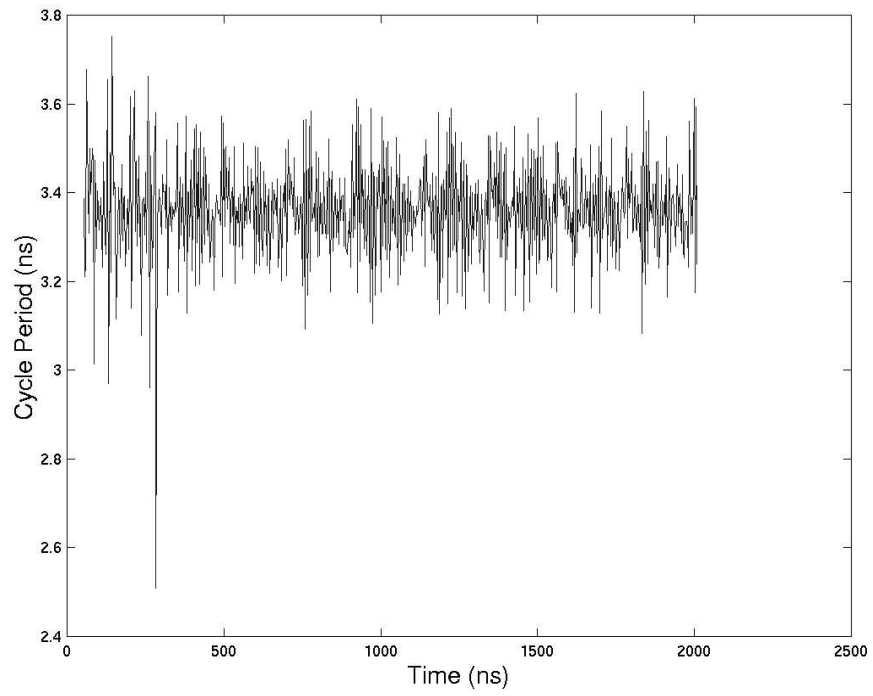


(h) Input data rate at 590 Mbps

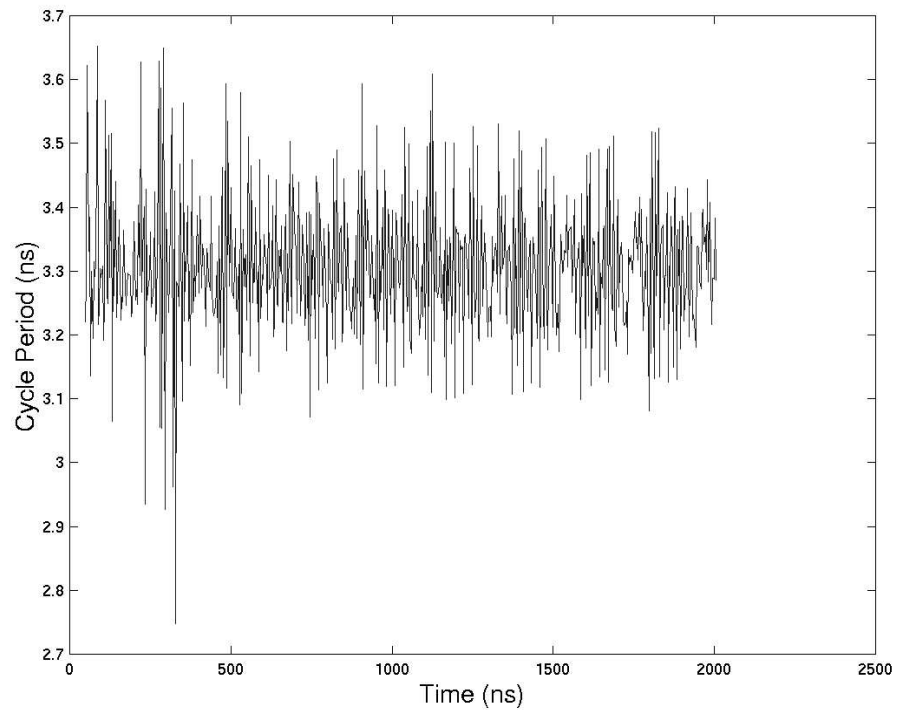


(i) Input data rate at 595 Mbps

Fig. A1 (continued)

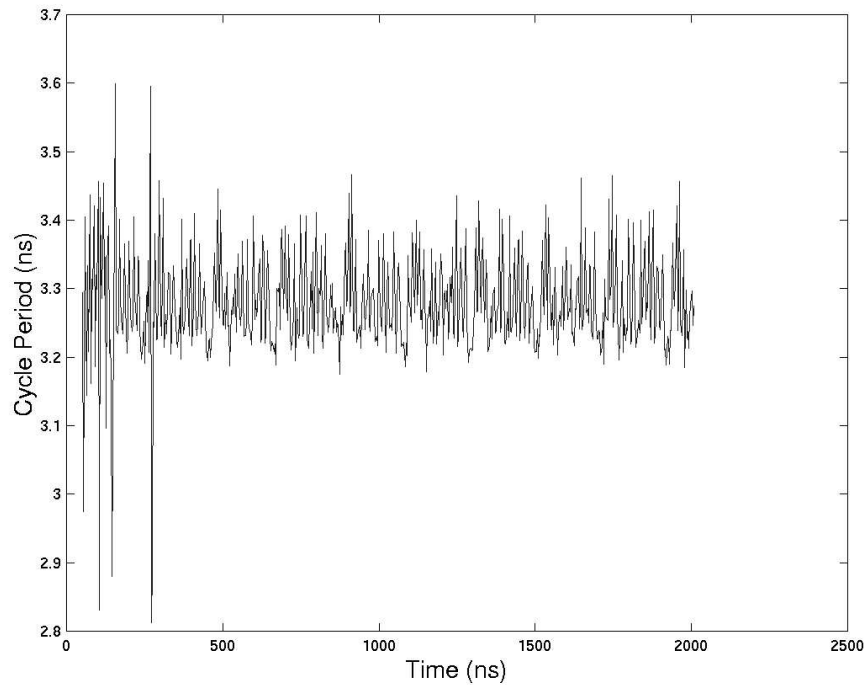


(j) Input data rate at 600 Mbps

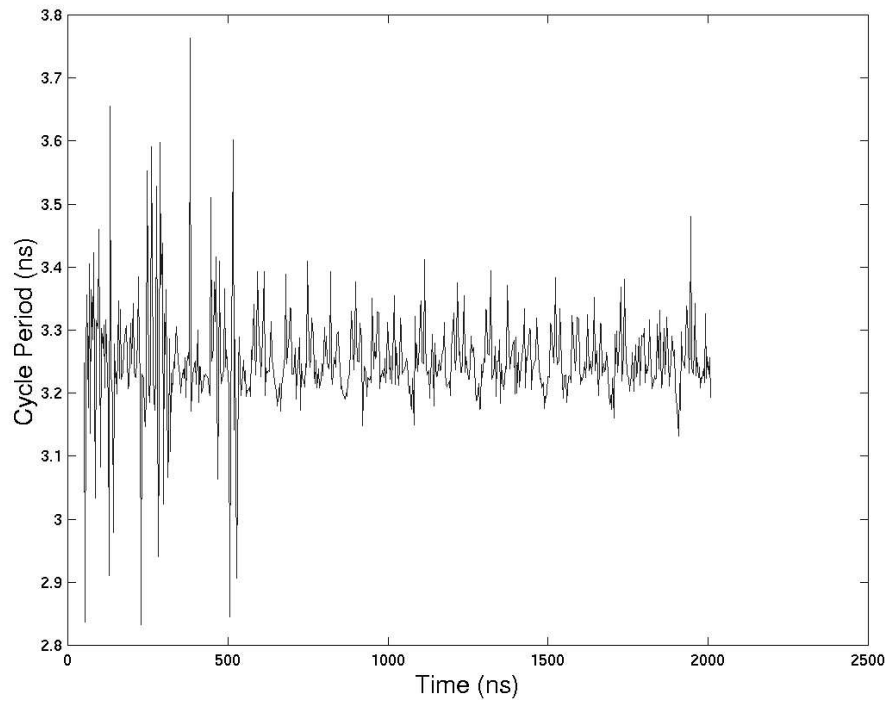


(k) Input data rate at 605 Mbps

Fig. A1 (continued)

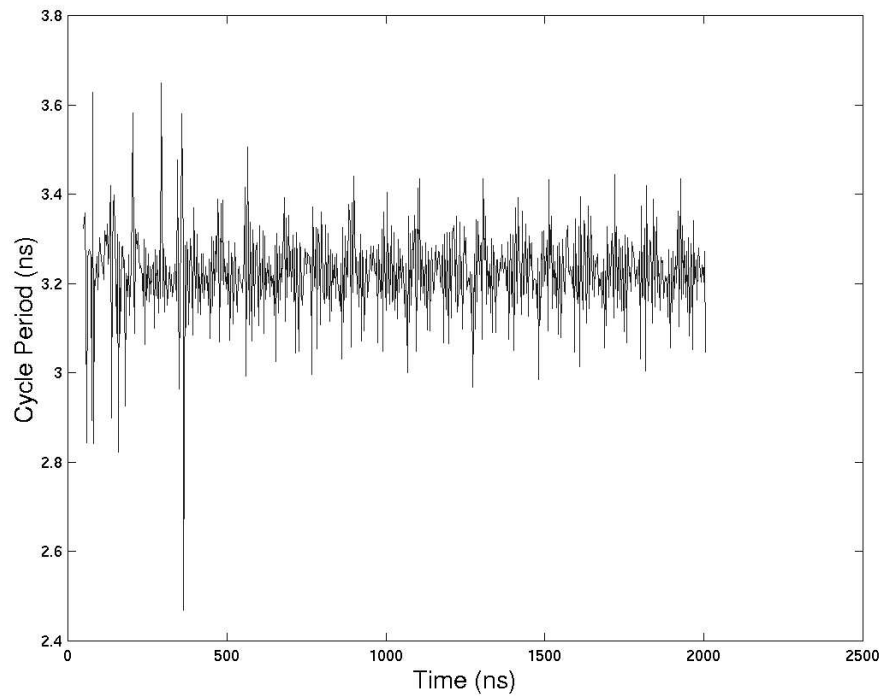


(l) Input data rate at 610 Mbps

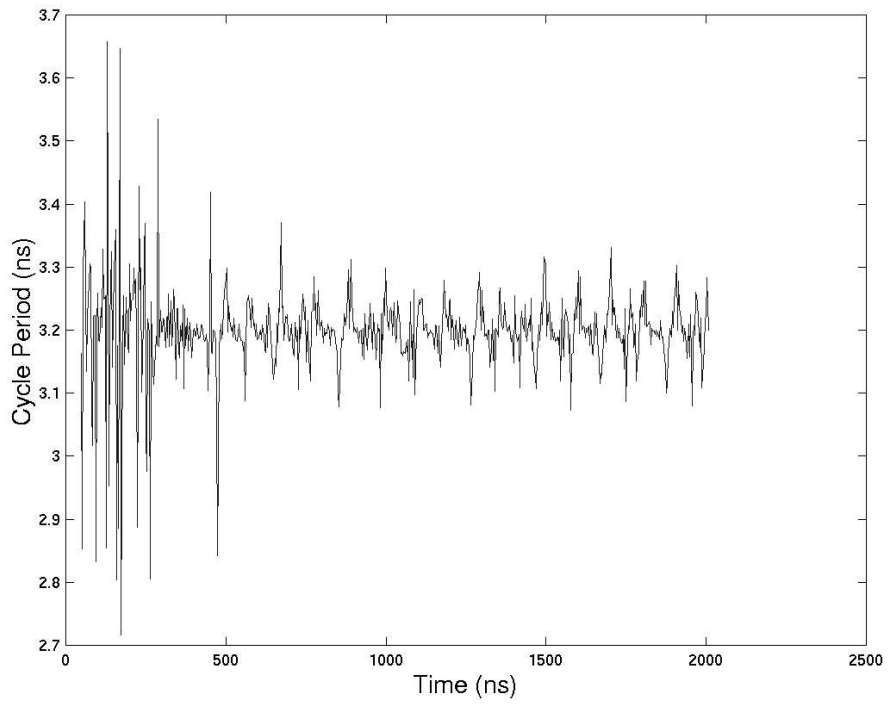


(m) Input data rate at 615 Mbps

Fig. A1 (continued)

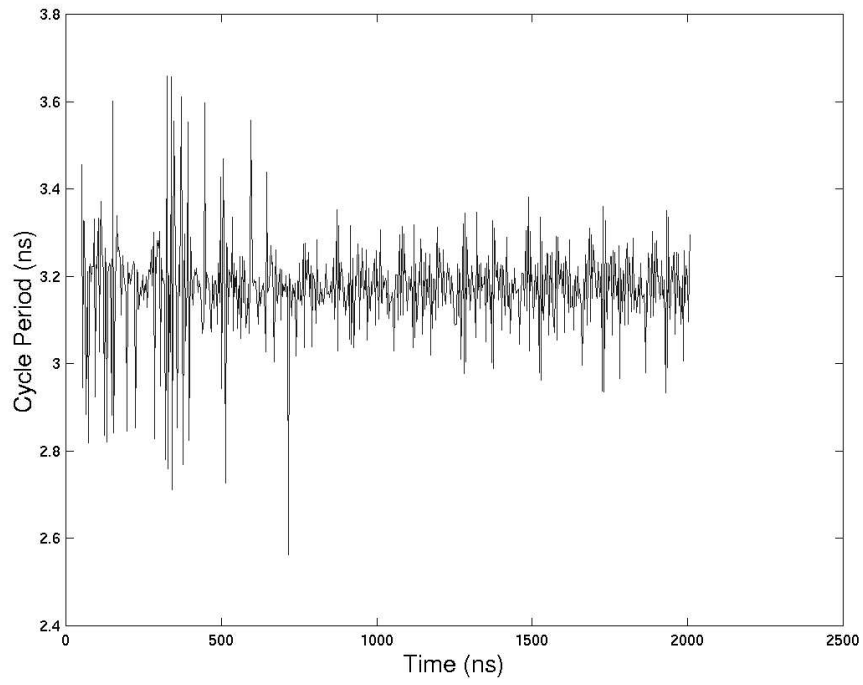


(n) Input data rate at 620 Mbps

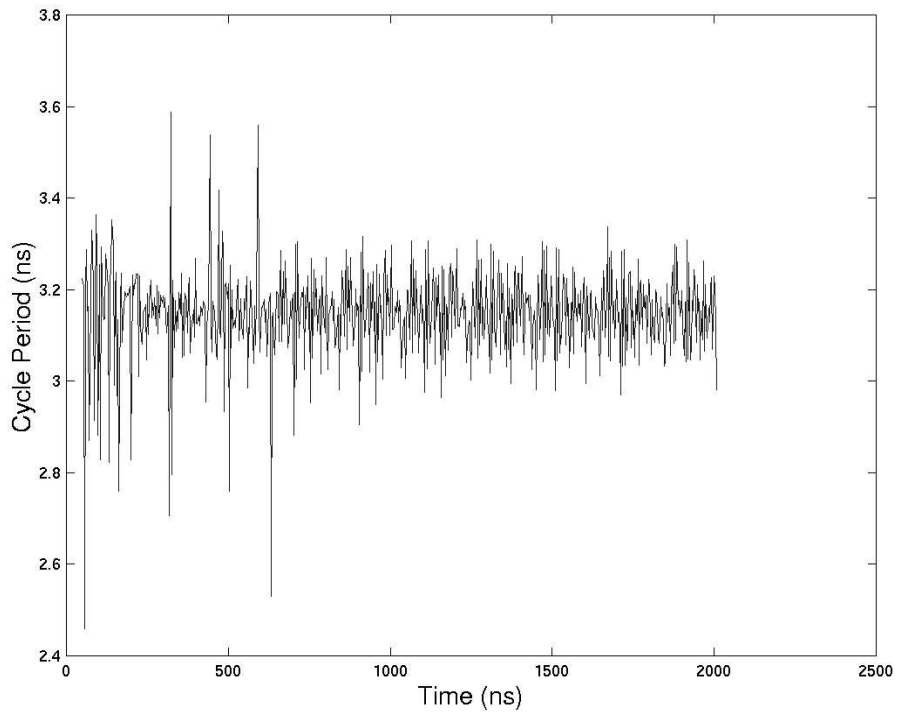


(o) Input data rate at 625 Mbps

Fig. A1 (continued)

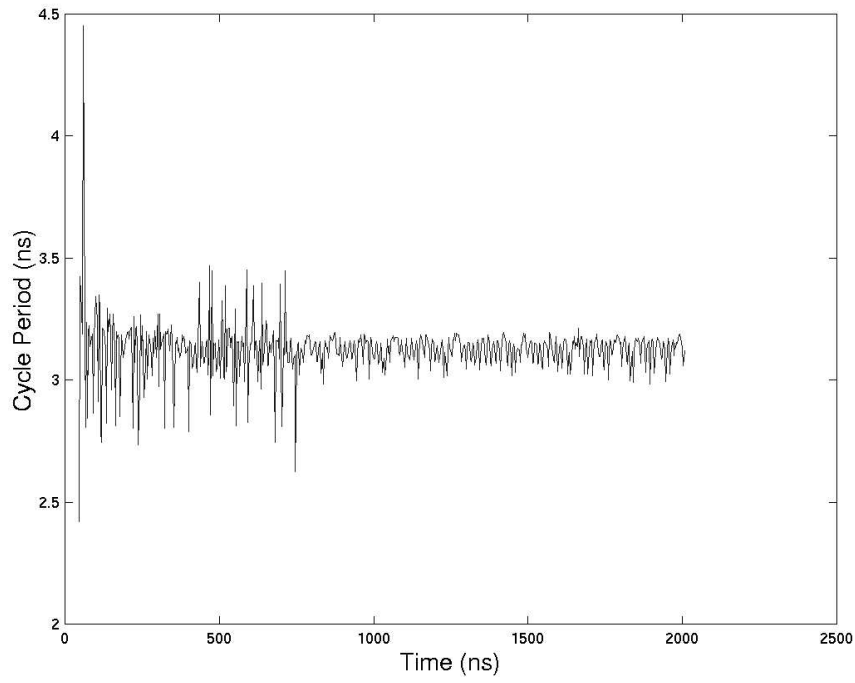


(p) Input data rate at 630 Mbps

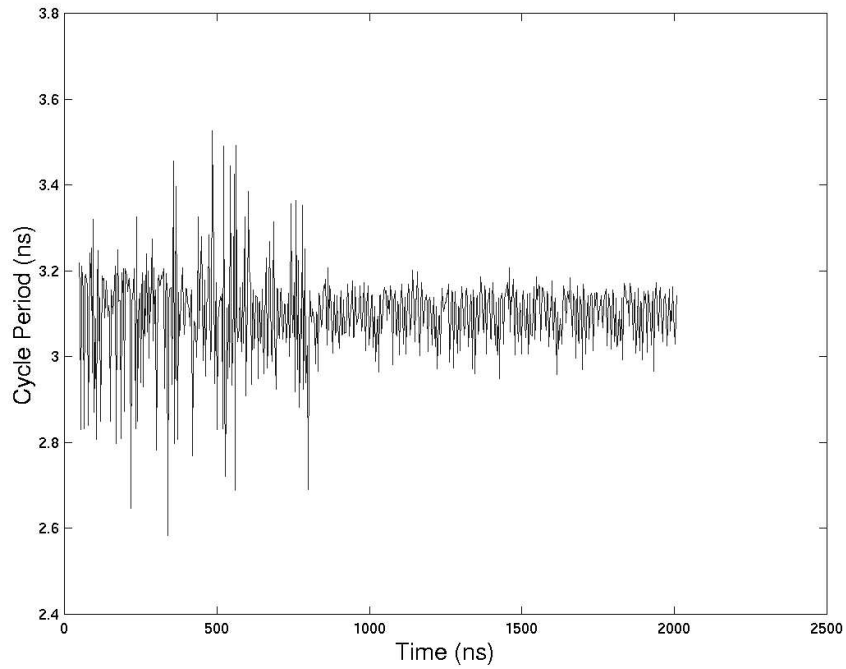


(q) Input data rate at 635 Mbps

Fig. A1 (continued)

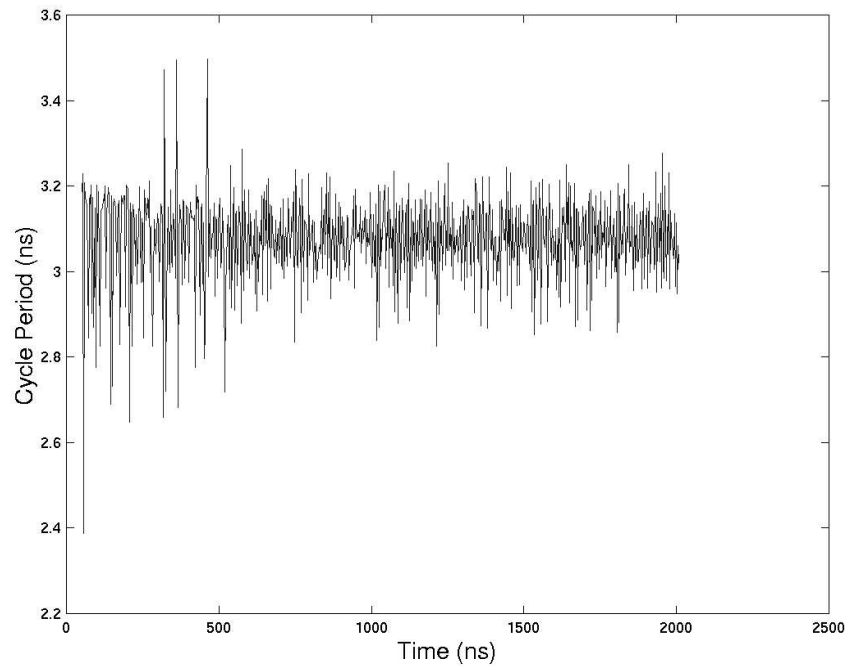


(r) Input data rate at 640 Mbps



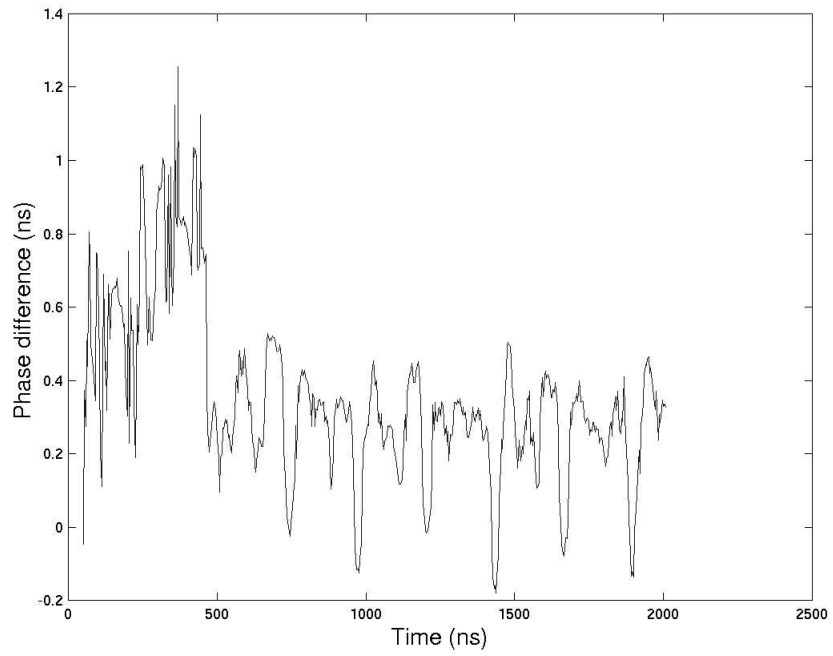
(s) Input data rate at 645 Mbps

Fig. A1 (continued)

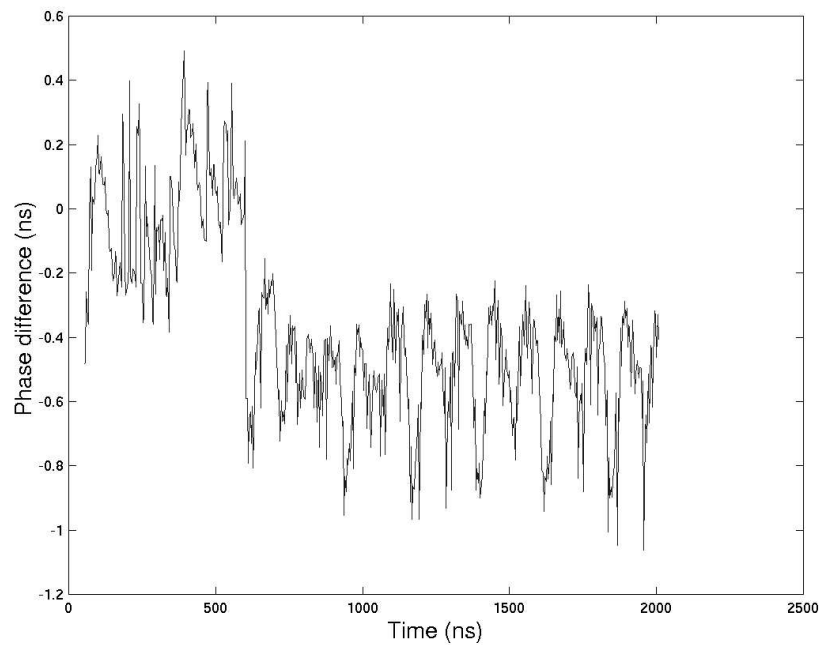


(t) Input data rate at 650 Mbps

Fig. A1 (end)



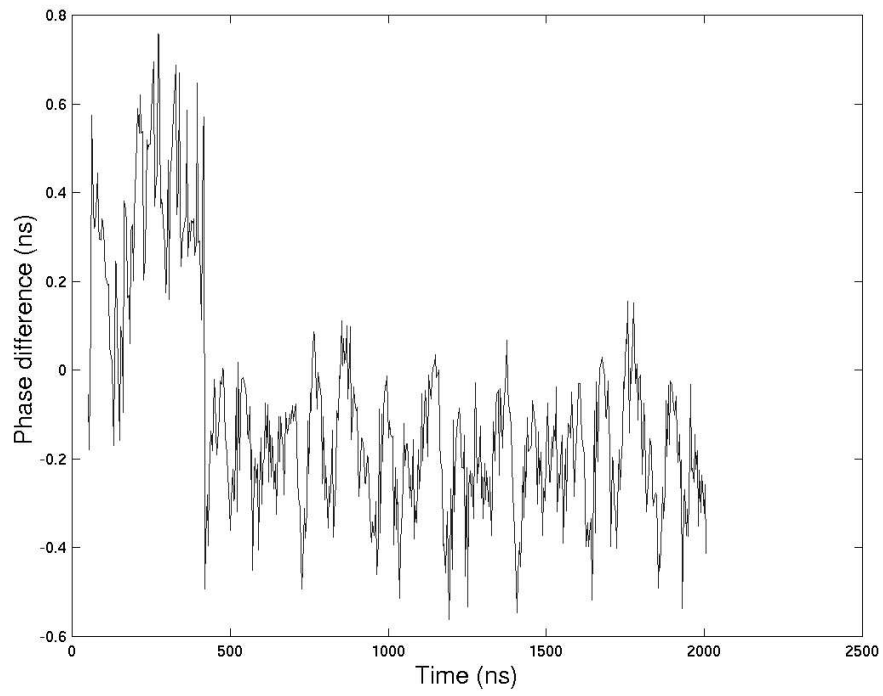
(a) Input data rate at 555 Mbps



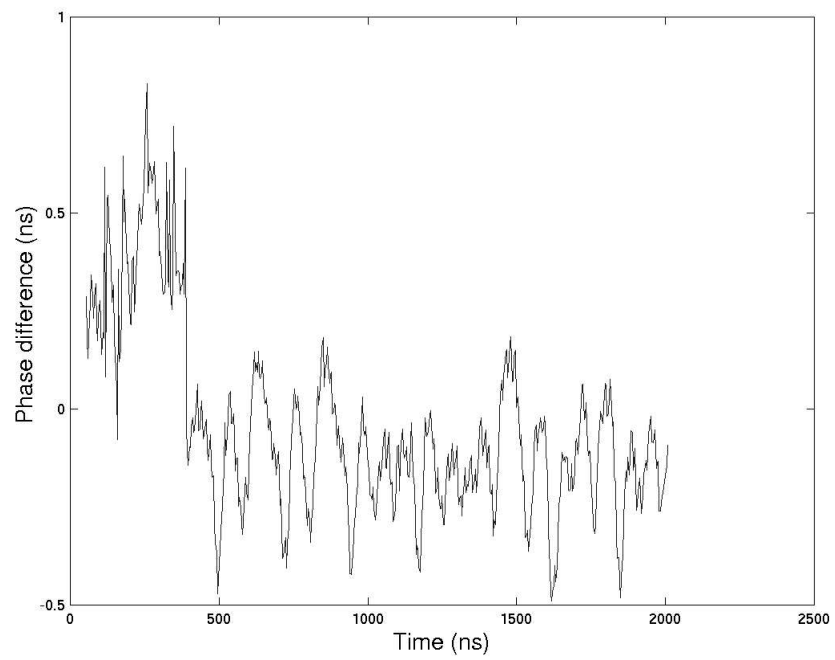
(b) Input data rate at 560 Mbps

Fig. A2 Phase difference measured from the PFD CDR at different data rates



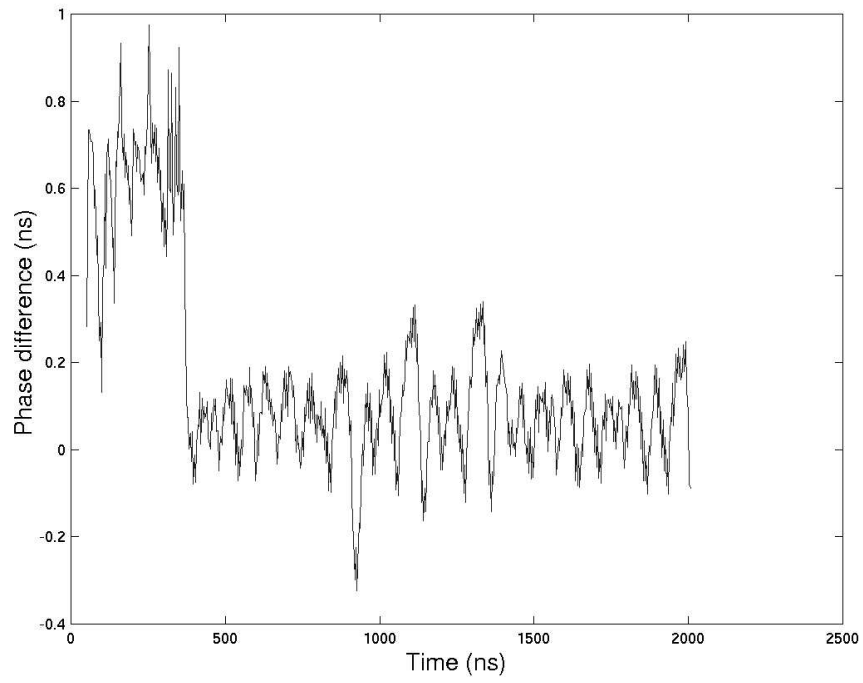


(c) Input data rate at 565 Mbps

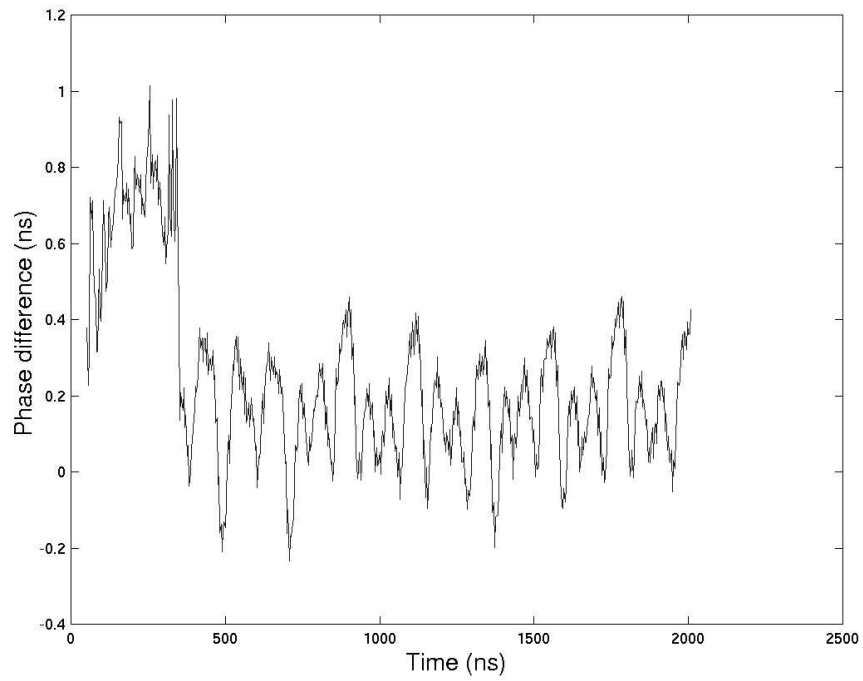


(d) Input data rate at 570 Mbps

Fig. A2 (continued)

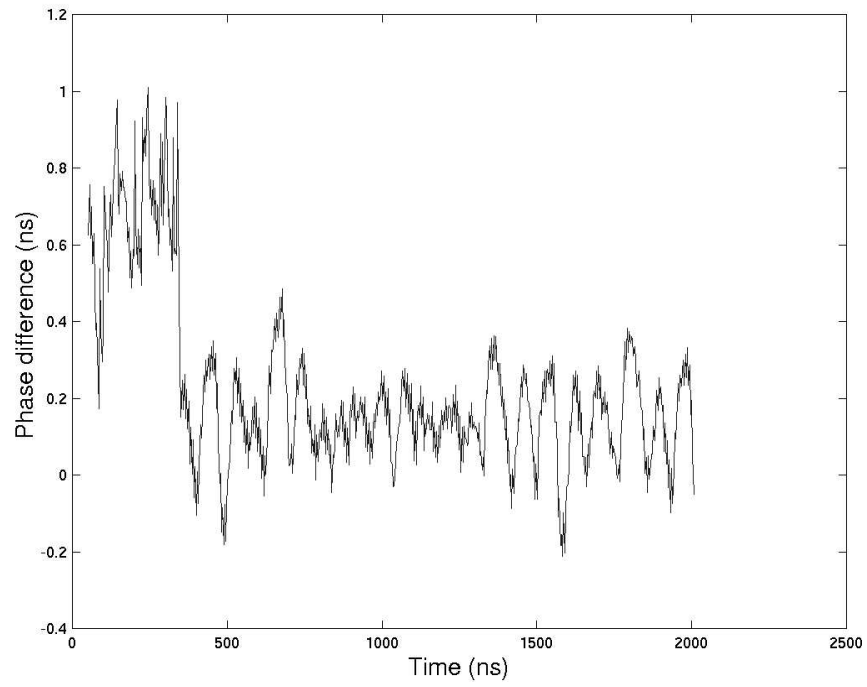


(e) Input data rate at 575 Mbps

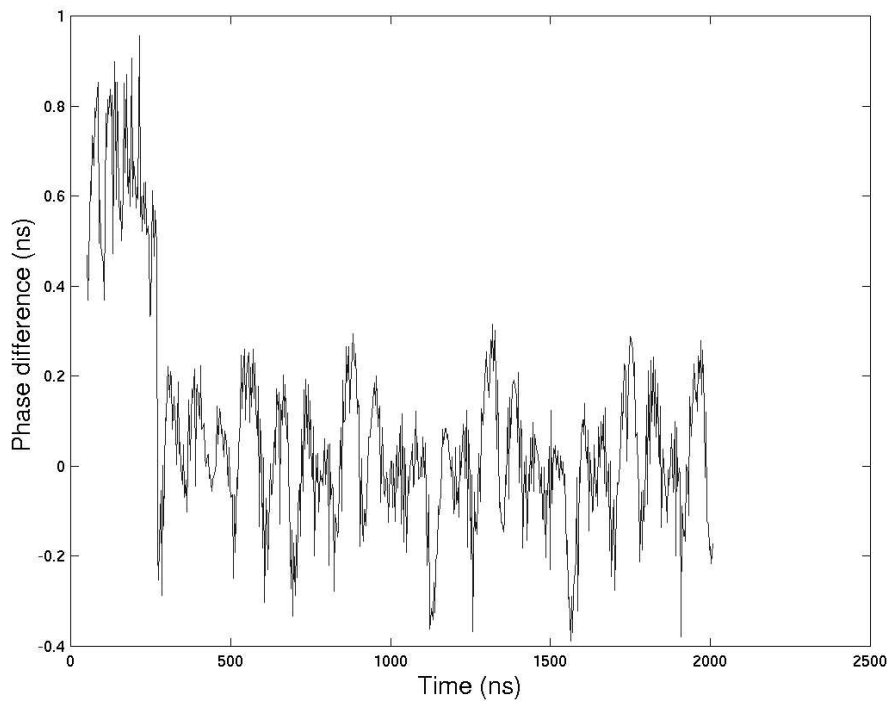


(f) Input data rate at 580 Mbps

Fig. A2 (continued)

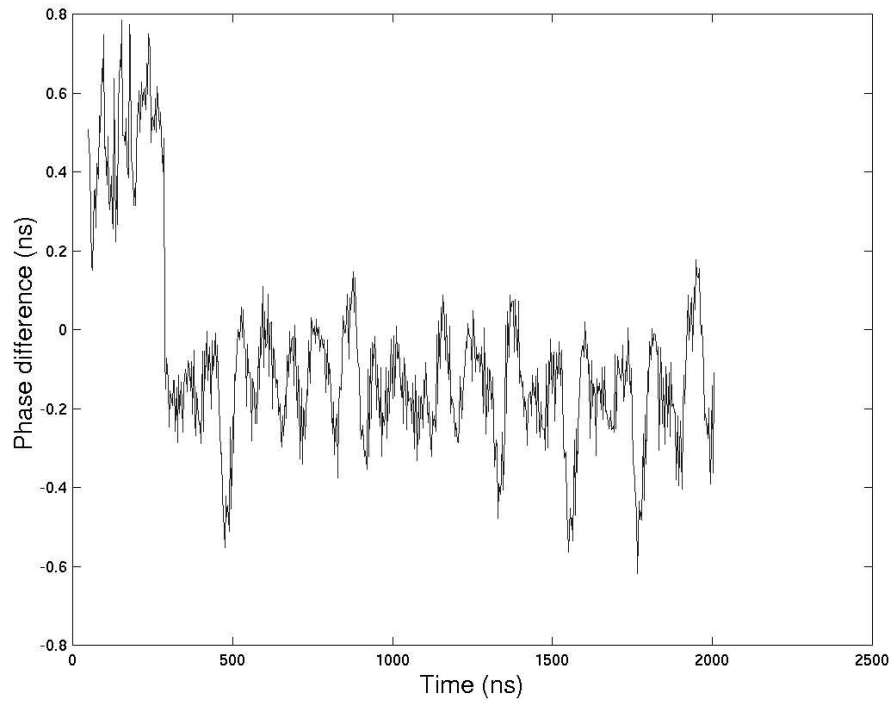


(g) Input data rate at 585 Mbps

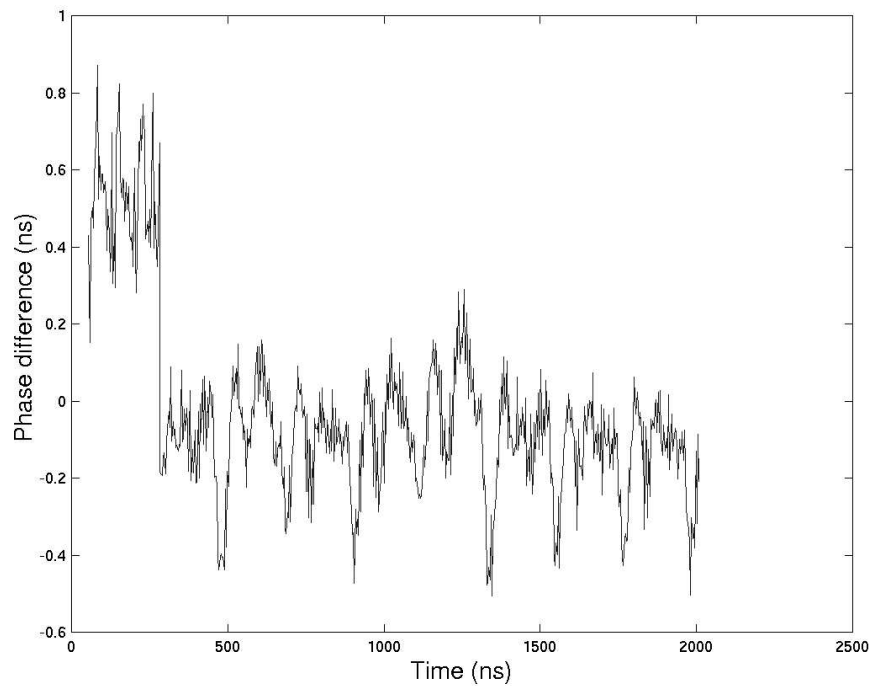


(h) Input data rate at 590 Mbps

Fig. A2 (continued)

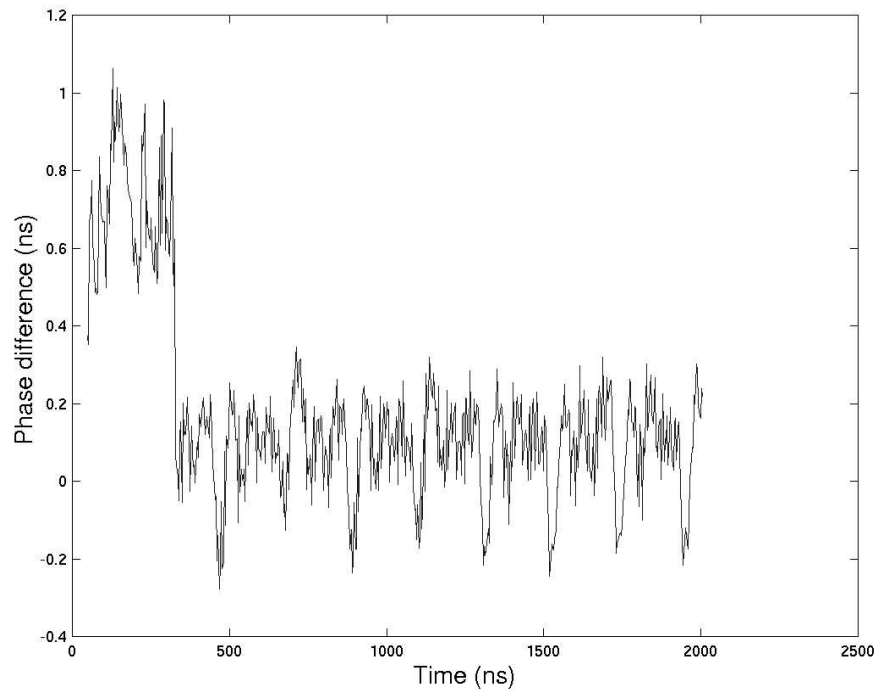


(i) Input data rate at 595 Mbps

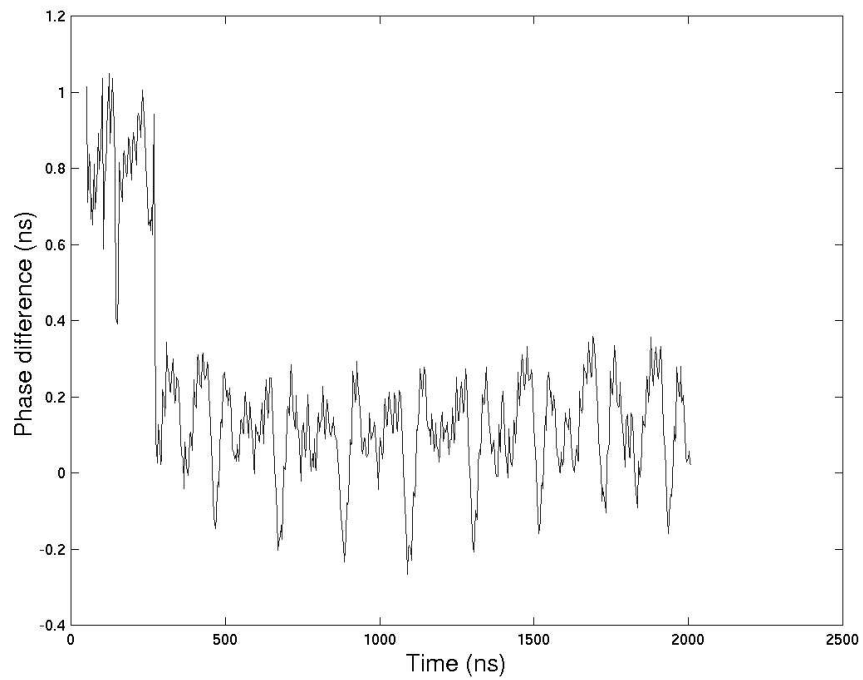


(j) Input data rate at 600 Mbps

Fig. A2 (continued)

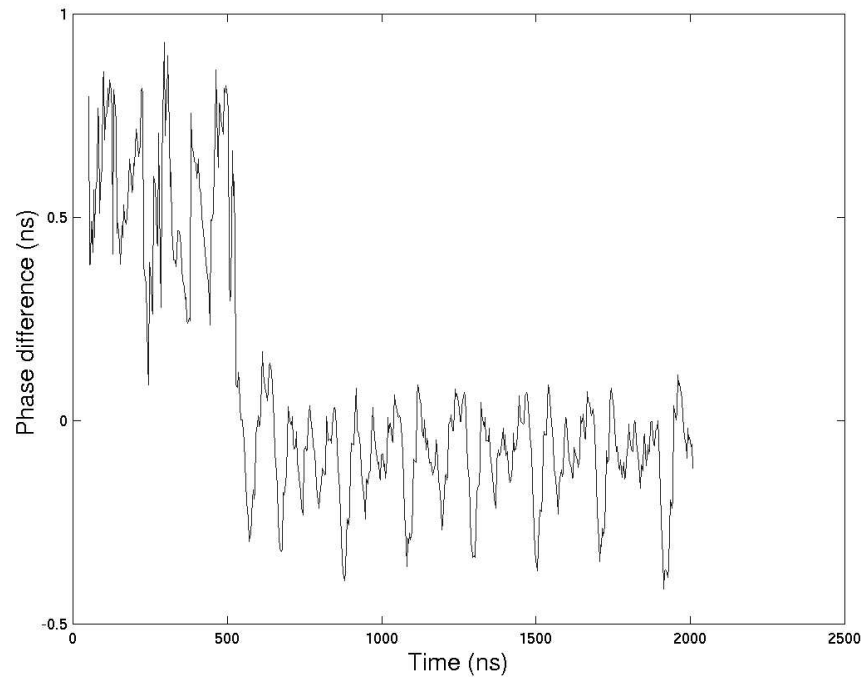


(k) Input data rate at 605 Mbps

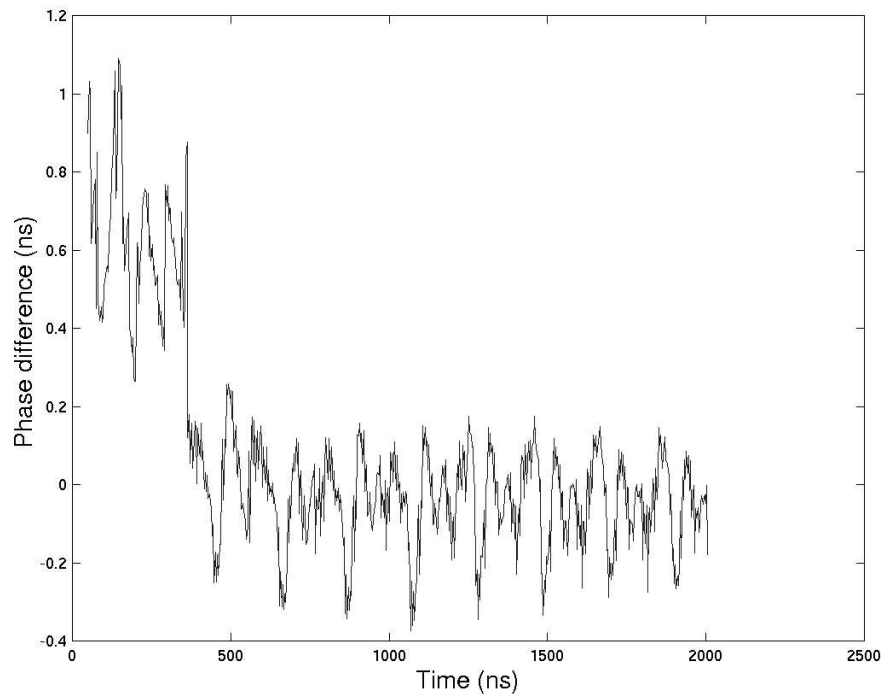


(l) Input data rate at 610 mbps

Fig. A2 (continued)

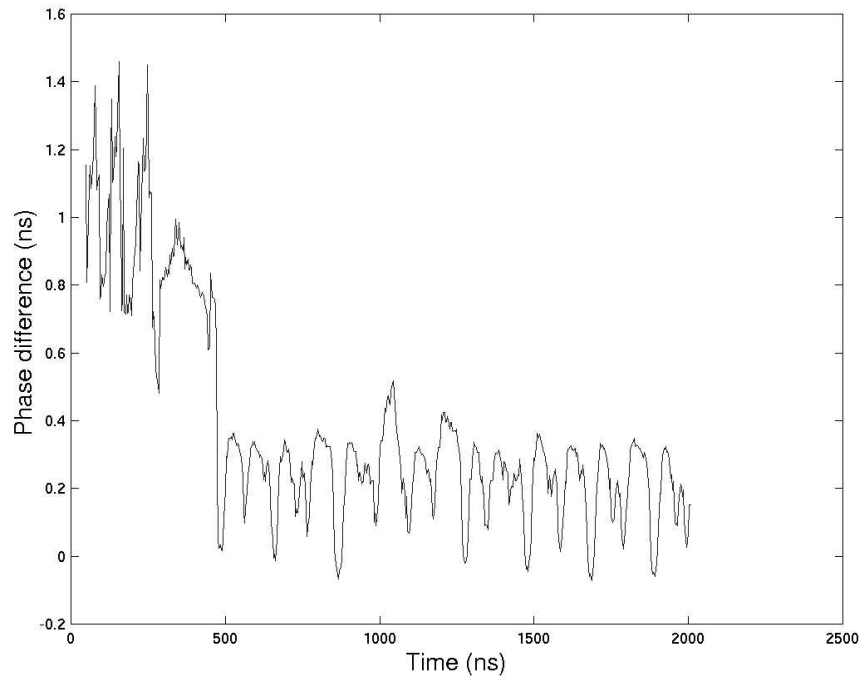


(m) Input data rate at 615 Mbps

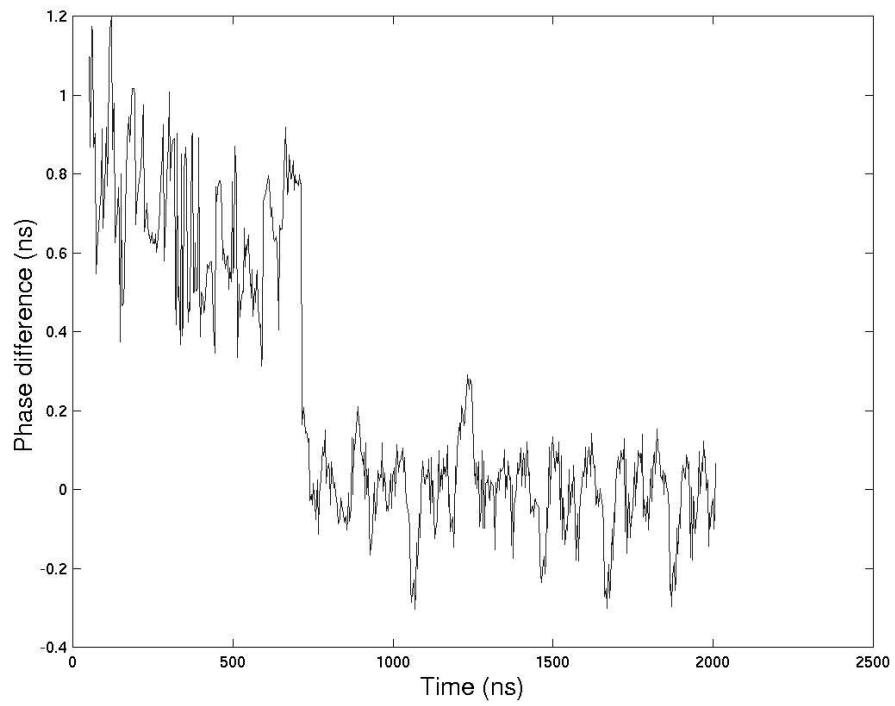


(n) Input data rate at 620 Mbps

Fig. A2 (continued)

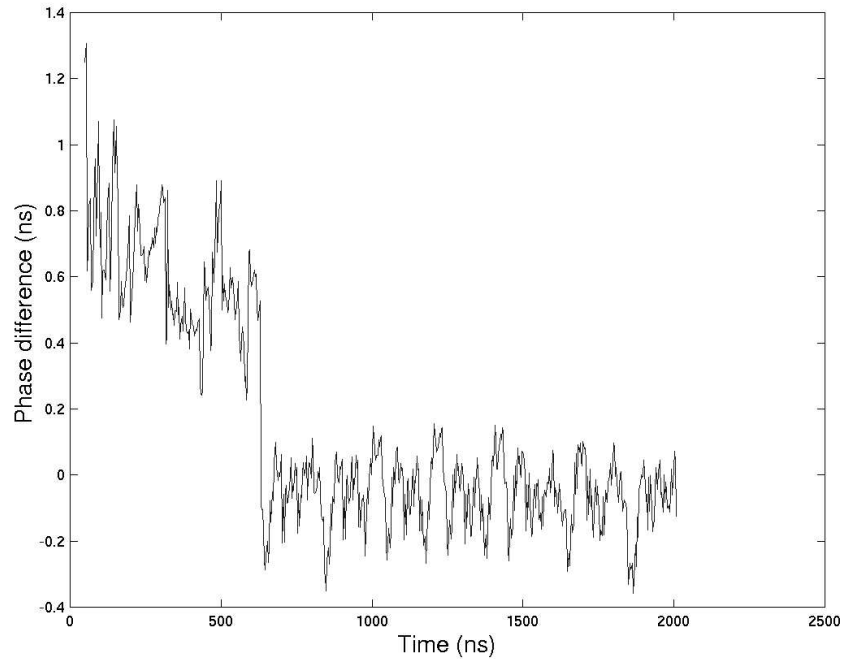


(o) Input data rate at 625 Mbps

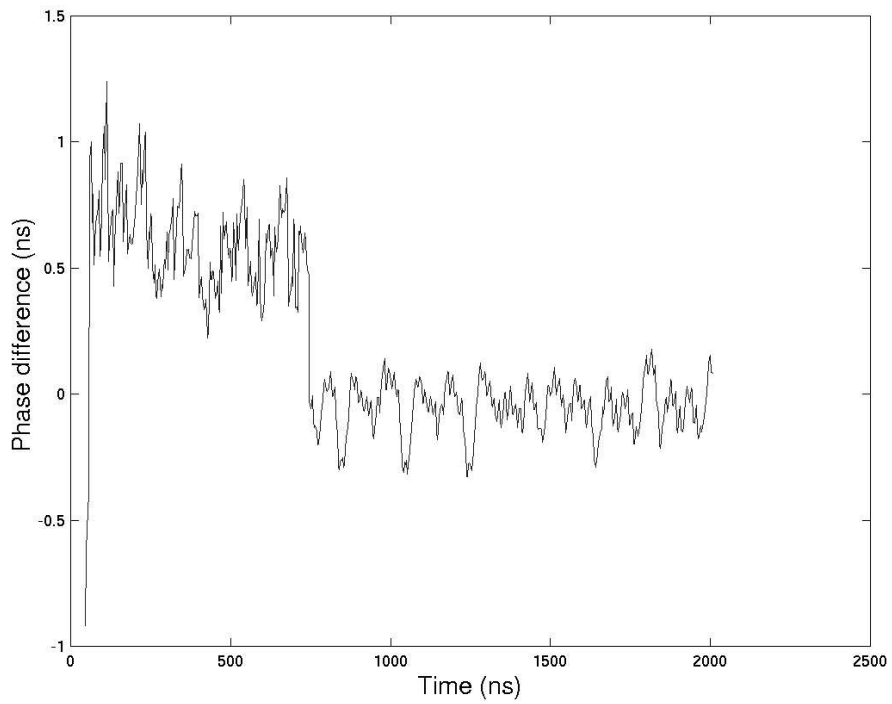


(p) Input data rate at 630 Mbps

Fig. A2 (continued)



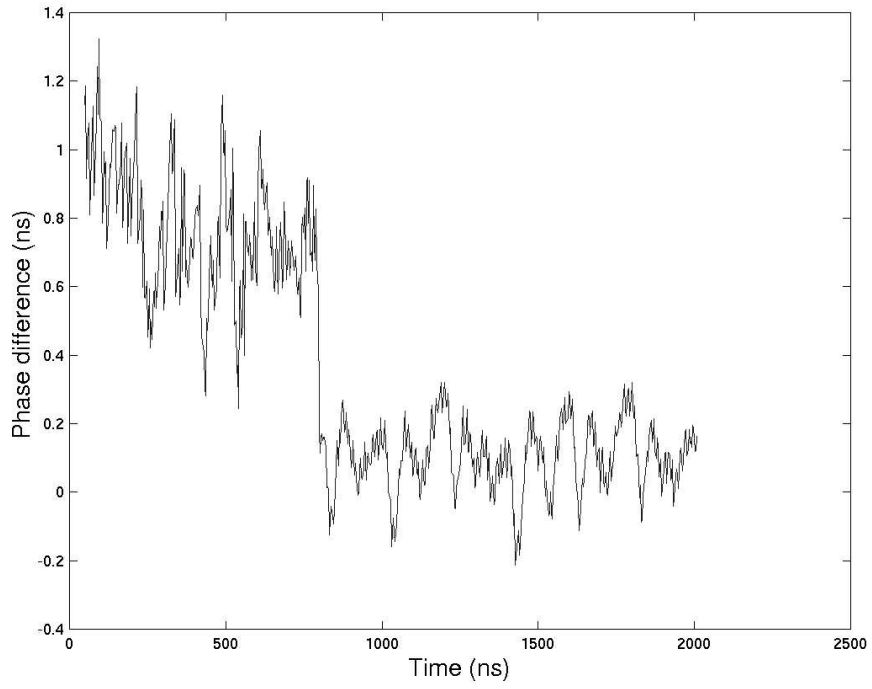
(q) Input data rate at 635 Mbps



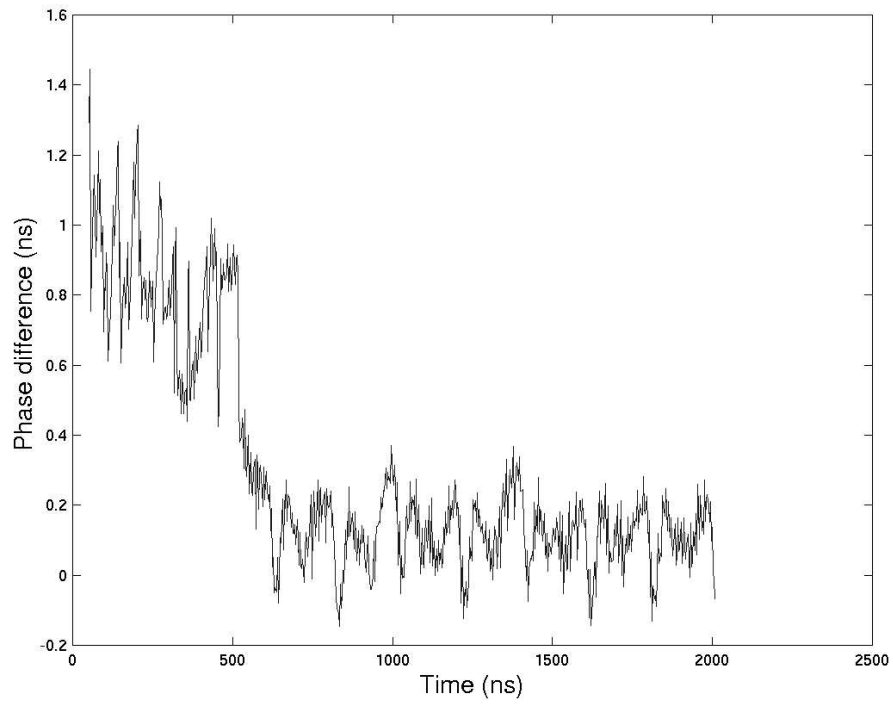
(r) Input data rate at 640 Mbps

Fig. A2 (continued)





(s) Input data rate at 645 Mbps



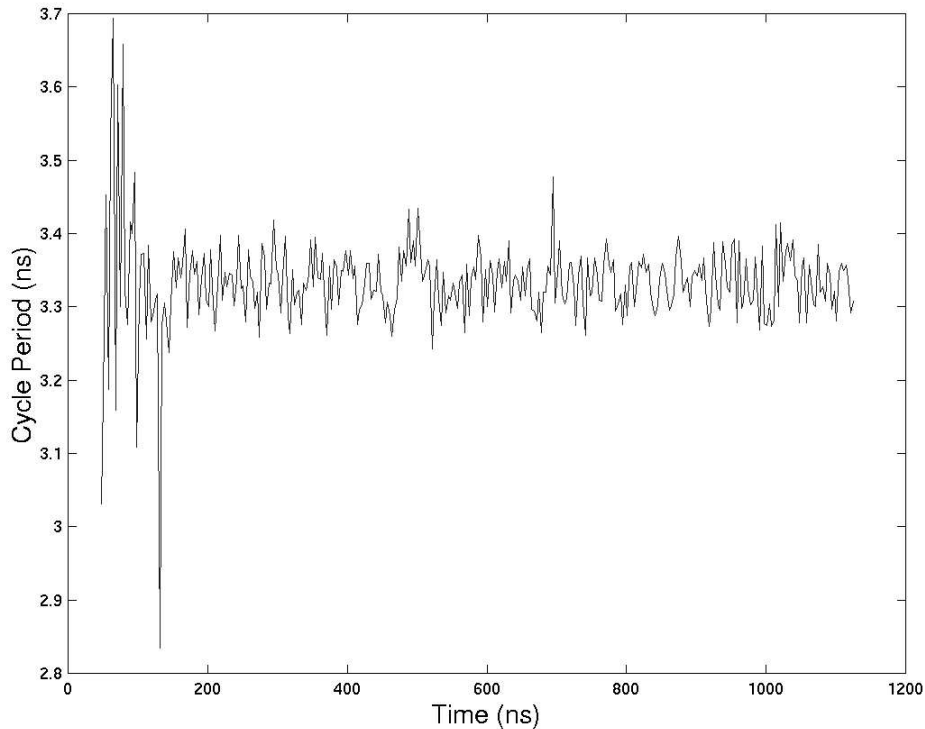
(t) Input data rate at 650 Mbps

Fig. A2 (end)

## APPENDIX B

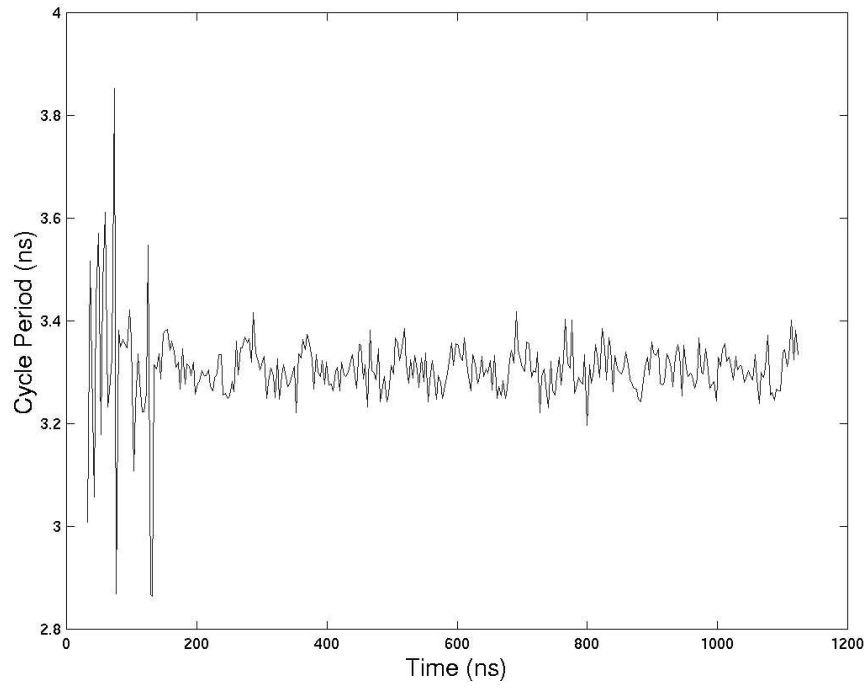
### Measurement Results of the PFMD CDR

Fig. B1 shows one of the 50 samples of the cycle periods of the recovered clock measured from the PFMD CDR with VCO initially at 650 Mbps and  $2^7-1$  PRBS data at different rates from 600 Mbps to 700 Mbps with step size of 5 Mbps. Fig. B2 shows one of the 50 samples of the phase difference between the recovered clock and the reference clock with same conditions.

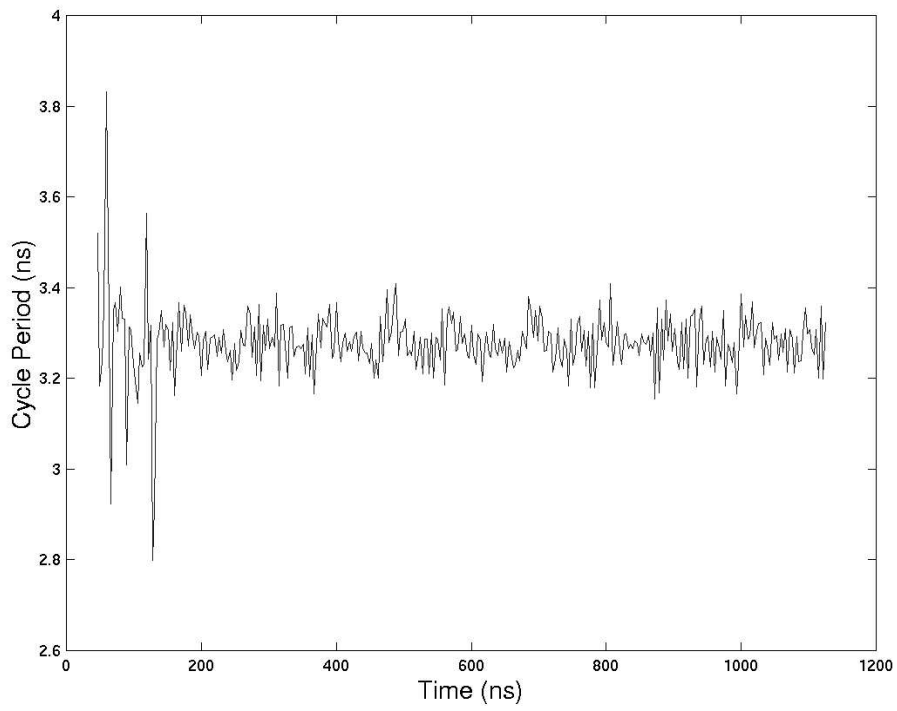


(a) Input data rate at 600 Mbps

Fig. B1 Cycle periods of the recovered clock from the PFMD CDR at different data rates

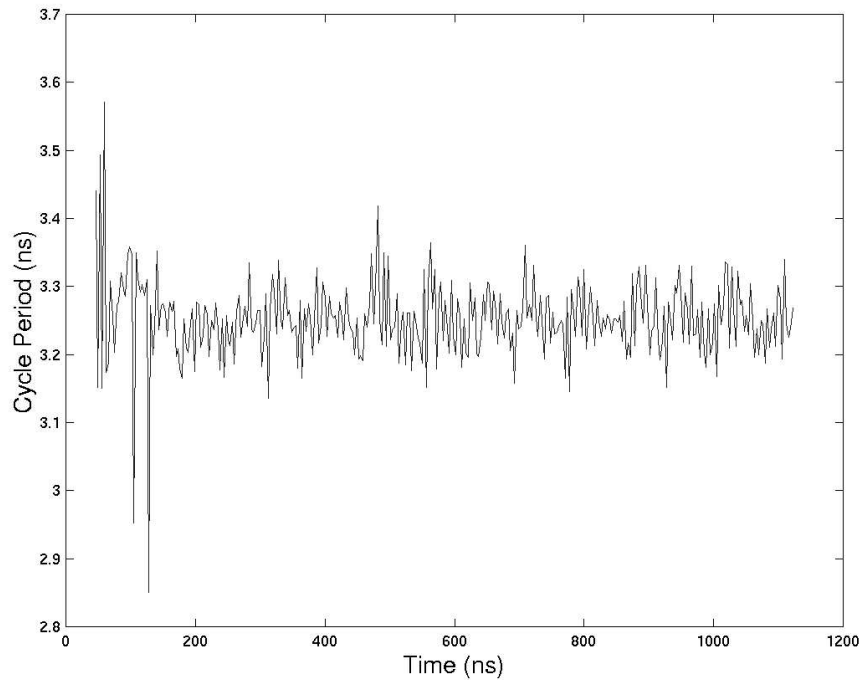


(b) Input data rate at 605 Mbps

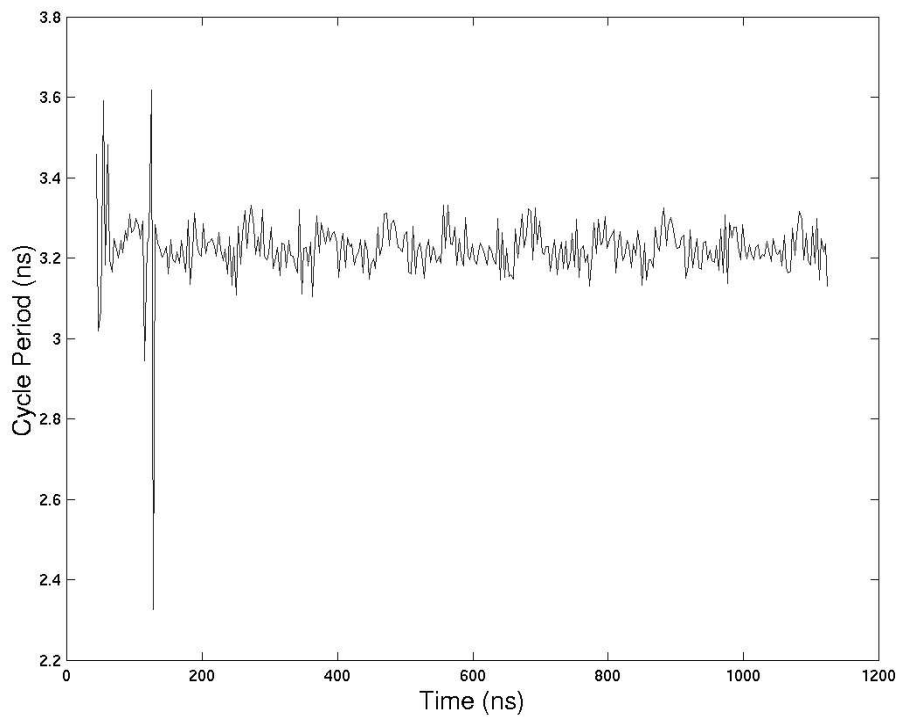


Input data rate at 610 Mbps

Fig. B1 (continued)

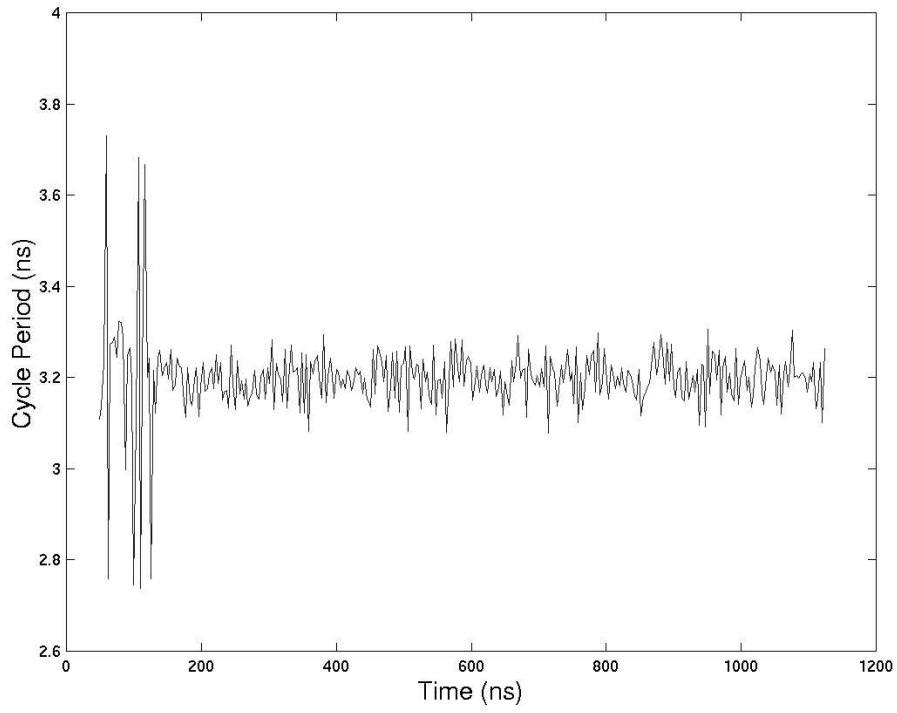


(d) Input data rate at 615 Mbps

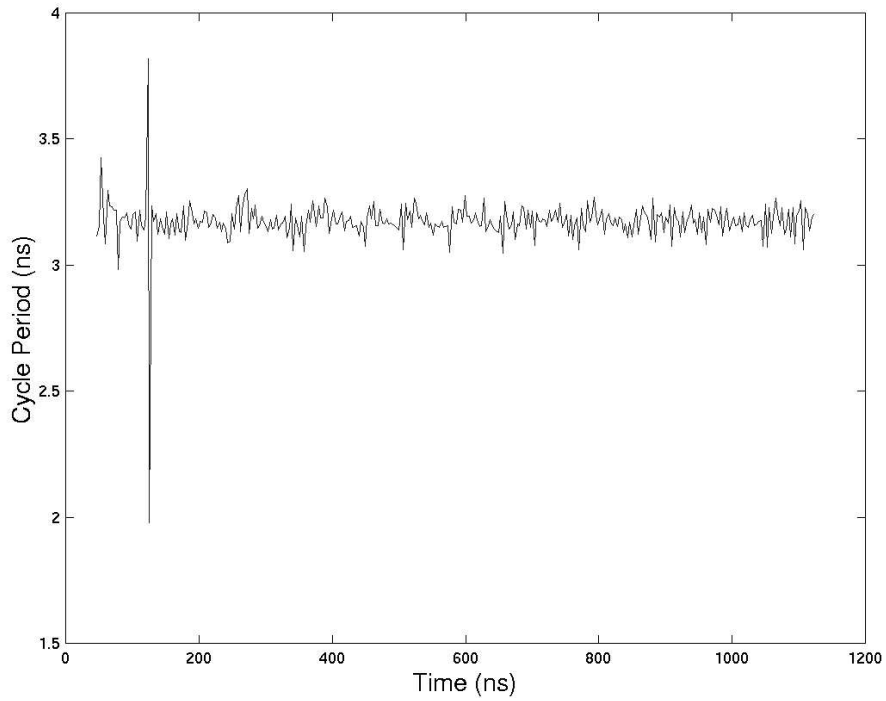


(e) Input data rate at 620 Mbps

Fig. B1 (continued)

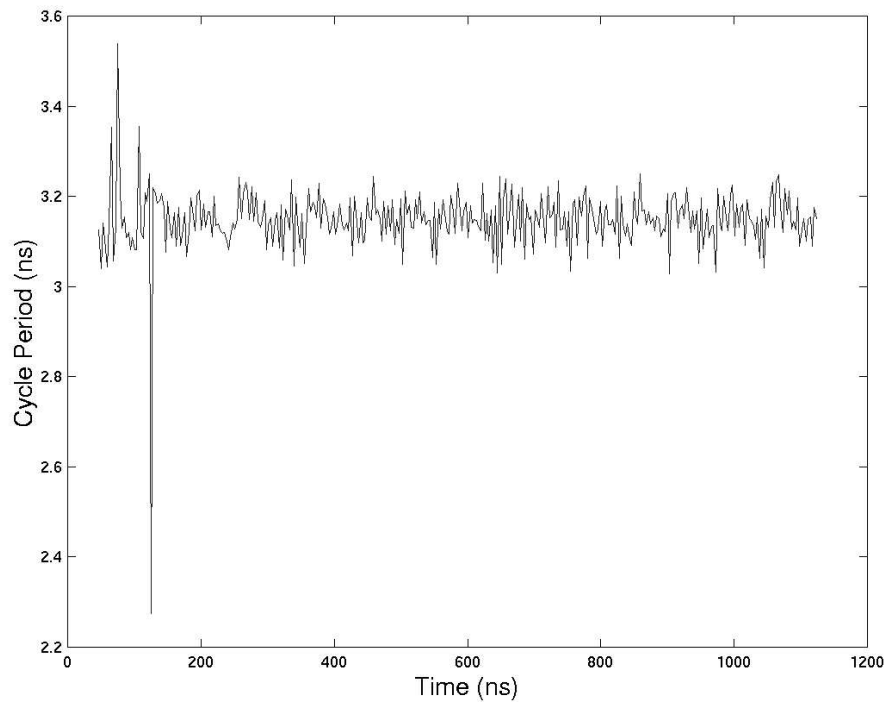


(f) Input data rate at 625 Mbps

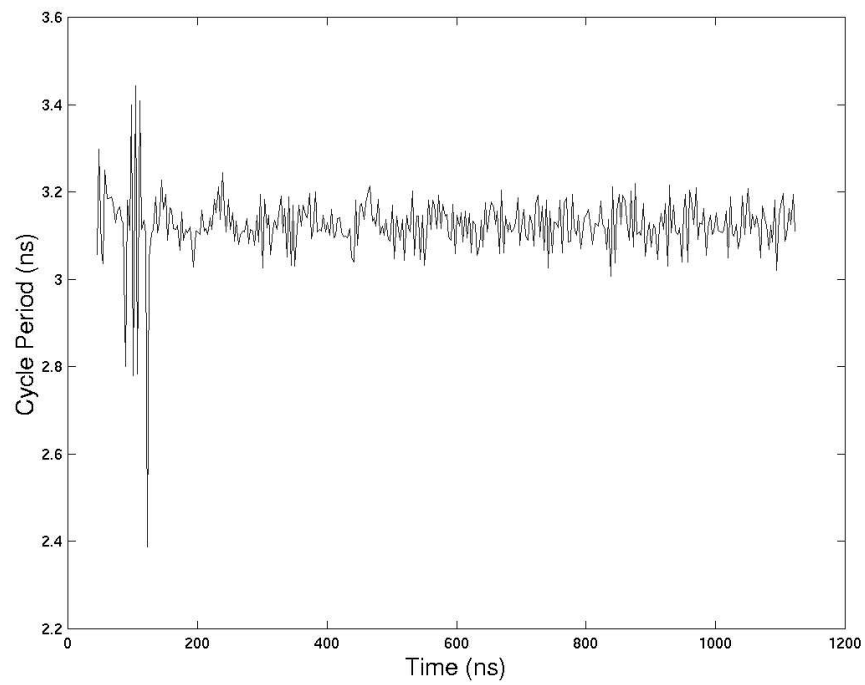


(g) Input data rate at 630 Mbps

Fig. B1 (continued)

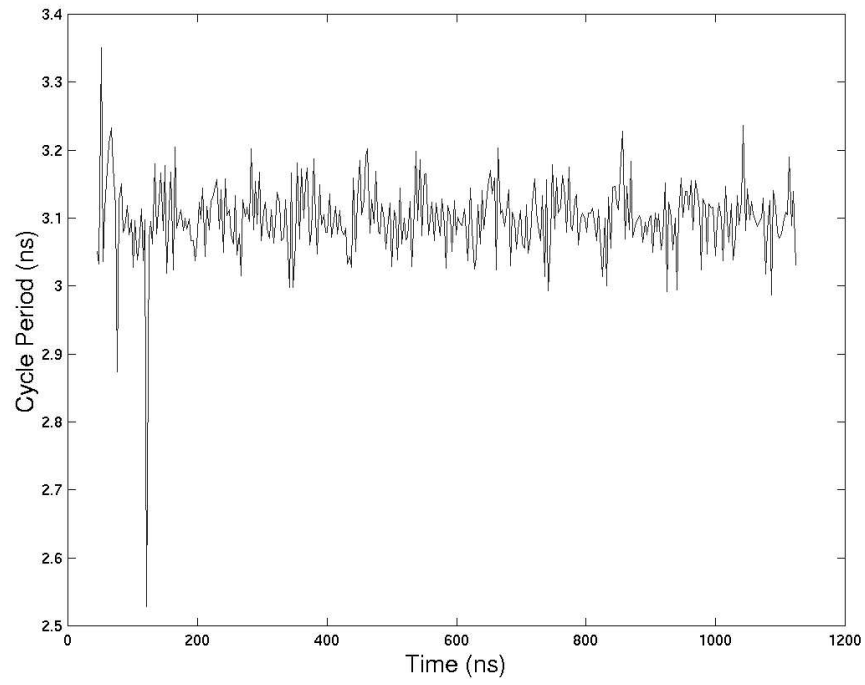


(h) Input data rate at 635 Mbps

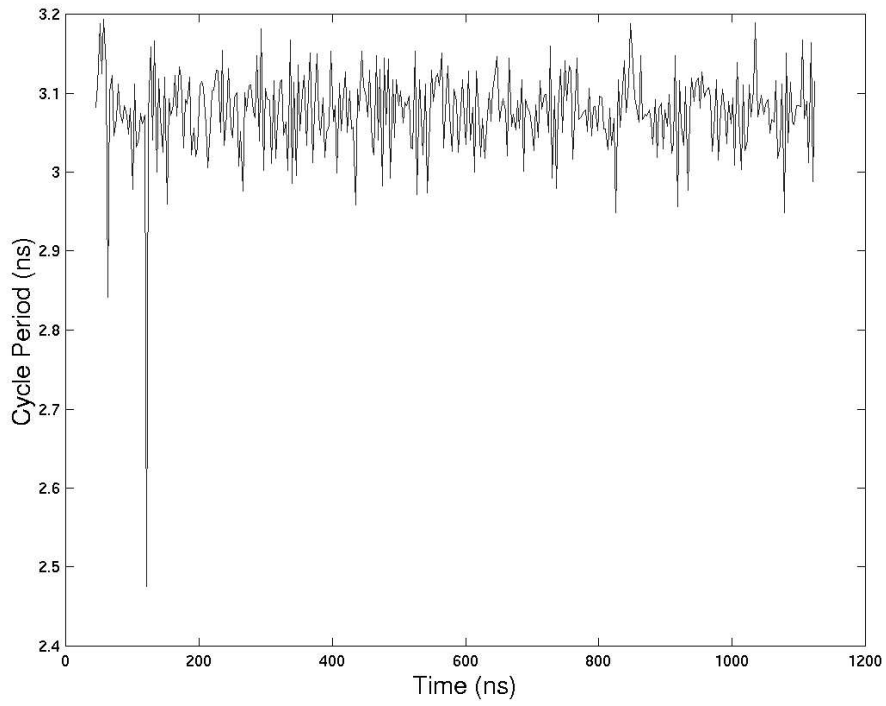


(i) Input data rate at 640 Mbps

Fig. B1 (continued)

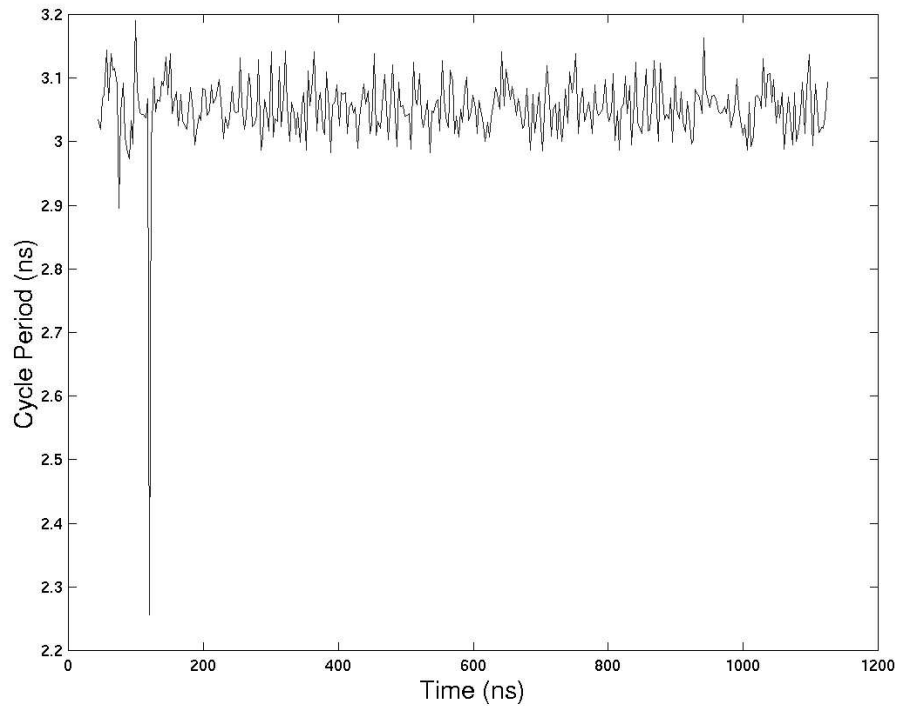


(j) Input data rate at 645 Mbps

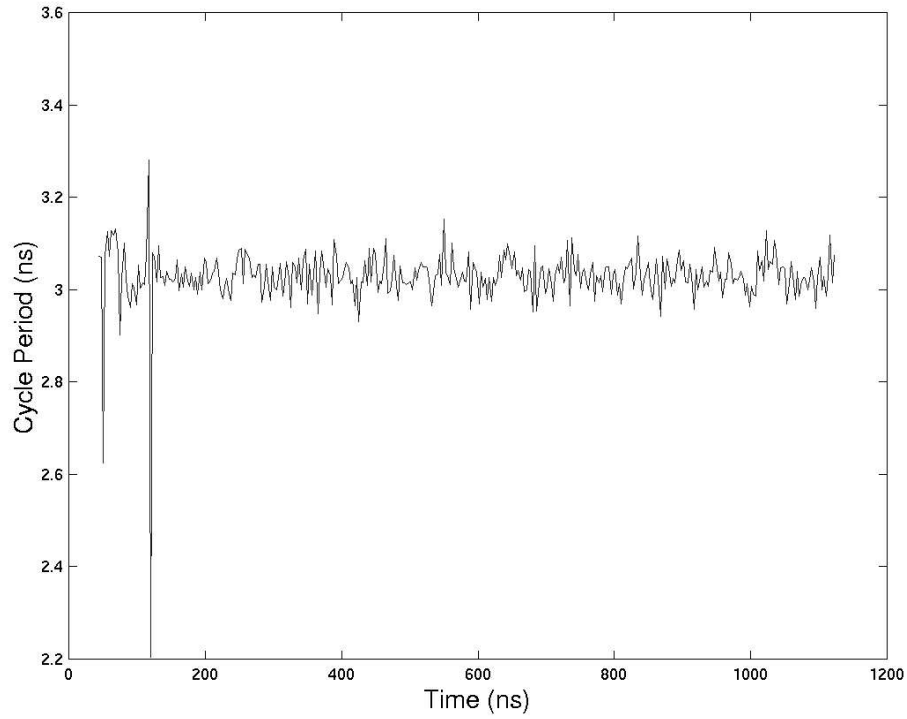


(k) Input data rate at 650 Mbps

Fig. B1 (continued)



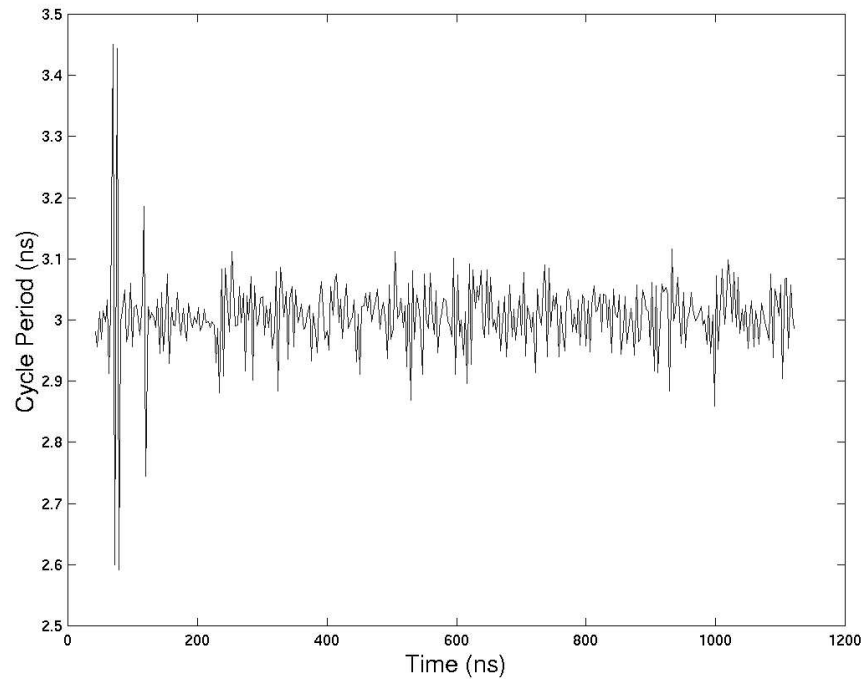
(l) Input data rate at 655 Mbps



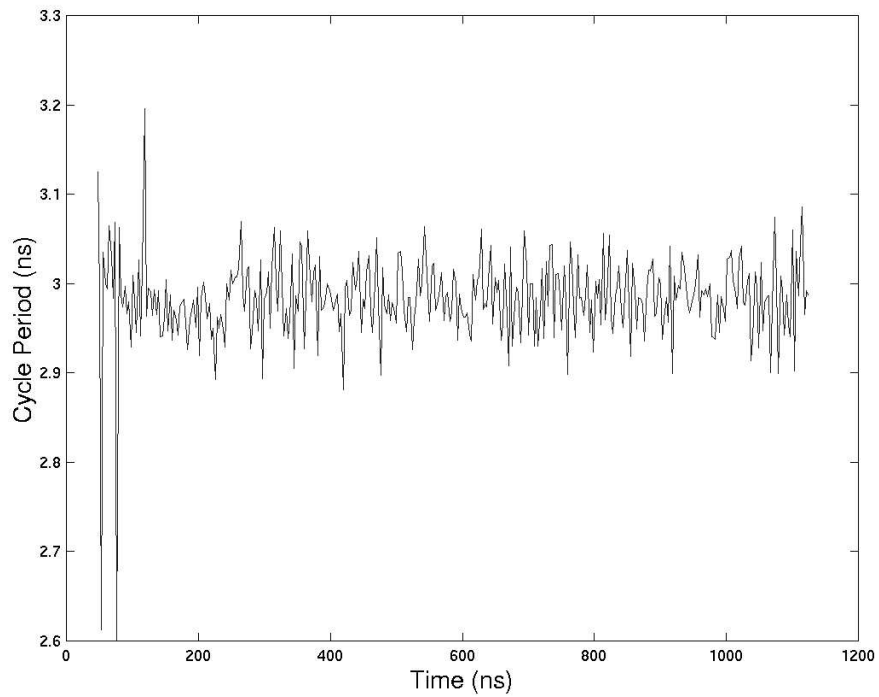
(m) Input data rate at 660 Mbps

Fig. B1 (continued)



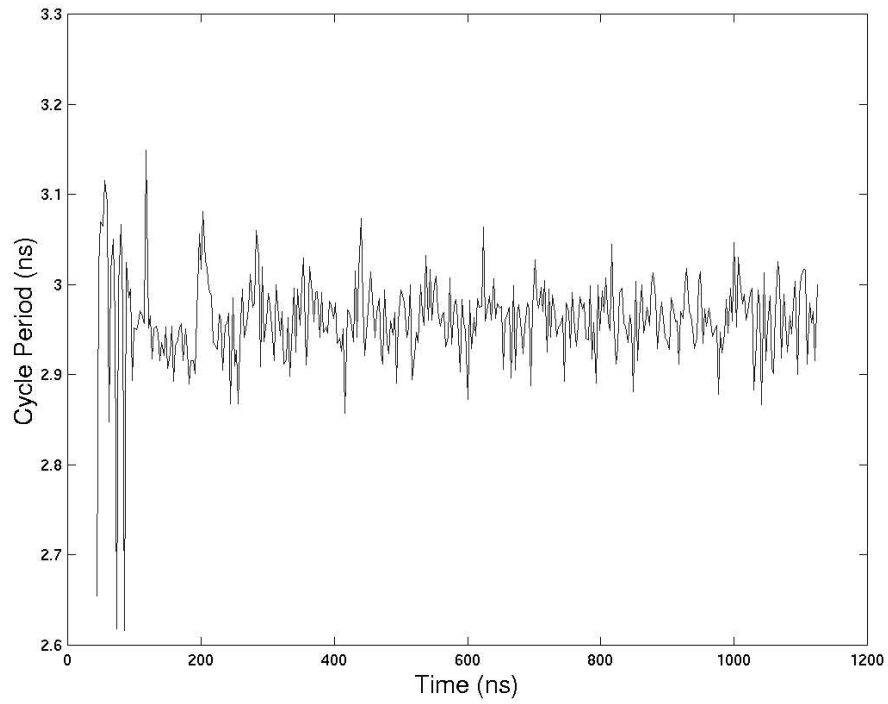


(n) Input data rate at 665 Mbps

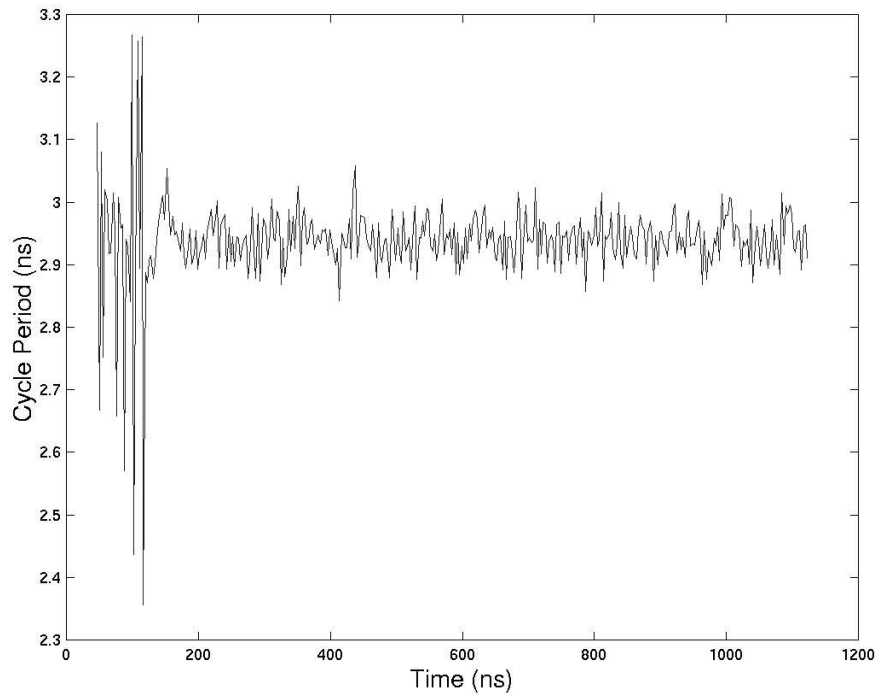


(o) Input data rate at 670 Mbps

Fig. B1 (continued)

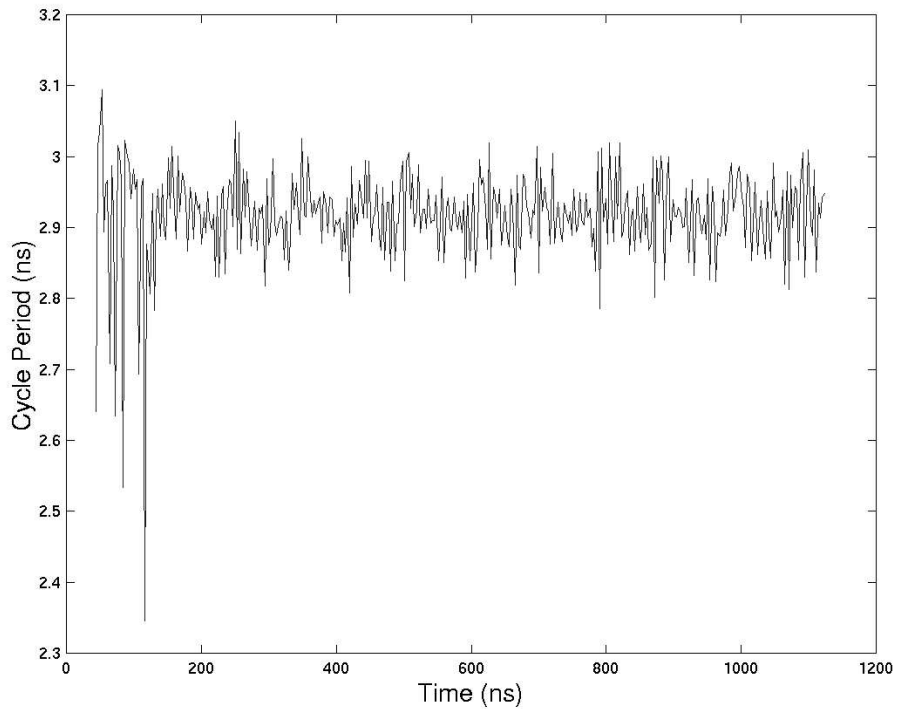


(p) Input data rate at 675 Mbps

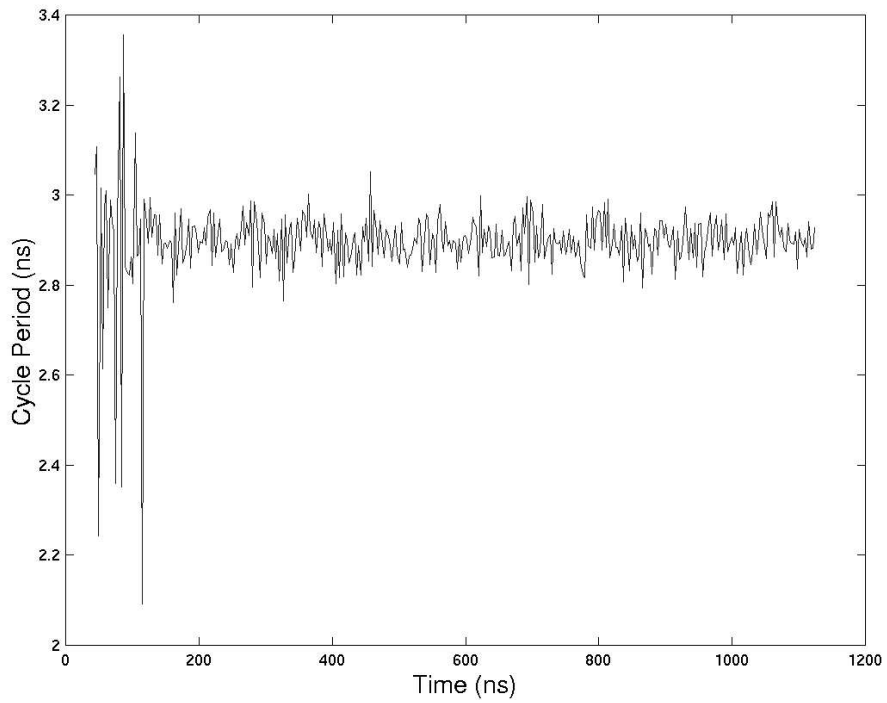


(q) Input data rate at 680 Mbps

Fig. B1 (continued)

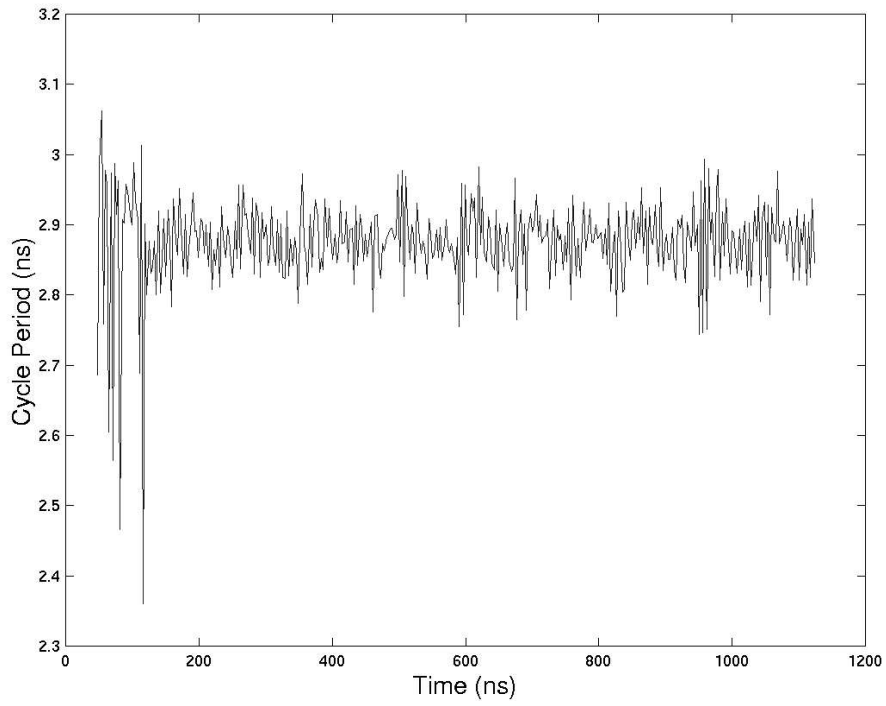


(r) Input data rate at 685 Mbps

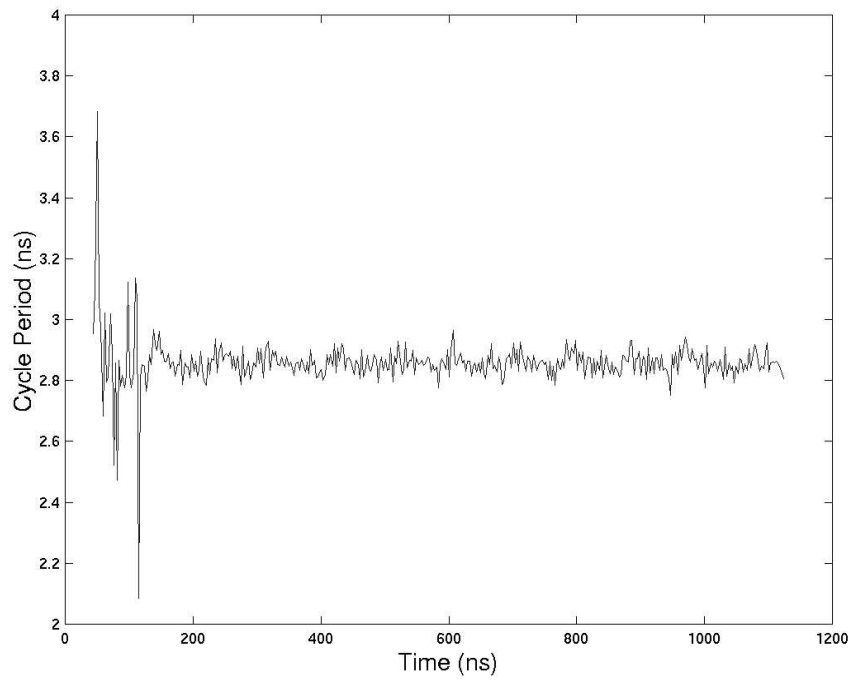


(s) Input data rate at 690 Mbps

Fig. B1 (continued)

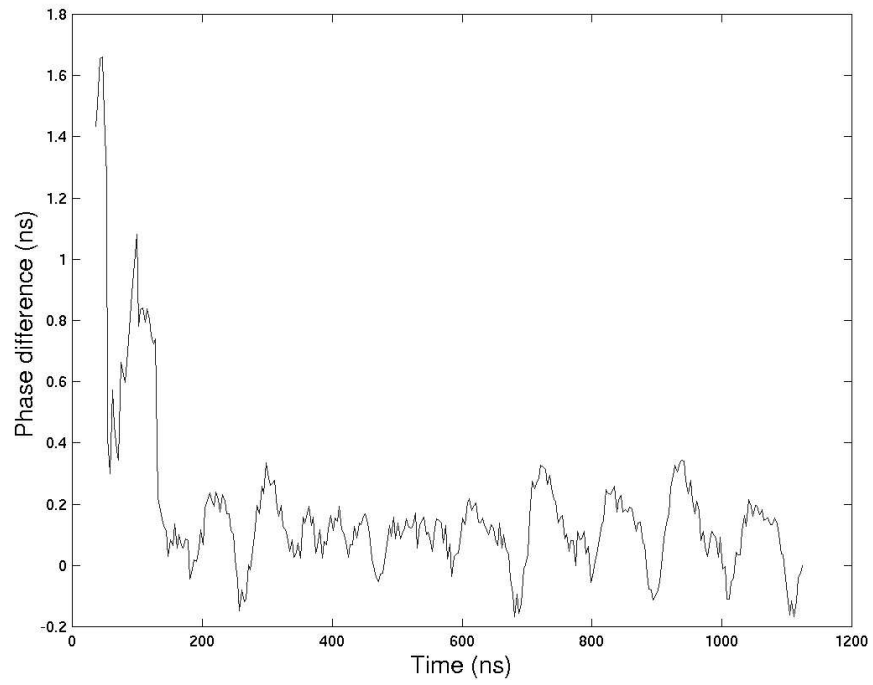


(t) Input data rate at 695 Mbps

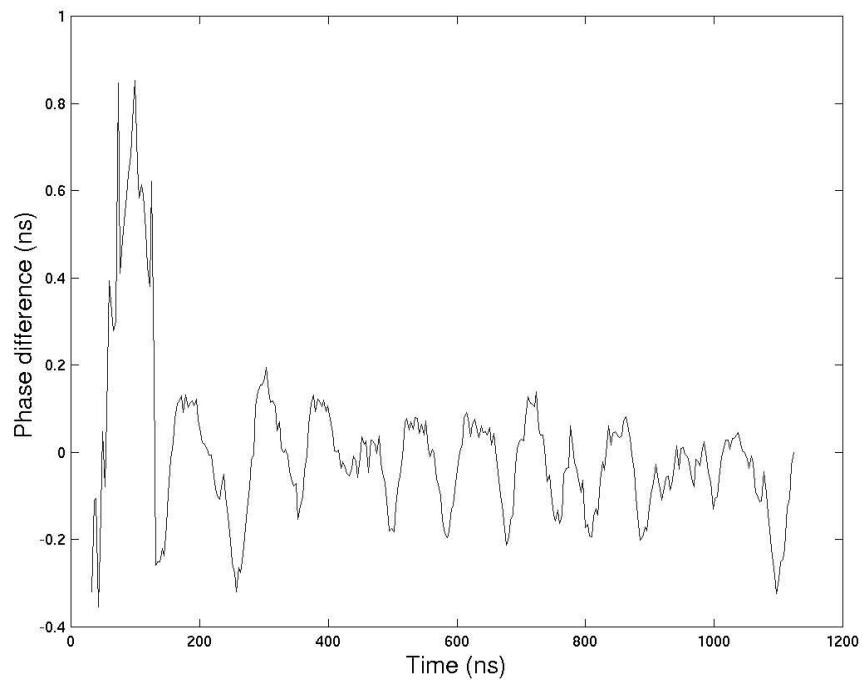


(u) Input data rate at 700 Mbps

Fig. B1 (end)

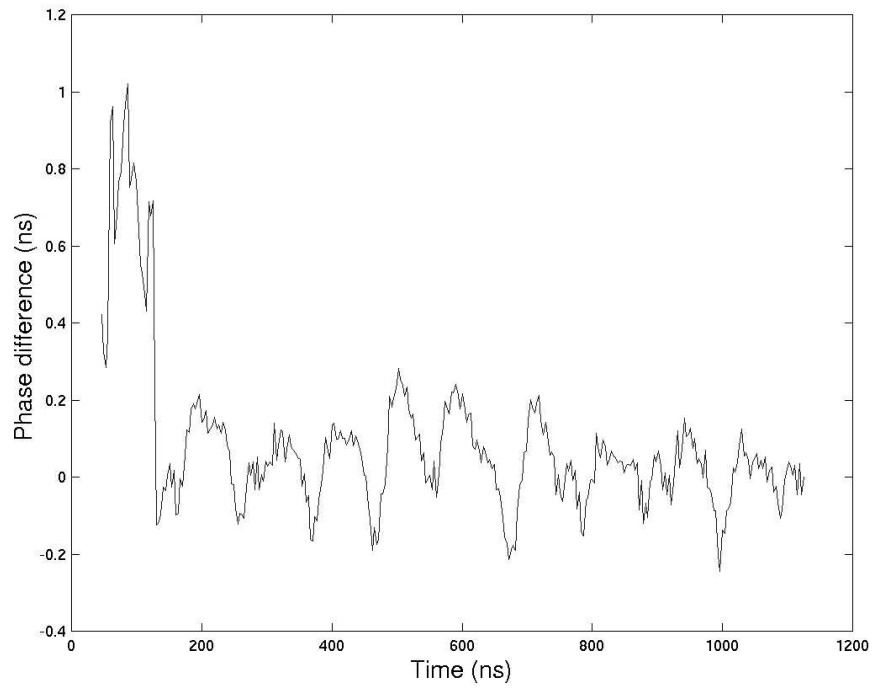


(a) Input data rate at 600 Mbps

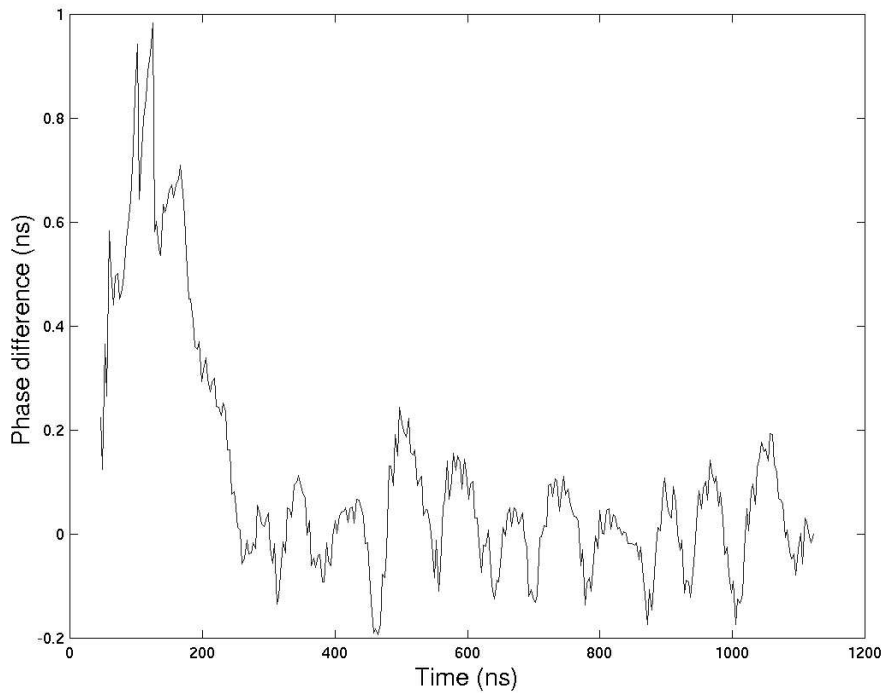


(b) Input data rate at 605 Mbps

Fig. B2 Phase differences measured from PFMD CDR at different data rates

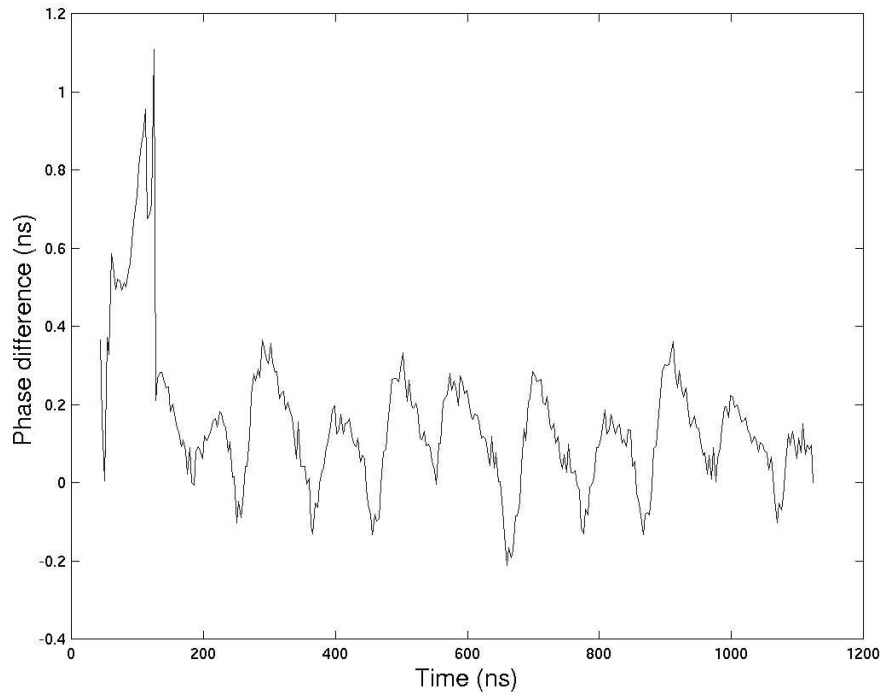


(c) Input data rate at 610 Mbps

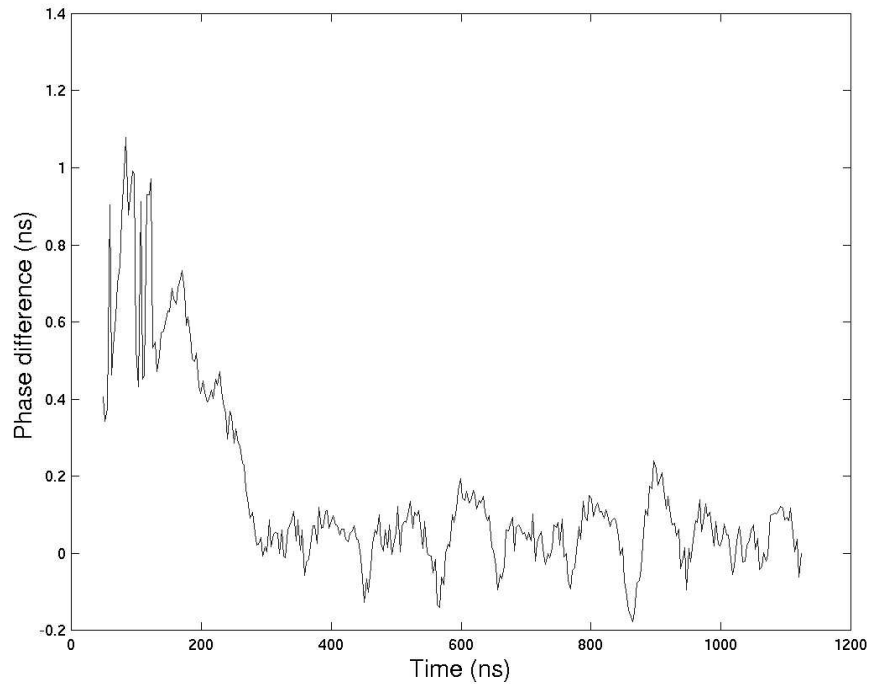


Input data rate at 615 Mbps

Fig. B2 (continued)

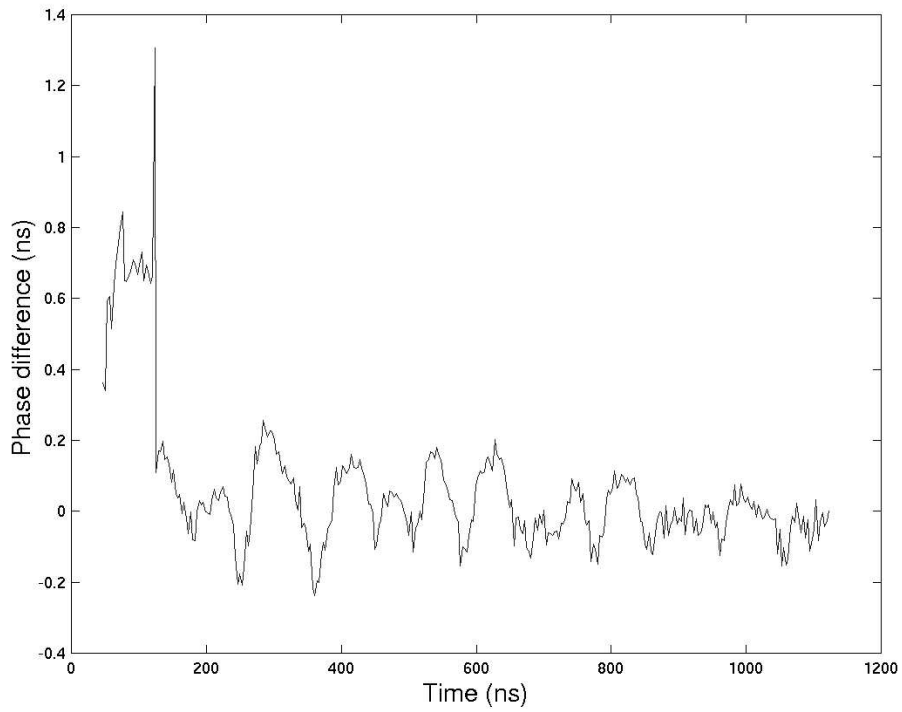


(e) Input data rate at 620 Mbps

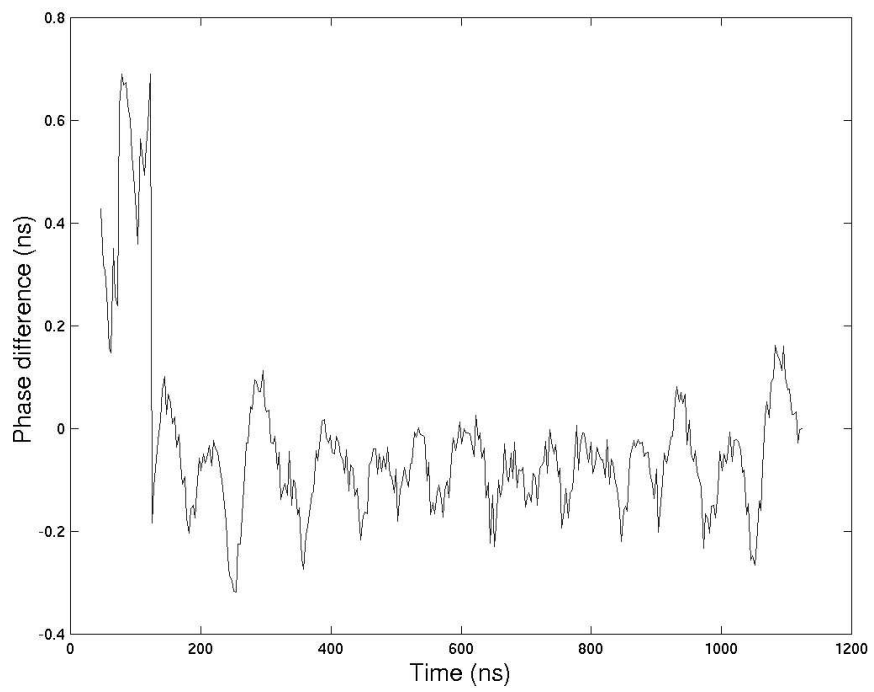


(f) Input data rate at 625 Mbps

Fig. B2 (continued)



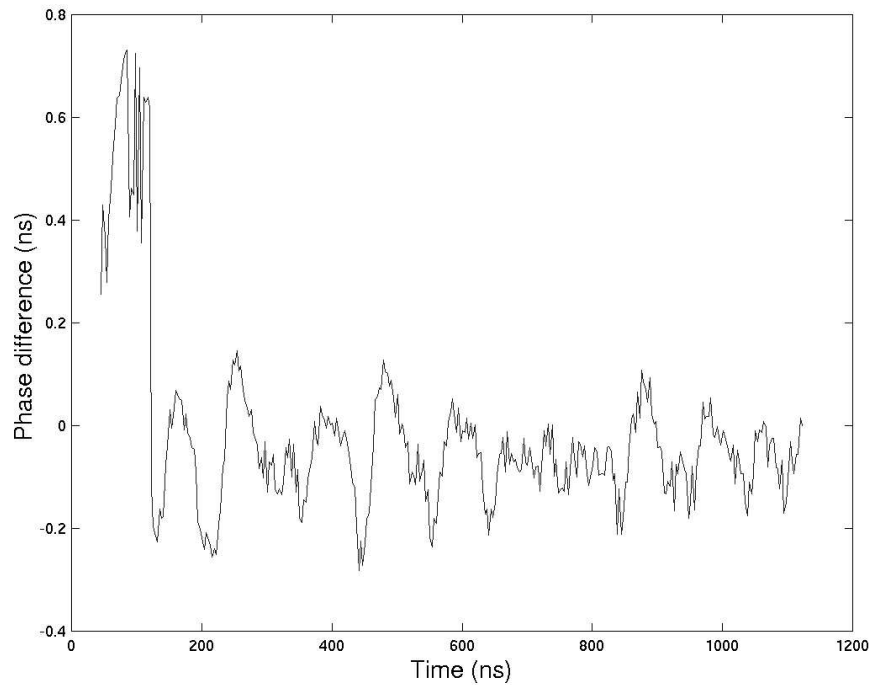
(g) Input data rate at 630 Mbps



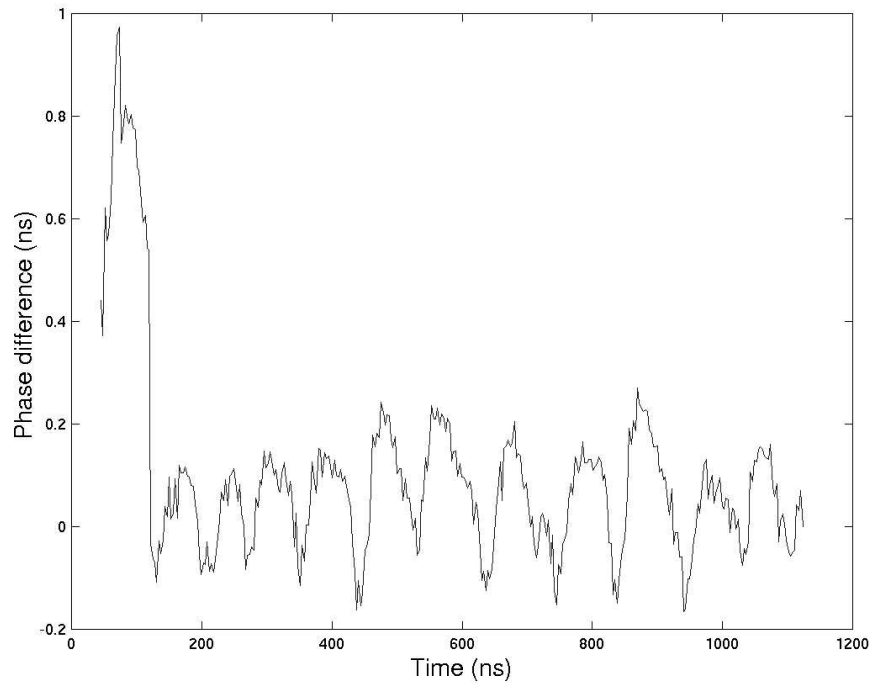
(h) Input data rate at 635 Mbps

Fig. B2 (continued)



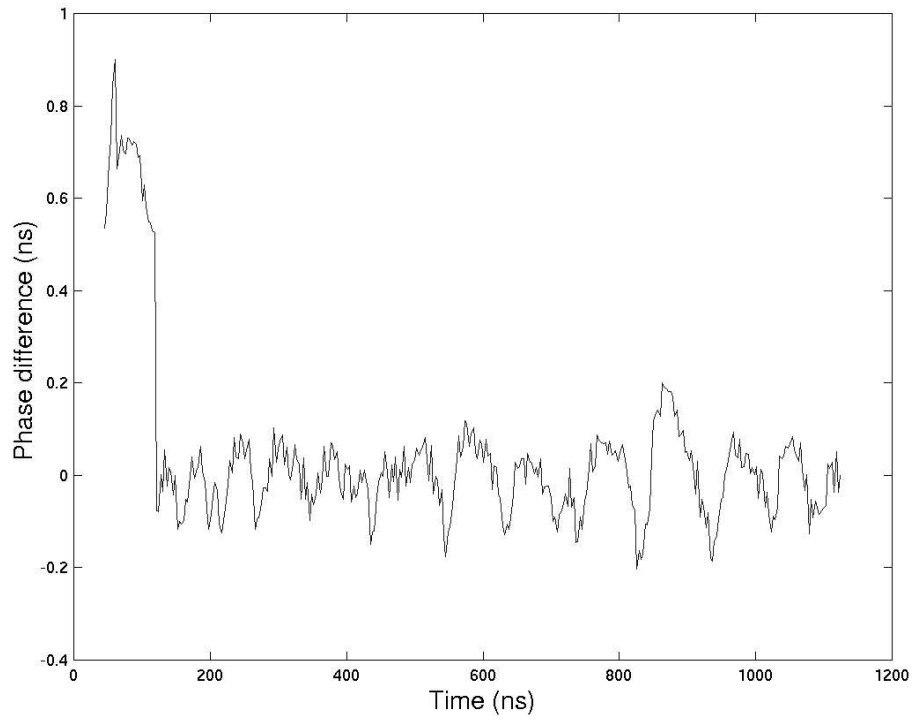


(i) Input data rate at 640 Mbps

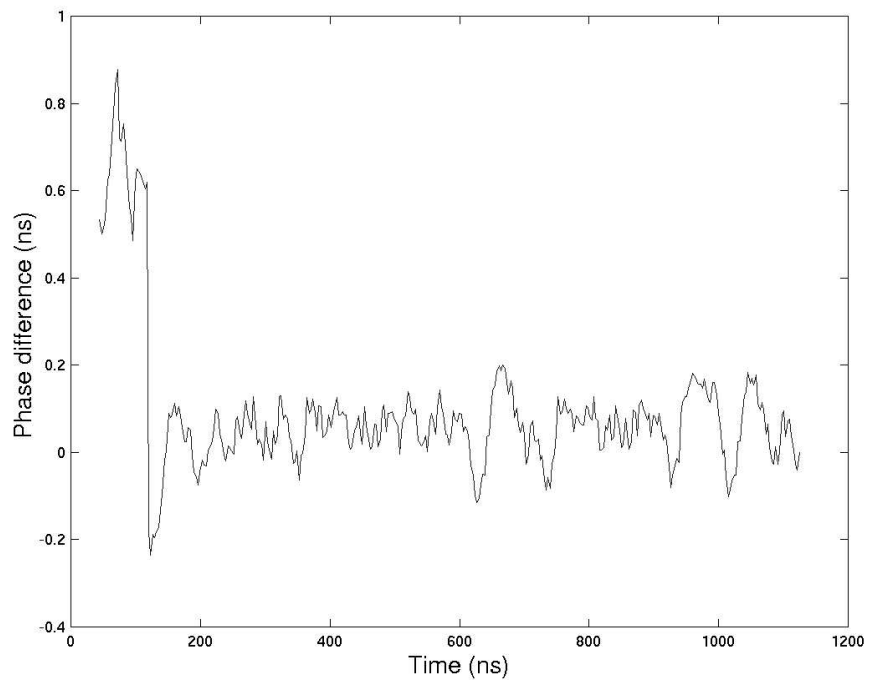


(j) Input data rate at 645 Mbps

Fig. B2 (continued)

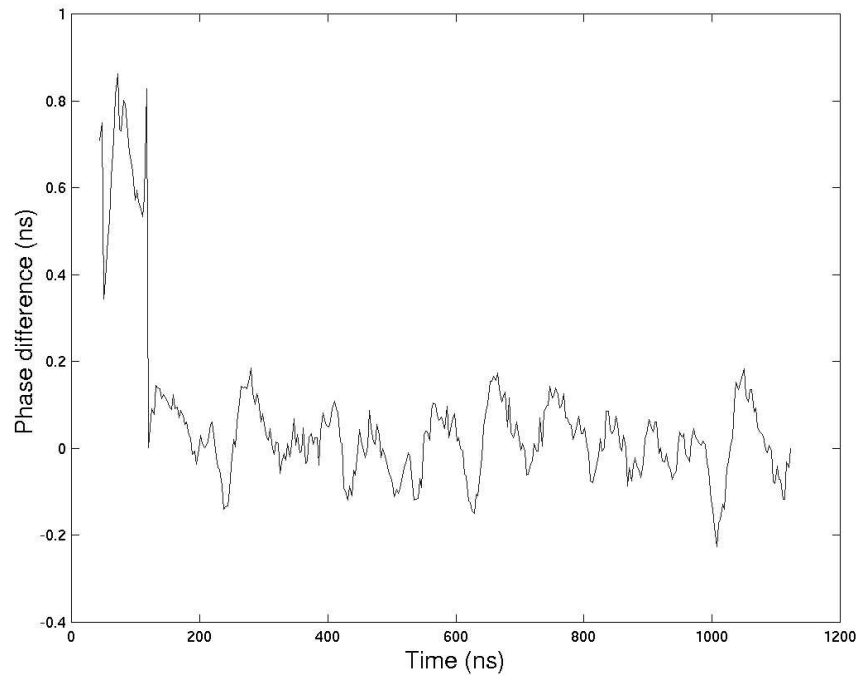


(k) Input data rate at 650 Mbps

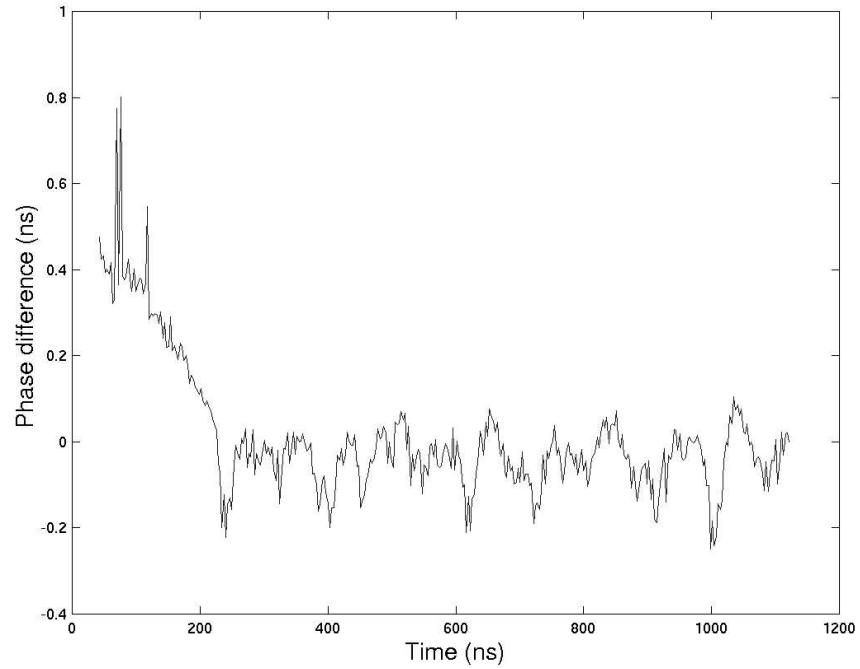


(l) Input data rate at 655 Mbps

Fig. B2 (continued)

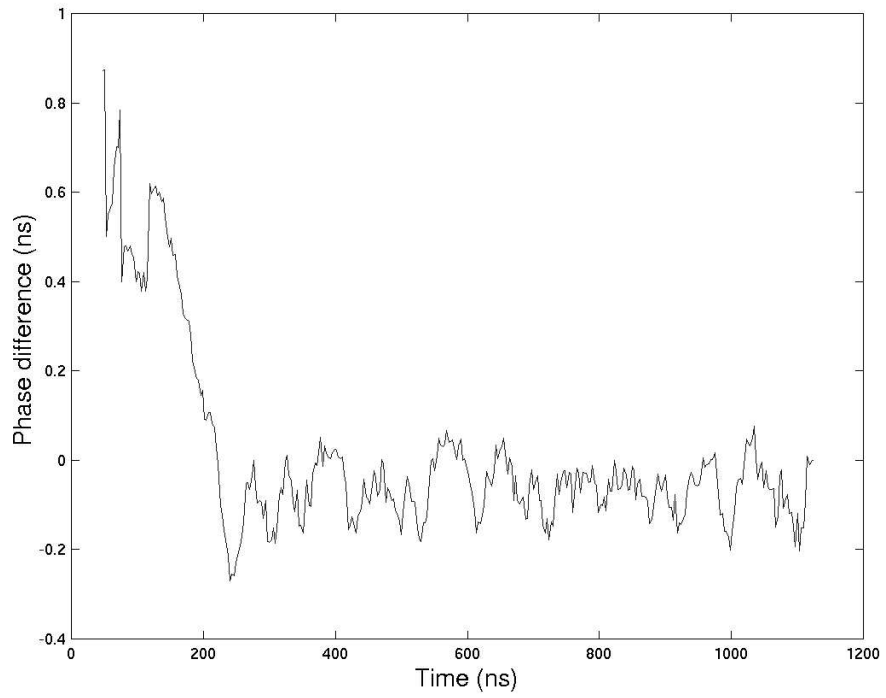


(m) Input data rate at 660 Mbps

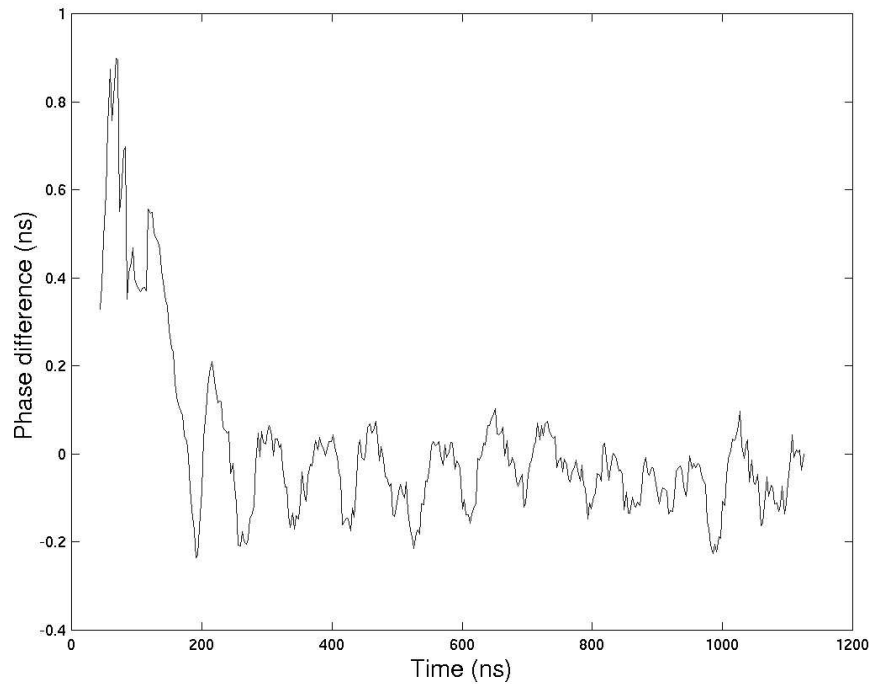


(n) Input data rate at 665 Mbps

Fig. B2 (continued)

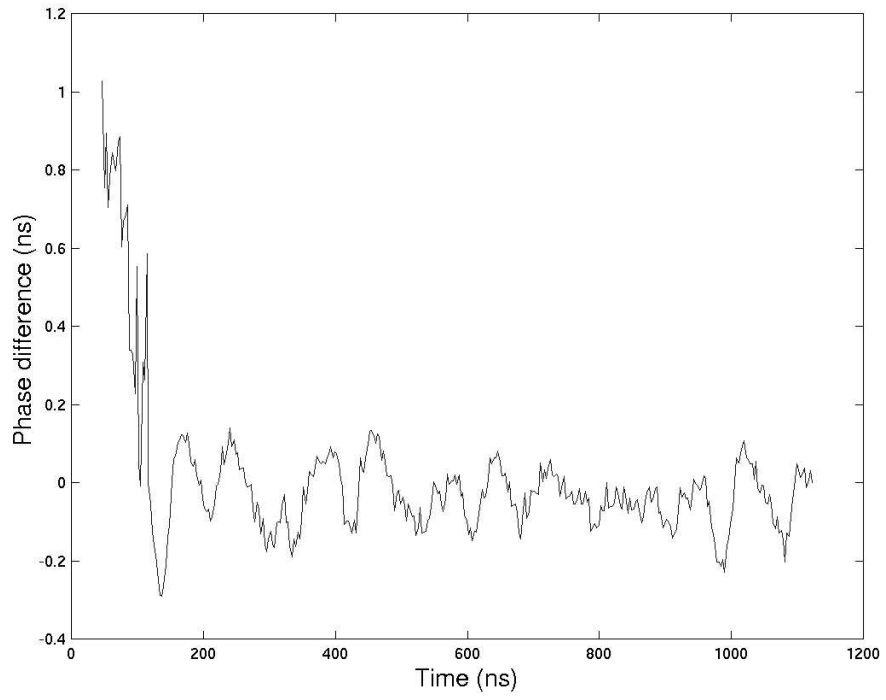


(o) Input data rate at 670 Mbps

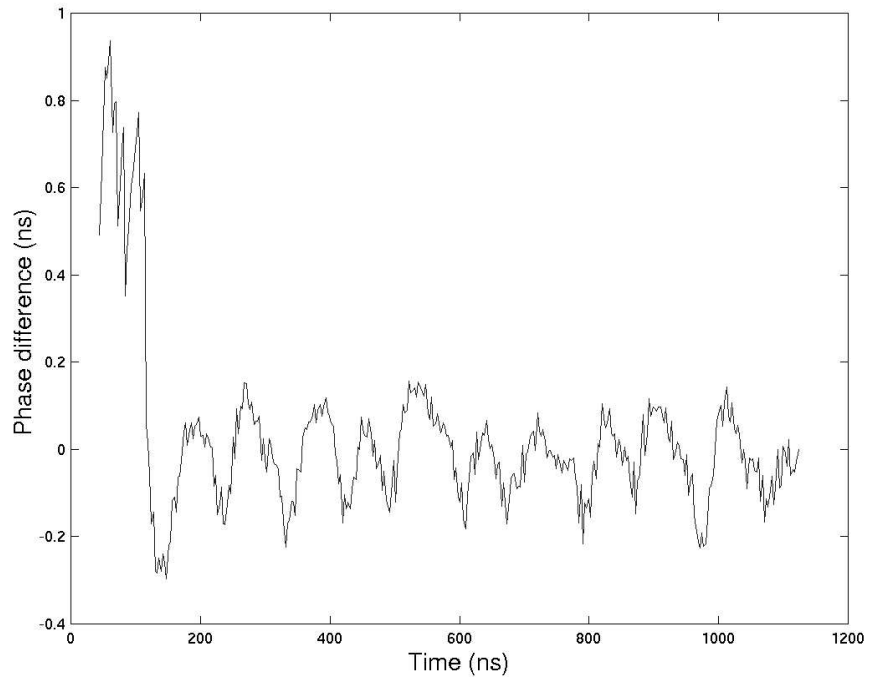


(p) Input data rate at 675 Mbps

Fig. B2 (continued)

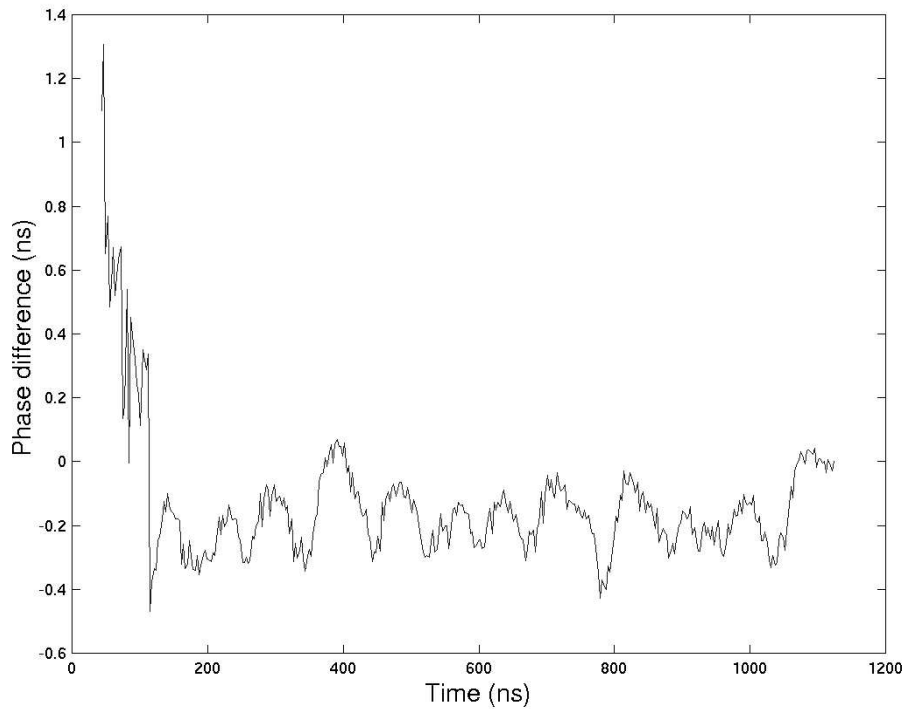


(q) Input data rate at 680 Mbps

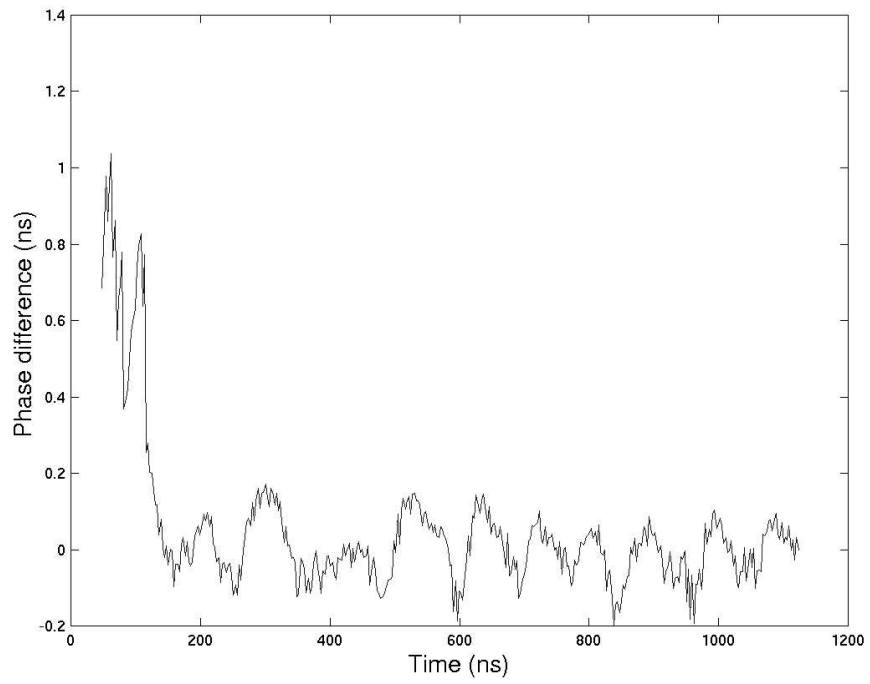


(r) Input data rate at 685 Mbps

Fig. B2 (continued)

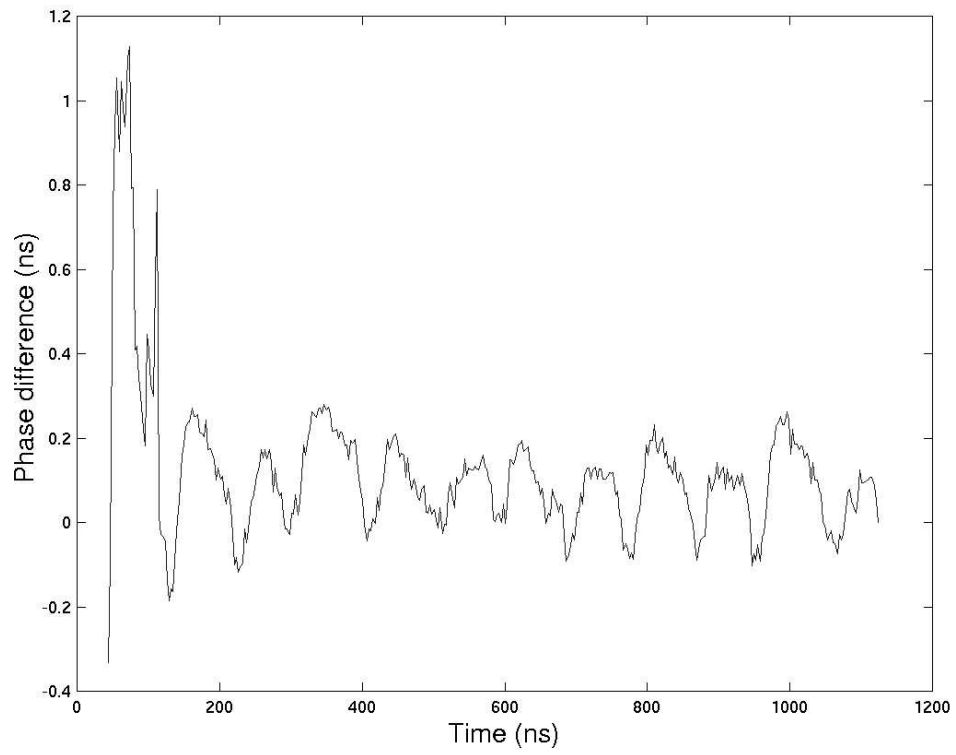


(s) Input data rate at 690 Mbps



(t) Input data rate at 695 Mbps

Fig. B2 (continued)



(u) Input data rate at 700 Mbps

Fig. B2 (end)